

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Klein, Christopher M.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 501 I Street, 6th Floor Sacramento, CA 95814		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Law Institute	Jan. 15	Malibu, Pepperdine University	Participant	Partial air fare, hotel & meals
2.	International Insolvency Institute	Feb. 9-11	New York, NY	Participant	Hotel
3.	Penn State University	Mar. 30-31	State College, PA	Presenter	Air fare
4.	American Bar Assn. Business Bankr. Comm.	Apr. 14-16	Boston, MA	Participant	Air fare, hotel & meals
5.	Bankr. Bar Assn. of S. Florida Conf.	May 6-8	Naples, FL	Panel Member	Air fare, hotel & meals

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6.	Calif. Bankr. Forum	May 20-22	Squaw Creek, CA	Participant	Hotel & meals
7.	International Insolvency Institute	June 13-14	New York, NY	Participant	Partial air fare, hotel & meals
8.	Turnaround Managers Assn.	July 15-16	Santa Barbara, CA	Panel Member	Transportation, hotel & meals
9.	American Law Institute	Sept. 23-26	Philadelphia, PA	Participant	Partial air fare, hotel & meals
10.	Nat'l Conf. of Bankr. Judges & American Bar Assn.	Oct. 12-15	Tampa, FL	Participant	Partial air fare, hotel & meals paid by ABA & NCBJ
11.	International Insolvency Institute & International Bar Assn.	Oct. 31-Nov. 3	Vienna & Dubai	Participant	Partial air fare, hotel & meals
12.	Capital Regional & Central NY Bankr. Bar Conf.	Nov. 10-11	Lake George, NY	Panel Member	Air fare, hotel & meals
13.	ABI Winter Leadership	Dec. 1-3	La Quinta, CA	Participant	Air fare, hotel & meals
14.	International Insolvency Institute	Dec. 12-16	New York, NY	Participant	Partial air fare, hotel & meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Condominium, Washington, DC	D	Rent	M	W					
2. USAA Subscriber Savings Acct.	A	Dividend	J	T					
3. Navy Federal Credit Union (CD)	A	Interest	J	T					
4. River City Bank (deposit)	A	Interest	J	T					
5. MSDW Active Assets Money Trust	A	Interest	J	T					
6. Wells Fargo Int'l Equity (Evergreen Intl. Equity A)	A	Dividend	J	T					
7. JP Morgan Midcap Value A	A	Dividend	J	T					
8. Mineral rights (see Part VIII)		None	J	W					
9. Sentinel Midcap Value A	A	Dividend	J	T	Sold	06/24/11	J	C	
10. Columbia Select LG of Value A	A	Dividend	K	T					
11. RS Value A	A	Dividend	J	T	Buy	06/24/11	J		
12. T Rowe Price Growth Stock Adv.	A	Dividend	K	T					
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

line 9: mineral rights are located on one parcel in three tracts in Fort Bend & Brazoria Counties, Texas

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher M. Klein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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