

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McConnell Jr, John J.	2. Court or Organization District of Rhode Island	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer and Board Member	Crossroads RI
2. Member	Marthas Vineyard Colonial Inn LLC
3. Board Member	Institute for the Study & Practice of NonViolence
4. Board Member	Trinity Repertory Company
5. Custodian	Alliance Capital 529 College Fund #2
6. Trustee	Family Trust #1
7. Trustee	Family Trust #2
8. Board Member	Downtown Providence Parks Conservancy
9. Chair, Selection Committee	Hassenfeld Family Foundation, RI Public Interest Service Fellowship
10. Board Member	Women's Center of Rhode Island
11. Board Member	Federal Judges' Association

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II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐

NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 1/30/2003	Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)
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2. 5/13/2011	Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)
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3. 5/13/2011	Assignment of Membership Interests in Motely Rice LLC and Mutual Release (see Section VIII for further details)
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2016	Deferred Compensation, MRRM PA	\$2,460,018.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1 (H)									
2. --Wells Fargo Money Fund	A	Interest	L	T					
3. --Allergan(Fka Actavis)name change 6-15-15		None	K	T	Buy (add'l)	07/25/16	J		
4. --Affiliated Managers Group		None	K	T	Buy (add'l)	09/19/16	J		
5.					Buy (add'l)	11/28/16	K		
6. --Apple Inc	A	Dividend	K	T					
7. --Applied Materials Inc	A	Dividend	K	T	Sold (part)	04/14/16	J	A	
8. --CBOE Holdings Inc	A	Dividend	K	T					
9. --Celgene Corp		None	K	T	Buy (add'l)	02/11/16	J		
10.					Buy (add'l)	07/25/16	J		
11. --CME Group	B	Dividend	K	T					
12. --Danaher Corp	A	Dividend	K	T	Buy	09/12/16	J		
13.					Buy (add'l)	09/22/16	J		
14. --Express Scripts Hldg Co		None	K	T	Sold (part)	07/29/16	J	A	
15. --Fedex Corp	A	Dividend	K	T	Buy	02/29/16	J		
16. --Fireeye Inc		None	J	T	Buy (add'l)	07/29/16	J		
17.					Sold (part)	11/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Global X	A	Dividend	J	T					
19. --Intel Corp	A	Dividend	K	T					
20. --Ishares TRMSCI Emerging Mkts Index Fd	A	Dividend	K	T					
21. --Ishares Tr Russell 2000 Index Fd	A	Dividend	L	T					
22. --Ishares Transportation Average ETF	A	Dividend	K	T	Buy	09/02/16	J		
23.					Buy (add'l)	09/12/16	J		
24.					Buy (add'l)	10/06/16	J		
25. --Johnson & Johnson	A	Dividend	K	T					
26. --Lattice Semiconductor Corp		None	J	T					
27. --Morgan Stanley	A	Dividend	K	T					
28. --NXP Semiconductors		None	K	T					
29. --Powershares Golden Dragon China	A	Dividend	J	T					
30. --Pricesmart Inc.	A	Dividend			Sold	4/18/16	K	A	
31. --Purefunds ISE Cyber	A	Dividend	J	T	Buy	11/21/16	J		
32. --Qualcomm Inc	C	Dividend	L	T					
33. --SPDR Gold Trust	A	Dividend	J	T					
34. --SPDR S&P Biotech ET	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --SPDR S&P Midcap 400 Trust Series N	B	Dividend	M	T					
36. --SPDR S&P 500 Trust	B	Dividend	L	T					
37. --SPDR Ser Tr S&P 600 Small Cap	C	Dividend	L	T					
38. --SPDR S&P Semiconductor ETF	A	Dividend	J	T	Buy	09/19/16	J		
39. --Stryker Corp	A	Dividend	K	T					
40. --Thermo Fisher Scientific Inc	A	Dividend	K	T	Buy	09/12/16	J		
41.					Buy (add'l)	09/22/16	J		
42.					Buy (add'l)	11/23/16	J		
43. --United Natural Foods Inc		None	J	T					
44. --Vanguard S&P 500	A	Dividend	K	T					
45. --Whole Foods Market	A	Dividend	J	T					
46. --Wisdomtree Investments	A	Dividend	K	T	Buy (add'l)	01/14/16	J		
47.					Buy (add'l)	11/28/16	J		
48.					Sold (part)	12/29/16	J		
49. --Zimmer Holdings	A	Dividend			Sold (part)	07/25/16	J	C	
50.					Sold	12/16/16	J	B	
51. --Xilinx Inc	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.									
53. Family Trust #1 (H)									
54. --Wells Fargo Money Fund	A	Interest	O	T					
55. --Affiliated Managers Group		None	K	T	Buy (add'l)	07/25/16	J		
56.					Buy (add'l)	11/14/16	J		
57. --Allergan(Fka Actavis)name change 6-15-15		None	K	T	Buy (add'l)	07/25/16	J		
58.					Buy (add'l)	11/14/16	J		
59. --Apple Inc	A	Dividend	K	T					
60. --Applied Materials Inc	A	Dividend	K	T	Sold (part)	04/14/16	J	A	
61. --CBOE Holdings Inc	A	Dividend	K	T					
62. --Celgene Corp		None	K	T	Buy (add'l)	02/11/16	J		
63.					Buy (add'l)	07/25/16	J		
64. --CME Group	B	Dividend	K	T					
65. --Danaher Corp	A	Dividend	K	T	Buy	09/12/16	J		
66.					Buy (add'l)	09/22/16	J		
67.					Buy (add'l)	11/14/16	J		
68. --Express Scripts Hldg Co		None	K	T	Sold (part)	07/29/16	J	B	

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69. --Fedex Corp	A	Dividend	K	T	Buy	02/29/16	K		
70. --Fireeye Inc		None			Buy (add'l)	07/29/16	J		
71.					Buy (add'l)	11/14/16	J		
72.					Sold	11/21/16	J		
73. --GlobalX	A	Dividend			Sold	12/29/16	J		
74. --Intel Corp	A	Dividend	K	T					
75. --ISHRS Russell 2000 Index	B	Dividend	M	T					
76. --Ishares Transportation Average ETF	A	Dividend	K	T	Buy	09/02/16	J		
77.					Buy (add'l)	09/12/16	J		
78.					Buy (add'l)	10/06/16	J		
79. --Johnson & Johnson	A	Dividend	K	T					
80. --Lattice Semiconductor Corp		None	J	T					
81. --Morgan Stanley	A	Dividend	K	T					
82. --NXP Semiconductors		None	K	T					
83. --Powershares Golden Dragon China	A	Dividend	J	T					
84. --Pricesmart Inc	A	Dividend			Sold	04/18/16	K	A	
85. --Purefunds ISE Cyber		None	K	T	Buy	11/14/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. --Qualcomm Inc	C	Dividend	M	T					
87. --SPDR Gold Trust	A	Dividend	J	T					
88. --SPDR S&P 500 Trust	B	Dividend	K	T	Sold (part)	07/25/16	K	D	
89. --SPDR S&P Biotech	A	Dividend	L	T					
90. --SPDR S&P Midcap 400	A	Dividend	L	T					
91. --SPDR Ser Tr S&P 600 Small Cap	C	Dividend	L	T					
92. --SPDR S&P Semiconductor ETF	A	Dividend	J	T	Buy	09/19/16	J		
93. --Stryker	A	Dividend	K	T					
94. --Thermo Fisher Scientific Inc	A	Dividend	K	T	Buy	09/12/16	J		
95.					Buy (add'l)	09/22/16	J		
96.					Buy (add'l)	11/23/16	J		
97. --Vanguard S&P 500 ETF	B	Dividend	L	T					
98. --Whole Foods Market	A	Dividend	J	T					
99. --Wisdomtree Investments	A	Dividend	K	T	Buy (add'l)	01/14/16	J		
100.					Buy (add'l)	11/14/16	J		
101.					Sold (part)	12/29/16	J		
102. --Zimmer Holdings	A	Dividend			Sold	12/16/16	K	B	

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103. --Xilinx Inc	C	Dividend	M	T					
104.									
105. Family Trust #2 (H)									
106. --Wells Fargo Money Fund	A	Interest	N	T					
107. --Allergan(FkaActavis) Name change 6-15-15		None	L	T	Buy (add'l)	07/25/16	K		
108. --Affiliated Managers Group		None	L	T	Buy (add'l)	09/19/16	K		
109.					Buy (add'l)	11/28/16	K		
110.					Sold (part)	12/28/16	L		
111. --Apple Inc	C	Dividend	M	T					
112. --Applied Materials Inc	A	Dividend	K	T	Sold (part)	04/14/16	K	B	
113. --CBOE Holdings Inc	B	Dividend	M	T					
114. --Celgene Corp		None	M	T	Buy (add'l)	02/11/16	J		
115.					Buy (add'l)	07/25/16	K		
116. --CME Group	D	Dividend	M	T					
117. --Danaher Corp	A	Dividend	L	T	Buy	09/12/16	K		
118.					Buy (add'l)	09/22/16	K		
119. --EMC Corp Mass	B	Dividend			Sold	09/07/16	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Dell Technologies Inc		None	K	T	Spinoff (from line 119)	09/07/16	K		
121. --Express Scripts Hldg Co		None	M	T					
122. --Fedex Corp	A	Dividend	L	T	Buy	02/29/16	L		
123. --Fireeye Inc		None			Buy (add'l)	07/29/16	J		
124.					Sold	11/21/16	K		
125. --Global X	C	Dividend	K	T					
126. --Intel Corp	C	Dividend	L	T					
127. --Ishares China	B	Dividend	L	T					
128. --ISHRS Russell 2000 Index	C	Dividend	M	T					
129. --Ishares TRMSCI Emerging Mkts Index Fd	A	Dividend	K	T					
130. ---iShares Transportation Average ETF	A	Dividend	L	T	Buy	09/02/16	K		
131.					Buy (add'l)	09/12/16	J		
132.					Buy (add'l)	10/06/16	K		
133. --Johnson & Johnson	C	Dividend	M	T					
134. --Lattice Semiconductor Corp		None	L	T					
135. --Morgan Stanley	B	Dividend	L	T					
136. --NXP Semiconductors		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Powershares Golden Dragon China	B	Dividend	L	T					
138. --Pricesmart Inc	A	Dividend			Sold	04/18/16	L	A	
139. --Purefunds ISE Cyber		None	K	T	Buy	11/21/16	K		
140. --Qualcomm Inc	D	Dividend	N	T					
141. --SPDR Gold Trust	A	Dividend	L	T					
142. --SPDR S&P Biotech	A	Dividend	N	T	Buy (add'l)	07/25/16	L		
143. --SPDR S&P Midcap 400	C	Dividend	N	T					
144. --SPDR S&P 500 Trust ETF	D	Dividend	N	T					
145. --SPDR Ser Tr S&P 600 Small Cap ETF	D	Dividend	N	T					
146. --SPDR® S&P Semiconductor ETF	A	Dividend	L	T	Buy	09/19/16	L		
147. --Stryker	B	Dividend	M	T					
148. --Thermo Fisher Scientific Inc	A	Dividend	L	T	Buy	09/12/16	K		
149.					Buy (add'l)	09/22/16	K		
150.					Buy (add'l)	11/23/16	K		
151. --United Natural Foods Inc		None	K	T					
152. --Vanguard Mid Cap ETF	B	Dividend	L	T					
153. --Vanguard S&P 500 ETF	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Whole Foods Market	A	Dividend	K	T					
155. --Wisdomtree Investments	B	Dividend	L	T	Buy (add'l)	01/14/16	K		
156.					Buy (add'l)	11/28/16	K		
157.					Sold (part)	12/29/16	K		
158. --Zimmer Holdings	A	Dividend			Sold	07/25/16	L	D	
159. --Xilinx Inc.	D	Dividend	N	T					
160.									
161. IRA #1 (H)									
162. --Wells Fargo Cash	A	Interest	J	T					
163. --Allergan (Fka Actavis) name change 6/15/15		None	J	T					
164. --Affiliated Managers Group		None	J	T					
165. --Apple Inc	A	Dividend	J	T					
166. --Applied Materials Inc	A	Dividend	J	T					
167. --CBOE Holdings Inc	A	Dividend	J	T					
168. --Celgene Corp		None	J	T	Buy (add'l)	02/11/16	J		
169. --CME Group	A	Dividend	J	T					
170. --DanaHER Corp	A	Dividend	J	T	Buy	09/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	09/22/16	J		
172. --Dell Technologies Inc		None	J	T	Spinoff (from line 173)	09/07/16	J		
173. --EMC Corp Mass	A	Dividend			Sold	09/07/16	J	A	
174. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T					
175. --Express Scripts Hldg Co		None	J	T					
176. --Fedex Corp	A	Dividend	J	T	Buy	02/29/16	J		
177. --Fireeye Inc		None	J	T					
178. --Global X Funds ET	A	Dividend	J	T					
179. --Intel Corp	A	Dividend	J	T					
180. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
181. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
182. --Ishares NASDAQ Biotech	A	Distribution	J	T					
183. --iShares Transportation Average ETF	A	Dividend	J	T	Buy	09/02/16	J		
184.					Buy (add'l)	09/12/16	J		
185.					Buy (add'l)	10/06/16	J		
186. --Ishares US Broker Dealers	A	Dividend	J	T					
187. --Johnson & Johnson	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Lattice Semiconductor Corp		None	J	T					
189. --Morgan Stanley	A	Dividend	J	T					
190. --NCR		None			Sold	03/03/16	J		
191. --NXP Semiconductore		None	J	T					
192. --Potash Corp of Saskatchewan Inc	A	Dividend	J	T					
193. --Powershares Golden Dragon China	A	Dividend	J	T					
194. --Proshares Trust Short 20+ Treasury		None			Sold	01/29/16	J		
195. --Qualcomm Inc	A	Dividend	J	T	Buy	05/20/16	J		
196. --Guggenheim S&P 500 Equal Wghted Index Fd fka Rydex	A	Dividend	J	T					
197. --SPDR Gold Trust	A	Dividend	J	T					
198. --SPDR S&P Biotech	A	Dividend	J	T					
199. --SPDR S&P China	A	Dividend	J	T					
200. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
201. --Stryker	A	Dividend	J	T					
202. --Thermo Fisher Scientific Inc	A	Dividend	J	T	Buy	09/12/16	J		
203.					Buy (add'l)	09/22/16	J		
204. --United Natural Foods		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Vanguard Mid Cap	A	Dividend	J	T					
206. --Vanguard MSCI ETF Emerging	A	Dividend	J	T					
207. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
208. --Vanguard Russell 2000 Value	A	Dividend	J	T					
209. --Vanguard S&P 500	A	Dividend	J	T					
210. --Walmart	A	Dividend	J	T					
211. --Whole Foods Market	A	Dividend	J	T					
212. --Wisdomtree Investments	A	Dividend	J	T	Buy (add'l)	01/14/16	J		
213. --Zimmer Holdings	A	Dividend			Sold	12/16/16	J	A	
214.									
215. IRA #2 (H)									
216. --Wells Fargo Money Fund	A	Interest	J	T					
217. --Allergan (Fka Actavis) nmae change 6/15/15		None	J	T					
218. --Affiliated Managers Group		None	J	T					
219. --Apple Inc	A	Dividend	J	T					
220. --Applied Materials	A	Dividend	J	T					
221. --CBOE Holdings Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. --Celgene Corp		None	J	T	Buy (add'l)	02/11/16	J		
223. --CME Group	A	Dividend	J	T					
224. --DanaHER Corp	A	Dividend	J	T	Buy	09/12/16	J		
225.					Buy (add'l)	09/22/16	J		
226. --Dell Technologies Inc		None	J	T	Spinoff (from line 227)	09/07/16	J		
227. --EMC Corp Mass	A	Dividend			Sold	09/07/16	J	A	
228. --Express Scripts Hldg Co		None	J	T					
229. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T					
230. --Fedex Corp	A	Dividend	J	T	Buy	02/29/16	J		
231. --Fireeye Inc		None	J	T					
232. --Global X	A	Dividend	J	T					
233. --Intel Corp	A	Dividend	J	T					
234. --Ishares NASDAQ	A	Dividend	J	T					
235. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
236. --Ishares TR MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
237. --Ishares Transportation Average ETF	A	Dividend	J	T	Buy	09/02/16	J		
238.					Buy (add'l)	09/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	10/06/16	J		
240. --Ishares US Brokers Dealers	A	Dividend	J	T					
241. --Johnson & Johnson	A	Dividend	J	T					
242. --Lattice Semiconductors		None	J	T					
243. --Morgan Stanley	A	Dividend	J	T					
244. --NCR		None			Sold	03/03/16	J		
245. --NXP Semiconductors		None	J	T					
246. --Potash Corp of Saskatchewan Inc	A	Dividend	J	T					
247. --Powershares Golden Dragon China	A	Dividend	J	T					
248. --Proshares Trust Short 20+ Yr Treasury		None			Sold	01/29/16	J		
249. --Qualcomm Inc	A	Dividend	J	T	Buy	05/20/16	J		
250. --Guggenheim S&P 500 Equal Wgtd Index Fd fka Rydex	A	Dividend	J	T					
251. --SPDR S&P Biotech	A	Dividend	J	T					
252. --SPDR S&P China	A	Dividend	J	T					
253. --SPDR Gold Trust	A	Dividend	J	T					
254. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
255. --Stryker Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --Thermo Fisher Scientific	A	Dividend	J	T	Buy	09/12/16	J		
257.					Buy (add'l)	09/22/16	J		
258. --United Natural Foods		None	J	T					
259. --Vanguard Mid Cap	A	Dividend	J	T					
260. --Vanguard MSCI EAFE	A	Dividend	J	T					
261. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
262. --Vanguard Russell 2000 Value	A	Dividend	J	T					
263. --Vanguard S&P 500	A	Dividend	J	T					
264. --Walmart	A	Dividend	J	T					
265. --Whole Foods Market	A	Dividend	J	T					
266. --Wisdomtree Investments	A	Dividend	J	T	Buy (add'l)	01/14/16	J		
267. --Zimmer Holdings	A	Dividend			Sold	12/16/16	J	A	
268.									
269. IRA #3 (H)									
270. --Wells Fargo Money Fund	A	Interest	M	T					
271. --Allergan(Fka Actavis)name change 6-15-15		None	K	T	Buy (add'l)	07/25/16	J		
272. --Affiliated Managers Group		None	K	T	Buy (add'l)	07/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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Name of Person Reporting

McConnell Jr, John J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --Apple Inc	B	Dividend	L	T					
274. --Applied Materials Inc	A	Dividend	K	T					
275. --CBOE Holdings Inc	A	Dividend	K	T					
276. --Celgene Corp		None	K	T	Buy (add'l)	02/11/16	J		
277.					Buy (add'l)	07/25/16	J		
278. --CME Group	B	Dividend	L	T					
279. --DanaHER Corp	A	Dividend	K	T	Buy	09/12/16	J		
280.					Buy (add'l)	09/22/16	J		
281. --Dell Technologies Inc		None	J	T	Spinoff (from line 282)	09/07/16	J		
282. --EMC Corp Mass	A	Dividend			Sold	09/07/16	K	C	
283. --EFTS Platinum Trust Shs Ben Int EFT		None	J	T					
284. --Express Scripts Hldg Co		None	K	T					
285. --Fedex Corp	A	Dividend	K	T	Buy	02/29/16	K		
286. --Fireeye Inc		None	J	T					
287. --Global X	A	Dividend	J	T					
288. --Intel Corp	A	Dividend	K	T					
289. --Ishares TRMSCI Emerging Mkts Index Fd	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. --iShares Transportation Average ETF	A	Dividend	K	T	Buy	09/02/16	J		
291.					Buy (add'l)	09/12/16	J		
292.					Buy (add'l)	10/06/16	J		
293. --Johnson & Johnson	A	Dividend	K	T					
294. --Lattice Semiconductor Corp		None	K	T					
295. --Morgan Stanley	A	Dividend	K	T					
296. --NCR		None			Sold	03/03/16	K		
297. --NXP Semiconductors		None	K	T					
298. --Potash Corp of Saskatchewan Inc	A	Dividend	J	T					
299. --Powershares Golden Dragon China	A	Dividend	J	T					
300. --Proshares Trust ETF Short 20+ Yr Treasury		None			Sold	01/29/16	K		
301. --Guggenheim S&P 500 Equal Wghtd Index Fd(Formerly Rydex)	B	Dividend	M	T					
302. --Qualcomm Inc	B	Dividend	L	T					
303. --SPDR Gold Trust	A	Dividend	K	T					
304. --SPDR S&P Biotech ET	A	Dividend	L	T					
305. --SPDR S&P China	A	Dividend	K	T					
306. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend			Sold	07/25/16	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. --Stryker Corp	A	Dividend	L	T					
308. --Thermo Fisher Scientific	A	Dividend	K	T	Buy	09/12/16	J		
309.					Buy (add'l)	09/22/16	J		
310. --United Natural Foods Inc		None	J	T					
311. --Vanguard Mid Cap	B	Dividend	L	T					
312. --Vanguard MSCIEAFE	A	Dividend	K	T					
313. --Vanguard Russell 2000 Growth	B	Dividend	M	T					
314. --Vanguard Russel 2000 Value	B	Dividend	M	T					
315. --Vanguard S&P 500	B	Dividend	M	T					
316. --Walmart	A	Dividend	K	T					
317. --Whole Foods Market	A	Dividend	J	T					
318. --Zimmer Holdings	A	Dividend			Sold (part)	07/25/16	K	C	
319.					Sold	12/16/16	J	B	
320. --Xilinx Inc	A	Dividend	K	T					
321.									
322. Bank RI Accounts #1	A	Interest	M	T					
323. Bank RI Accounts #2	A	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. Bank RI Accounts #3	A	Interest	K	T					
325. Navigant Credit Union	A	Interest	J	T					
326.									
327. Alliance Capital 529 College Fund #2 (H)									
328. --CBF Portfolio 1996-98 ALT RA	A	Int./Div.	K	T					
329.									
330. Catalist LLC	A	Interest	K	U					
331. DSF Capital Partners III LP	G	Distribution	L	U					
332. DSF Capital Partners IV LP	G	Distribution	N	U					
333.									
334. MetLife Universal Life		None	M	T					
335. Sun Life Universal Life #1		None	O	T					
336. Sun Life Universal Life #2		None	O	T					
337. Sun Life Universal Life #3		None	O	T					
338.									
339. U.S. Savings Bonds	A	Interest	J	T					
340.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Martha's Vineyard Colonial Inn LLC	F	Distribution	P1	U					
342.									
343. Charlestown, Rhode Island, Rental Property (X)	F	Rent			Sold	12/29/16	P1		
344.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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McConnell Jr, John J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements

A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.

B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.

C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John J. McConnell Jr**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544