

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                          |                                                                  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>LUNDIN, KEITH M.                                                                                                                                            | <b>2. Court or Organization</b><br><br>USBC (M.D. TENN.)                                                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>05/11/2012                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. BANKRUPTCY JUDGE                                                                                | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2011<br>to<br>12/31/2011 |
| <b>7. Chambers or Office Address</b><br><br>701 BROADWAY<br>CUSTOMS HOUSE, 2D FLOOR<br>NASHVILLE, TN 37203                                                                                                                       |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the <b>NONE</b> box for each part where you have no reportable information. Insert signature on last page.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|            |              |
|------------|--------------|
| 1. TRUSTEE | FAMILY TRUST |
| 2.         |              |
| 3.         |              |
| 4.         |              |
| 5.         |              |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|             |                                                                  |
|-------------|------------------------------------------------------------------|
| 1. CONTINUE | CALNORBAN ASSOC. (AGMT EDIT BK NEWSLETTER) (Now Thomson Reuters) |
| 2. CONTINUE | BANKRUPTCY PRESS, INC. (BOOK CONTRACT)                           |
| 3.          |                                                                  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                 | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------------------------------------|----------------------------------------|
| 1. 2011     | CALNORBAN ASSOCIATES (ROYALTIES) (Now Thomson Reuters) | \$13,200.00                            |
| 2.          |                                                        |                                        |
| 3.          |                                                        |                                        |
| 4.          |                                                        |                                        |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u>                               | <u>DATES</u>           | <u>LOCATION</u>  | <u>PURPOSE</u>         | <u>ITEMS PAID OR PROVIDED</u> |
|----|---------------------------------------------|------------------------|------------------|------------------------|-------------------------------|
| 1. | NATIONAL ASSOCIATION OF CHAPTER 13 TRUSTEES | 1/20/2011 TO 1/22/2011 | WASHINGTON, D.C. | SPEAKER AT CLE MEETING | TRAVEL, FOOD & LODGING        |
| 2. | ADVANCED CONSUMER BANKR. PRACTICE INSTITUTE | 2/21/2011 TO 2/24/2011 | SACRAMENTO, CA   | SPEAKER AT CLE SEMINAR | TRAVEL, FOOD & LODGING        |
| 3. | NORTHERN CALIFORNIA BANKR. CONF.            | 2/24/2011 TO 2/26/2011 | SACRAMENTO, CA   | SPEAKER AT CLE SEMINAR | TRAVEL, FOOD & LODGING        |
| 4. | NORTON BANKRUPTCY INSTITUTES                | 3/31/2011 TO 4/4/2011  | LAS VEGAS, NV    | SPEAKER AT CLE SEMINAR | TRAVEL, FOOD & LODGING        |

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|     |                                                       |                             |                   |                           |                        |
|-----|-------------------------------------------------------|-----------------------------|-------------------|---------------------------|------------------------|
| 5.  | AMERICAN<br>BANKRUPTCY INSTITUTE                      | 6/08/2011 TO<br>6/11/2011   | TRAVERSE CITY, MI | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |
| 6.  | NORTON BANKRUPTCY<br>INSTITUTES                       | 6/27/2011 TO<br>6/28/2011   | JACKSON HOLE, WY  | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |
| 7.  | ADVANCED CONSUMER<br>BANKRUPTCY PRACTICE<br>INSTITUTE | 7/31/2011 TO<br>8/3/2011    | ANAHEIM, CA       | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |
| 8.  | NATIONAL ASS'N OF<br>CHAPTER 13 TRUSTEES              | 8/3/2011 TO 8/7/2011        | ANAHEIM, CA       | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |
| 9.  | CALIFORNIA BAR ASS'N                                  | 9/23/2011 TO<br>9/24/2011   | LOS ANGELES, CA   | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |
| 10. | NATIONAL CONF. OF<br>BANKRUPTCY JUDGES                | 10/11/2011 TO<br>10/14/2011 | TAMPA, FL         | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |
| 11. | MEMPHIS BAR ASS'N                                     | 10/27/2011 TO<br>10/28/2011 | MEMPHIS, TN       | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |
| 12. | ALLEGHENY COUNTY<br>BAR ASS'N                         | 12/07/2011 TO<br>12/10/2011 | PITTSBURGH, PA    | SPEAKER AT CLE<br>SEMINAR | TRAVEL, FOOD & LODGING |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                   |   |          |   |   |      |         |   |   |  |
|-----------------------------------|---|----------|---|---|------|---------|---|---|--|
| 1. General M.M. CIB               | A | Dividend | K | T |      |         |   |   |  |
| 2. General M.M. CIB (trust asset) | A | Dividend | J | T |      |         |   |   |  |
| 3. FORM (common stock)            |   | None     | J | T |      |         |   |   |  |
| 4. GSH (common stock)             | A | Dividend | J | T |      |         |   |   |  |
| 5. TVIN (common stock)            |   | None     | J | T |      |         |   |   |  |
| 6. MUHLX (mutual fund)            | A | Dividend |   |   | Sold | 2/3/11  | J | A |  |
| 7. PAC (common stock)             | A | Dividend | J | T |      |         |   |   |  |
| 8. ATHR (common stock)            |   | None     |   |   | Sold | 1/14/11 | J | C |  |
| 9. IBOC (common stock)            | A | Dividend | J | T |      |         |   |   |  |
| 10. ICO (common stock)            |   | None     |   |   | Sold | 5/3/11  | J | D |  |
| 11. MWA.B (common stock)          | A | Dividend |   |   | Sold | 8/12/11 | J | A |  |
| 12. TCAP (common stock)           | A | Dividend | J | T |      |         |   |   |  |
| 13. BRKB (common stock)           |   | None     | K | T |      |         |   |   |  |
| 14. CMM (common stock)            |   | None     | J | T |      |         |   |   |  |
| 15. CRWN (common stock)           |   | None     | J | T |      |         |   |   |  |
| 16. EMC (common stock)            |   | None     | J | T |      |         |   |   |  |
| 17. GCI (common stock)            | A | Dividend | J | T |      |         |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                         |   |          |   |   |     |          |   |  |  |
|-------------------------|---|----------|---|---|-----|----------|---|--|--|
| 18. IGT (common stock)  | A | Dividend | J | T |     |          |   |  |  |
| 19. JNJ (common stock)  | A | Dividend | J | T |     |          |   |  |  |
| 20. LPSN (common stock) |   | None     | J | T |     |          |   |  |  |
| 21. MSFT (common stock) | A | Dividend | J | T |     |          |   |  |  |
| 22. NSR (common stock)  |   | None     | J | T |     |          |   |  |  |
| 23. RRC (common stock)  | A | Dividend | J | T |     |          |   |  |  |
| 24. UPL (common stock)  |   | None     | J | T |     |          |   |  |  |
| 25. ARO (common stock)  |   | None     | J | T | Buy | 9/15/11  | J |  |  |
| 26. BPI (common stock)  |   | None     | J | T | Buy | 2/2/11   | J |  |  |
| 27. GLW (common stock)  | A | Dividend | J | T | Buy | 10/4/11  | J |  |  |
| 28. ENOC (common stock) |   | None     | J | T | Buy | 1/27/11  | J |  |  |
| 29. F (common stock)    | A | Dividend | J | T | Buy | 1/31/11  | J |  |  |
| 30. INFN (common stock) |   | None     | J | T | Buy | 2/4/11   | J |  |  |
| 31. IO (common stock)   |   | None     | J | T | Buy | 1/10/11  | J |  |  |
| 32. JBT (common stock)  | A | Dividend | J | T | Buy | 11/23/11 | J |  |  |
| 33. PEB (common stock)  | A | Dividend | J | T | Buy | 2/17/11  | J |  |  |
| 34. PETD (common stock) |   | None     | J | T | Buy | 6/13/11  | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. ROIC (common stock)                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 10/24/11                | J                               |                                |                                                                   |
| 36. SVU (common stock)                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 1/13/11                 | J                               |                                |                                                                   |
| 37. WY (common stock)                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 11/23/11                | J                               |                                |                                                                   |
| 38. YONG (common stock)                                                                                                     |                                         | None                                          | J                                               | T                                         | Buy                                             | 8/16/11                 | J                               |                                |                                                                   |
| 39.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **KEITH M. LUNDIN**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544