

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zobel, Rya W.	2. Court or Organization DC MA,	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. D.J., active,	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1 Courthouse Way Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Irrevocable Trust
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	New York Intellectual Property Law Association, Annual Dinner	March 23, 2012	New York, NY	Meeting	transportation, lodging, food
2.	_____	_____	_____	_____	_____
3.	_____	_____	_____	_____	_____
4.	_____	_____	_____	_____	_____
5.	_____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Assets									
2. Fidelity Select Retailing FSRPX	A	Dividend	J	T					
3. T.Rowe Price Blue Chip Growth, Inc TRBCX	A	Dividend	J	T					
4. Calamos Growth Class A CVGRX	A	Dividend	J	T					
5. Ishares Comex Gold Trust IAU	A	Dividend	J	T					
6. SPDR SER TR S&P Homebuilders XHB	A	Dividend	J	T	Buy (add'l)	09/14/12	J		
7. Ishares TR MSCI Emerging Markets Index EEM	A	Dividend	J	T					
8. Ishares TR Dow Jones US Real Estate Index IYR	A	Dividend			Sold	04/02/12	J		
9. Sector SPDR TR SHS Ben Int Financial XLF (X)	A	Dividend	J	T	Buy	04/03/12	J		
10.	A	Dividend	J	T	Buy (add'l)	09/14/12	J		
11. Powershares QQQ TR Unit Ser 1 QQQ (X)	A	Dividend	J	T	Buy	04/03/12	J		
12. Vanguard Index FDS Vanguard REIT ETF, VNQ	A	Dividend			Sold	04/02/12	J		
13. Barclays Bank PLC IPath S&P 500, VIX	A	Dividend	J	T					
14. ISharez Silver TR IShares SLV	A	Dividend	J	T					
15. IShares TR Russell 2000Index FD IWM	A	Dividend	J	T					
16. Amex SPDR Energy Select Index XLE (X)	A	Dividend	J	T					
17. Amex SPDR Consumer Dis Select XLY (X)	A	Dividend	J	T	Buy	04/03/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	08/14/12	J		
19. SPDR S&P Retail ETF XRT (X)	A	Dividend	J	T	Buy	04/03/12	J		
20. iShares DJ US Broker Dealers IAI (X)	A	Dividend	J	T	Buy	04/03/12	J		
21. Amex SPDR Technology Select Index XLK	A	Dividend	J	T	Buy	08/14/12	J		
22. Market Vectors Coal ETF KOL (X)	A	Dividend	J	T	Buy	08/14/12	J		
23. Amex SPDR Industrial Select Index XLI	A	Dividend			Sold	04/02/12	J		
24. Citizens Bank, checking	A	Interest	K	T					
25. Hanscom Federal Credit Union, savings	A	Interest	K	T					
26.									
27. Assets of [REDACTED] Irrevocable Trust									
28. Fidelity Total Bond FTBFX	B	Interest	K	T					
29. American High Income Class F AHTFX	A	Interest	J	T					
30. US Treasury NTS 4.0%	A	Interest	K	T					
31. US Treasury NTS 4.5%	A	Interest	K	T					
32. Forward Select Income KIFAX	B	Interest	K	T					
33. Putnam Diversified Income FD CL Y, PDVYX	A	Dividend	J	T					
34. Putnam Income FD CL Y, PNCYX	A	Dividend	J	T					

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35. Goldman Sachs Global Income Institutional, GSGLX	A	Dividend	J	T					
36. Goldman Sachs Local Emrg Mkts Debt CL I, GIMDX	A	Dividend	J	T					
37. Payden Short end, PYSBX	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I inadvertently failed to report ownership of [REDACTED] of Amex SPDR Industrial Select Index XLI last year. All shares were sold on April 2, 2012 as noted on line 25.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rya W. Zobel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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