

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKinney, Larry J.	2. Court or Organization U.S. Dist. Ct. So. Dist. of IN	3. Date of Report 07/23/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 204 U.S. Courthouse 46 E. Ohio St. Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1978-87	Indiana Judicial Retirement System, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012	Franklin College of Indiana	- Wages
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Schwab Bank	Mortgage - Staten Island, New York Condo	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab IRA	D	Int./Div.	N	T					
2. - Lexington Gold Mutual Fund (LEXMX)									
3. - Schwab Adv Cash Reserve (SWQXX)									
4. - iShares Trust S&P 500 (IVV)									
5. - PIMCO Unconstrained Bond Fd (PFIUX)					Sold	04/13/12	K	B	
6. - GE Capital Fixed Income					Matured	04/10/12	K	A	
7. - PIMCO Total Return Mutual Fd (PTTRX)									
8. - iShares Tr MSCI EAFE Fd (EFA)									
9. - PIMCO Local Emerging Mkt (PELBX)									
10. - Scout International Fund (UMBWX)					Sold	04/13/12	J	B	
11. - Vanguard Appreciation Fund (VIG)					Sold (part)	04/13/12	J	B	
12. - Schwab US Large Cap Growth (SCHG)					Buy (add'l)	04/13/12	J		
13. - American Fd Growth Fund (GFAFX)									
14. - Calamos Growth & Income Fund (CVTRX)					Sold	04/13/12	J	A	
15. - Sound Shore Fund (SSHFX)					Sold (part)	04/13/12	J	A	
16.					Sold (part)	09/26/12	J	A	
17. - Osterweis Strategic (OSTIX)					Sold	04/13/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Vanguard MSCI European (VGK)					Buy	12/19/12	J		
19. - Schwab Emerging Mkt Eq ETF (SCHE)					Buy	04/13/12	K		
20.					Buy (add'l)	09/26/12	J		
21. - Vanguard Bond Index Fund (BIV)					Buy	04/13/12	K		
22. - Loomis Sayles Bond Fund (LSBRX)					Buy	04/13/12	K		
23.									
24. State Farm Life Insurance Policy # 1 - Cash Value	A	Interest	J	T					
25. State Farm Life Insurance Policy # 2 - Cash Value	A	Interest	J	T					
26.									
27. St. Joseph County, IN Farm - [REDACTED]	C	Rent	M	W					
28.									
29. Huntington TOD Account (H)									
30. -Huntington Money Mkt (HFIXX)	A	Dividend	J	T					
31. -Van Kampen Unit Trs Unit 1269 Closed Fund	B	Dividend	L	T	Buy	11/01/12	L		
32. -Build Amer Bds Income Tr Unit [REDACTED]	C	Dividend	L	T					
33.									
34. Schwab IRA	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Schwab Adv Cash Reserve (SWQXX)									
36. - Lexington Gold Mutual Fd (LEXMX)									
37. - American Funds Growth Fd of Amer (AGTHX)					Sold (part)	04/13/12	K	D	
38.					Sold (part)	12/19/12	J	B	
39. - PIMCO Local Emerging Market (PELBX)					Sold (part)	04/13/12	K	A	
40. - Dreyfus Small Cap Stock (DISSX)									
41. - PIMCO Total Return Fund (PTTRX)									
42. - PIMCO Unconstrained Bd Fd (PUBDX)									
43. - Schwab US Large Cap Growth (SCHG)									
44. - Calamos Growth & Income (CVTRX)					Sold	04/13/12	J	A	
45. - Schwab US Large Cap Value (SCHV)									
46. - Oakmark Intl Fd (OAKIX)					Sold (part)	04/13/12	J	A	
47. - PIMCO Total Return (PTTDX)									
48. - Osterweis Strategic (OSTIX)									
49. - Vanguard MSCI European (VGK)					Buy	12/19/12	J		
50. - Schwab Emerging Mkt ETF (SCHE)					Buy	09/26/12	J		
51. - Vanguard Short-Term Bond ETF (BSV)					Buy	04/13/12	K		

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52. - Loomis Sayles Bond Fund (LSBRX)					Buy	04/13/12	J		
53.									
54. Schwab Account (H)									
55. - PIMCO Total Return Fund (PTTDX)	A	Dividend	J	T					
56. - Schwab Muni Money Fd (SWXXX)	A	Dividend	J	T					
57.									
58. Salin Bank Premier Interest Checking	A	Interest	L	T					
59.									
60. Staten Island, New York Condo		None	N	W					
61.									
62. Sovereign Bank Account	A	Interest	J	T	Buy	06/01/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1.) Part II - Indiana's state pension for eight and half years on state bench from January 1978 to July 1987.
- 2.) Part VII, line 24 and 25 - Insurance policies that I own [REDACTED]. The premiums have been prepaid [REDACTED]. The value indicated is the cash value.
- 3.) Part VII, line 27, 29-32, 58 - [REDACTED]. Estate is closed.
- 4.) Part VII, line 27 - Most appropriate code for farm income is rent. Income represents shared income after expenses with a farmer.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Larry J. McKinney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544