

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Durkin, Thomas M.	2. Court or Organization U.S. District Court - Northern District of Illinois	3. Date of Report 08/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Dirksen Federal Building 219 S. Dearborn Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-trustee	
2.	Co-trustee	
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2015-16	received further return of partnership capital contribution from Mayer Brown LLP; repaid over-payment of \$39,472; possible further return of \$1,829
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CD Firstbank Puerto Rico	A	Interest			Redeemed	02/09/15	M	A	
2. CD American Express Centuri	B	Interest	M	T					
3. CD General Electric Capital Bank - 0.7%	A	Interest	K	T					
4. CD General Electric Capital Bank - 1.0%	B	Interest	M	T					
5. IShares Russell 1000 Growth	C	Dividend	N	T	Buy	07/14/15	K		
6. IShares Russell 1000 Growth	C	Dividend	N	T	Buy	08/24/15	J		
7. IShares Russell 1000 Growth	C	Dividend	N	T	Sold (part)	06/17/15	J	A	
8. IShares Russell 1000 Value	D	Dividend	M	T	Buy	07/14/15	K		
9. IShares Russell 1000 Value	D	Dividend	M	T	Buy	08/24/15	J		
10. IShares Russell 1000 Value	D	Dividend	M	T	Sold (part)	06/17/15	J	A	
11. IShares Russell Midcap Growth	B	Dividend	M	T	Buy	07/14/15	J		
12. IShares Russell Midcap Growth	B	Dividend	M	T	Buy	08/24/15	K		
13. IShares Russell Midcap Value	C	Dividend	M	T	Buy	07/14/15	J		
14. IShares Russell Midcap Value	C	Dividend	M	T	Buy	08/24/15	J		
15. IShares Gold Trust		None	K	T	Buy	08/26/15	J		
16. IShares Silver Trust		None			Sold	08/26/15	J	A	
17. Chase accounts (various cash accounts)	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Northern Trust account (cash account)		None	J	T					
19. Nikrud LLC		None	J	T					
20. JP Morgan Growth	A	Dividend			Sold (part)	08/18/15	J	A	
21. JP Morgan Growth	A	Dividend			Sold	09/14/15	J	A	
22. Investco American Franchise Fund	C	Dividend	L	T					
23. Merrill Lynch Bank Deposit Program (cash account)	B	Interest	L	T					
24. New Jersey Economic Dev, NJ Revenue Muni Bond	B	Interest			Redeemed	03/02/15	K		
25. Toledo Ohi Cap IMPT, OH General Obligation Muni Bond	B	Interest			Redeemed	12/01/15	K	A	
26. Grapevine Texas Muni Bond	A	Interest	K	T					
27. Aiken Cnty SC Cons Sch Bond	B	Interest	K	T					
28. Eagle Garfld CO SD RE 50J Bond (0.5%)	B	Interest	K	T					
29. Richland Cnty SC Muni Bond	A	Interest	K	T					
30. Eagle Garfld Co SD RE50J Bond	B	Interest	K	T					
31. AUD Australian Govt, Australian Bond Taxable	C	Interest			Redeemed	04/15/15	K		
32. CD Bank of Baroda	A	Interest			Redeemed	02/17/15	M		
33. CD First Niagra Bank	A	Interest			Redeemed	11/16/15	M		
34. CD Key Bank Natl Assn, Cleveland	A	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. CD Sallie Mae Bank/Salt	B	Interest	M	T					
36. CD Goldman Sachs Bk USA (1.0%)	B	Interest	L	T					
37. CD Discover Bank, Greenwood (1.45%)	C	Interest	M	T					
38. Cook Cnty Ill Sch Dist 83 Municipal Bond	B	Interest	K	T					
39. ISHARES S&P Small Cap 600 Growth	A	Dividend	L	T	Buy	08/26/15	J		
40. ISHARES S&P Small Cap 600 Value	A	Dividend	L	T	Buy	08/26/15	J		
41. Washington St Motor Bond	B	Interest	K	T					
42. Colorado St Brd Gov Univ Bond	C	Interest	L	T					
43. Oklahoma St Wtr Res Brd Bond	A	Interest	K	T					
44. Atlanta GA RF AGC Bond	B	Interest	K	T					
45. Galveston Cnty Tex Pass Bond	A	Interest	K	T					
46. SPDR S&P ETF Trust	A	Dividend			Sold	09/15/15	J	A	
47. ISHARES Russell 2000 ETF	A	Dividend			Sold	03/15/15	J	A	
48. ISHARES MSCI EAFE ETF	A	Dividend			Sold	09/16/15	J	A	
49. American Century Value Fund	A	Dividend			Sold (part)	08/18/15	J	A	
50. American Century Value Fund	A	Dividend			Sold	09/14/15	J	A	
51. Blackrock FDS	A	Dividend			Sold	09/14/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Blackrock Inter Index Fund	A	Dividend			Sold	09/14/15	J	A	
53. Delaware Group Emerging	A	Dividend			Sold	08/18/15	J	A	
54. Dodge & Cox Funds International	A	Dividend			Sold	09/15/15	J	A	
55. Dodge & Cox Income Fund	A	Dividend			Sold	09/14/15	J	A	
56. Federated Institutional High	A	Dividend			Sold (part)	08/18/15	J	A	
57. Federated Institutional High	A	Dividend			Sold	09/14/15	J	A	
58. Goldman Sachs Small Cap	A	Dividend			Sold	03/03/15	J	A	
59. Goldman Sachs Emerging Mkts	A	Dividend			Sold	06/02/15	J	A	
60. John Hancock Income Fund	A	Dividend			Sold	09/14/15	J	A	
61. Oakmark Fund	A	Dividend			Sold (part)	08/18/15	J	A	
62. Oakmark Fund	A	Dividend			Sold	09/14/15	J	A	
63. JP Morgan IT US Equity I	A	Dividend			Sold	08/18/15	J	A	
64. JP Morgan TR II Core	A	Dividend			Sold	09/14/15	J	A	
65. JP Morgan TR I Mid Cap	A	Dividend			Sold	09/14/15	J	A	
66. JP Morgan TR I Small Cap	A	Dividend			Sold	09/14/15	J	A	
67. JP Morgan TR II High Yield	A	Dividend			Sold	09/14/15	J	A	
68. JP Morgan Short Duration Bond	A	Dividend			Sold	09/14/15	J	A	

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69. JP Morgan TR I International	A	Dividend			Sold	04/27/15	J	A	
70. Massachusetts Investors	A	Dividend			Sold (part)	08/18/15	J	A	
71. Massachusetts Investors	A	Dividend			Sold	09/14/15	J	A	
72. MFS Ser TR X Emerging Markets	A	Dividend			Sold	06/02/15	J	A	
73. MFS Research International	A	Dividend			Sold	09/14/15	J	A	
74. T Rowe Price New Income Fund	A	Dividend			Sold	09/14/15	J	A	
75. Vanguard Short Term Bond	A	Dividend			Sold	09/14/15	J	A	
76. Wells Fargo Advantage Funds	A	Dividend			Sold	08/18/15	J	A	
77. Franklin Moderate Allocation	A	Dividend	J	T					
78. Dodge & Cox Funds International	A	Dividend			Sold	09/15/15	J	A	
79. Goldman Sachs Small Cap	A	Dividend			Sold	03/03/15	J	A	
80. Pimco Short Term Fund	A	Dividend			Sold (part)	06/02/15	J	A	
81. Pimco Short Term Fund	A	Dividend			Sold	09/14/15	J	A	
82. JPM IT COR BD 1 (part of annuity)		None	M	T					
83. NW NVIT MNY MKT 1 (part of annuity)		None	L	T					
84. JPM IT Intrepid MDCAP 1 (part of annuity)		None	J	T					
85. JPM IT US EQ 1 (part of annuity)		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. CD Compass Bank, Birmingham, AL	A	Interest	M	T	Buy	11/30/15	M		
87. CD Ally Bank, Midvale VT	A	Interest	M	T	Buy	02/26/15	M		
88. CD Goldman Sachs BK USA, New York, NY 1.25%	A	Interest	M	T	Buy	02/11/15	L		
89. CD Discover BK, Greenwood, DE (1.2%)	A	Interest	L	T	Buy	02/11/15	L		
90. Wisdomtree Trust Japan Hedged Equity FD	A	Dividend			Buy	06/12/15	J		
91. Wisdomtree Trust Japan Hedged Equity FD	A	Dividend			Buy	08/20/15	J		
92. Wisdomtree Trust Japan Hedged Equity FD	A	Dividend			Sold	09/16/15	J	A	
93. Iowa Fin Authority Rev Revolving FD	A	Interest	L	T	Buy	12/03/15	L		
94. Honolulu, Hawaii City & Cnty Ser B RF	C	Interest	L	T	Buy	09/02/15	L		
95. T Rowe Price New Asia Fund	A	Dividend			Buy	03/03/15	J		
96. T Rowe Price New Asia Fund	A	Dividend			Sold	09/04/15	J	A	
97. Columbia FDS Ser TR II Mass Asia Pacific FX Japan FD CL	A	Dividend			Buy	03/03/15	J		
98. Columbia FDS Ser TR II Mass Asia Pacific FX Japan FD CL	A	Dividend			Sold	09/04/15	J	A	
99. Deutsche X Teachers MSCI EAFE Hedged Equity ETF	A	Dividend			Buy	04/14/15	J		
100. Deutsche X Teachers MSCI EAFE Hedged Equity ETF	A	Dividend			Sold	09/15/15	J	A	
101. MFS Research International FD CL I	A	Dividend			Buy	04/27/15	J		
102. MFS Research International FD CL I	A	Dividend			Sold	09/14/15	J	A	

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103. Mainstay High Yield Corporate Bond Fund CL I	A	Dividend			Buy	06/02/15	J		
104. Mainstay High Yield Corporate Bond Fund CL I	A	Dividend			Sold	09/14/15	J	A	
105. Blackrock FDS High Yield BD Portfolio	A	Dividend			Buy	06/02/15	J		
106. Blackrock FDS High Yield BD Portfolio	A	Dividend			Sold	09/11/15	J	A	
107. Brown Advisory FDS WMC Strategic European	A	Dividend			Buy	08/18/15	J		
108. Brown Advisory FDS WMC Strategic European	A	Dividend			Sold	09/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Durkin, Thomas M.

Date of Report

08/12/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Durkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544