

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pallmeyer, Rebecca R.	2. Court or Organization U.S. District Court	3. Date of Report 05/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 219 South Dearborn Street Room 2178 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	Northwestern University Salary
2. 3/1/11	Royalty: John Wiley & Sons
3. 4/13/11	Royalty: John Brockman
4. 5/15/11	Royalty: American Psychological Association
5. 5/15/11	Royalty: Taylor and Francis
6. 5/20/11	Honorarium: University of Dayton (\$1050)
7. 8/25/11	Honorarium: Deloitte (\$1500)
8. 9/1/11	Honorarium: University of British Columbia (\$150)
9. 9/2/11	Royalty: John Wiley & Sons
10. 11/15/11	Honorarium: Garrett Theological Seminary (\$300)
11. 11/22/11	Honorarium: Society for Study of Emerging Adulthood (\$425)
12.	

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IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Law Institute- American Bar Association	7/27/11-7/30/11	Santa Fe, NM	Panel Member - Seminar	transportation, lodging, meals
2.	University of Louisville Kentucky	6/22/11-6/23/11	Louisville, KY	Warns Labor and Employment Law Panel Member - Seminar	transportation, lodging, meals
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Checking Account: Chase, Evanston, IL	A	Interest	J	T					
2. CD: Chase, Evanston, IL	A	Interest	J	T					
3. Money Market Accounts: Chase, Evanston, IL	A	Interest	N	T					
4. TIAA-CREF		None	O	T					
5. Metropolitan Life	A	Dividend	J	T					
6. Fidelity Advisor Leveraged Co. Stk. C1.1	A	Dividend			Sold	08/05/11	K	A	
7. Harbor Bonds, Inc.	A	Dividend			Sold	08/05/11	K	A	
8. Keeley Small Cap Value Class A	A	Dividend			Sold	08/05/11	J		
9. American Funds New World Fund A		None	K	T					
10. Doubleline Total Return Bond FD C1.1	A	Dividend			Sold	08/05/11	K	A	
11. Oppenheimer Int's Bond FD CL Y	A	Dividend			Sold	08/05/11	J		
12. Parnassus Equity Income Port.	A	Dividend			Sold	08/05/11	K	B	
13. Pimco Commodity Real Return Inst.	A	Dividend			Sold	08/05/11	J	A	
14. Principal High Yield C1 A	A	Dividend			Sold	08/05/11	K		
15. RS Partners Fund C1 Y	A	Dividend			Sold	08/05/11	J	A	
16. T Rowe Price Real Est. Fund	A	Dividend			Sold	08/05/11	J	A	
17. Dodge & Cox Income Prosp.	A	Dividend			Sold	08/05/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Doubleline Core Fixed Income C1 1	A	Dividend			Sold	08/05/11	K	A	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rebecca R. Pallmeyer

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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