

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerber, Robert E.	2. Court or Organization US Bankruptcy Court SDNY	3. Date of Report 06/02/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge - Recalled and then Fully Retired	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 01/22/2016
7. Chambers or Office Address 485 Lexington Avenue Fl 30 New York, NY 10017		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	American College of Bankruptcy
2.	Director	American Bankruptcy Institute
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Matthew Bender & Co. (LexisNexis), compensation for chapters written as contributing author to Collier on Bankruptcy	\$3,120.00
2. 2015	Columbia Law School - Teaching	\$6,000.00
3. 2016	Columbia Law School - Teaching	\$600.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed writer
2. 2016	Self-employed writer
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American College of Bankruptcy	3/12/2015-3/14/2015	Washington, DC	Meeting, Committee and Board Meetings	Transportation, Lodging, Food
2.	American Bankruptcy Law Forum	3/17/2015-3/18/2015	Dayton, OH	Speaker at Program	Transportation, Lodging, Food
3.	American Bankruptcy Institute	4/16/2015-4/19/2015	Washington DC	Board and Committee Meetings, Speaker at Program	Transportation, Lodging, Food
4.	Association of Insolvency & Restructuring Advisors	6/3/2015-6/5/2015	Philadelphia, PA	Speaker at Program	Transportation, Lodging, Food

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

5.	American Bankruptcy Institute	7/9/2015-7/12/2015	N. Falmouth, MA	Speaker at Program	Transportation, Lodging, Food
6.	Midwest Regional Bankruptcy Seminar	8/26/2015-8/28/2015	Cincinnati, OH	Speaker at Program	Transportation, Lodging, Food
7.	National Conference of Bankruptcy Judges	9/25/2015-9/30/2015	Miami Beach, FL	Meeting	Transportation, Lodging, Food
8.	Turnaround Management Association	10/5/2015-10/7/2015	Scottsdale, AZ	Speaker at Program	Transportation, Lodging, Food
9.	American Bankruptcy Institute	12/3/2015-12/5/2015	Phoenix, AZ	Board and Committee Meetings, Speaker at Program	Transportation, Lodging, Food

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Northwestern Mutual Life	Policy Loans	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IN BROKERAGE ACCT #1 (Fidelity Investments):									
2. - Columbia Acorn Fund Mutual Fund Shares	F	Dividend	L	T	Donated (part)				
3. - Same					Sold (part)	06/08/15	L	E	Not Applicable
4. - NY State Thruway 5.0%, 2019, Muni Bonds	C	Interest			Matured	10/01/15	L		Not Applicable
5. - Fidelity Municipal Money Mkt Mut Fund Shares	A	Dividend	M	T	Sold (part)	06/15/15	K		Not Applicable
6. - Vanguard Limited Term Tax Exempt Fund Mutual Fund Shares	A	Dividend	K	T					
7. - Fidelity Contrafund Mut Fund Shares	B	Dividend	K	T					
8. - Baron Growth Fund Mut Fund Shares	D	Dividend			Sold	01/12/16	L	E	Not Applicable
9. - BBH Core Select Fund Class N Mut Fund Shares	C	Dividend	L	T					
10. - S&P 500 Depository Receipts ("SPDRs")	A	Dividend	K	T					
11. - Baron Growth Fund Instut. Class. Mut Fund Shs	B	Dividend			Sold	01/12/16	K		Not Applicable
12. - Fidelity Low Price Stock Fund Mut Fund Shs	B	Dividend	K	T					
13. IN BROKERAGE ACCT #2 (Fidelity Investments):									
14. - Fidelity Municipal Money Mkt Mut Fund Shares	A	Dividend	J	T					
15. - Vanguard Limited Term Tax Exempt Mutual Fund	A	Dividend	J	T					
16. - Deutsche Intermed Term Tax Free Instut Class Mut Fund Shares	A	Dividend	L	T					
17. ROLLOVER IRA #1, FIDELITY INVESTMENTS	F	Int./Div.	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Fidelity Cash Reserves Money Mkt Mut Fund Shares									
19. - Tweedy Browne Global Value Fund Mut Fund Shs					Sold	01/14/16	M	E	Not Applicable
20. - Fidelity Contrafund Mut Fund Shares									
21. - Ivy Asset Strategy Fund C1 I Mut Fund Shs					Sold	01/30/15	L	C	Not Applicable
22. - Morgan Stanley Global Long/Short Fund Mut Fund Shs									
23. - BlackRock Global Allocation Fund Institut Mut Fund Shs									
24. - Pimco Total Return Fund Instut Mut Fund Shs									
25. - BBH Core Select Fund Class N Mut Fund Shares									
26. - JP Morgan Large Cap Growth Sel Class Mut Fund Shs									
27. - Fidelity Low Price Stock Mut Fund Shs									
28. - Vanguard Ltd Term Tax Exempt Fund Mut Fund Shs					Sold	06/10/15	J		Not Applicable
29. - S&P 500 Depository Receipts ("SPDRs")									
30. - Fidelity Spartan Mkt Index Advantage (FSTVX) Mut Fund Shares									
31. - Fidelity Spartan 500 Index Advantage (FUSVX) Mut Fund Shs									
32. - Same									
33. - Baron Growth Fund Instut Class Mut Fund Shs					Sold	01/12/16	K		Not Applicable
34. - BlackRock Strategic Muni Opportunities Fund Mut Fund Shs									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - BlackRock Equity Dividend Fund-Institut Mut Fund Shs									
36. - Deutsche Intermed Tax Free Institut Mut Fund Shs									
37. - Glenmede Large Cap Core Institutional Mutual Fund Shs					Buy	01/14/16	L		Not Applicable
38. - Deutsche Europe Hedged Equity Mut Fund Shs					Buy	01/15/15	L		Not Applicable
39. - Wisdomtree Europe Hedged Equity Mut Fund Shs					Buy	01/15/16	L		Not Applicable
40. IRA (#1), FIDELITY INVESTMENTS	A	Dividend	L	T					
41. - Fidelity Cash Reserves Money Mkt Mut Fund Shares									
42. - Fidelity Low Price Stock Fund Mutual Fund Shares									
43. - Deutsche Intermed Tax Free Mut Fund Shs									
44. IRA (#2), FIDELITY INVESTMENTS	C	Dividend	L	T					
45. - Tweedy Browne Global Value Fund Mut Fund Shares					Sold	01/14/16	L		Not Applicable
46. - Baron Growth Mut Fund Shares					Sold	01/12/16	J	A	Not Applicable
47. - Fidelity Contrafund Mut Fund Shares									
48. - Glenmede Large Cap Core Mut Fund Shares					Buy	01/13/16	J		Not Applicable
49. - Wisdomtree Europe Hedged Equity Mut Fund Shares					Buy	01/14/16	K		Not Applicable
50. - Deutsche Europe Hedged Equity Mut Fund Shares					Buy	01/14/16	K		Not Applicable
51. - Fidelity Cash Reserves Money Mkt Mut Fund Shares									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. IN BROKERAGE ACCOUNT #3 (Fidelity Investments)									
53. - Fidelity Spartan 500 Index Advantage (FUSVX) Mut Fund Shs	A	Dividend	K	T					
54. - Baron Growth Mutual Fund Shares	A	Dividend			Sold	01/12/16	J	A	Not Applicable
55. - Fidelity Municipal Money Mkt Mut Fund Shares	A	Dividend	J	T					
56. - Vanguard Limited Term Tax Exempt Mut Fund Shs	A	Dividend	J	T					
57. - Glenmede Large Cap Core Mut Fund Shs			J	T	Buy	01/13/16	J		Not Applicable
58. LIMITED PARTNERSHIP INTERESTS:									
59. - Broadway 72 Assocs, Ltd Partnership Interest	E	Distribution	J	U					
60. -FFHS&K Investment Assocs. 1983-I, Ltd Partnership Interest		None	J	U					
61. ROLLOVER IRA #2, FIDELITY INVESTMENTS	F	Int./Div.	P1	T					
62. - Fidelity Cash Reserves Money Market Mut Fund Shares									
63. - Fidelity Contrafund Mut Fund Shares					Buy (add'l)	02/02/15	K		
64. - Blackrock Global Allocat Fund-Institut'l Mut Fund Shares									
65. - Ivy Asset Strategy Fund Class 1 Mut Fund Shares					Sold	01/30/15	M	B	Not Applicable
66. - BBH Core Select Fund Class N Mut Fund Shares									
67. - Fidelity Low Price Stock Mut Fund Shares					Buy (add'l)	06/08/15	K		Not Applicable
68. - Fidelity Spartan Total Market Index (FSTVX)					Buy (add'l)	02/02/15	L		Not Applicable

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Standard & Poors 500 Depository Receipts ("SPDRs")									
70. - BlackRock Strategic Muni Opportunities Fund Mut Fund Shs									
71. - Fidelity Sptn 500 Index Advantage (FUSVX) Mut Fund Shs					Sold	02/02/15	M	E	Not Applicable
72. - S&P 400 (SPDR) Midcap Mut Fund Shares					Buy	02/02/15	M		Not Applicable
73. - Blackrock Strategic Muni Opportunities Fund Mut Fund Shares					Buy	02/02/15	L		Not Applicable
74. - Same					Sold (part)	06/10/15	J		Not Applicable
75. - Glenmede Large Cap Core Institutional Mut Fund Shares					Buy	01/14/16	K		Not Applicable
76. 529 PLAN, FIDELITY INVESTMENTS		None	M	T					
77. - Fidelity UNIQUE 2015 Portfolio									
78. INSURANCE POLICIES									
79. - Northwestern Mutual Whole Life Policy #1	C	Dividend	M	T					
80. - Northwestern Mutual Whole Life Policy #2	B	Dividend	L	T					
81. - Northwestern Mutual Whole Life Policy #3	B	Dividend	L	T					
82. - Northwestern Mutual Whole Life Policy #4	B	Dividend	L	T					
83. - Northwestern Mutual Whole Life Policy #5	B	Dividend	K	T					
84. ROLLOVER IRA #3 (Morgan Stanley Smith Barney)	E	Interest	N	T					
85. - WI Clean Water 4.391% 2019 Muni Bonds									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. - NY Environmental Facilities 4.469% 2019 Muni Bonds									
87. - NY City NY 4.899% 2020 Muni Bonds									
88. - Timpanogos UT 4.69% 2018 Muni Bonds									
89. - San Luis Obispo Cnty CA 7.45% 2019 Muni Bonds									
90. - FL St Board of Ed 4.941% 2018 Muni Bonds									
91. - Kauai Cnty HI 4.556% 2021 Muni Bonds									
92. - New Mexico Finance Auth 3.4% Muni Bonds									
93. - Morgan Stanley Liquid Asset Fund									
94. - NH Highway 4.334% 2022 Muni Bonds									
95. - King & Snohomish Counties WA 4.821% Muni Bonds									
96. - Durham NC 2.90% 2026 Muni Bonds					Buy	01/29/15	J		Not Applicable
97. - Harper Creek MI 2.743% 2021 Muni Bonds					Buy	09/11/15	J		Not Applicable
98. IN BROKERAGE ACCT #4 (Fidelity Investments)				T					
99. - Fidelity Treasury Money Mkt Fund	A	Dividend	J	T	Buy	06/15/15	K		Not Applicable

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: The dividends shown for mutual funds, as in the past, include capital gains dividends.

As in the past, routine deposits or withdrawals (i.e., purchases or sales) of money market funds have not been deemed to be reportable transactions, and have not been shown, but again as in the past, the income from the money market funds during the Reporting Period has been shown. However, when I have made final sales, and initial purchases, of such money market funds, or have transferred money market funds from one account to another, I have shown such sales, and initial purchases, as reportable "Sell" and "Buy" transactions.

Transactions involving the reinvestment of mutual fund dividends and capital gains distributions are not shown as reportable transactions; however, the income from them is shown.

In 2006 and prior years, in the case of the whole life insurance policies shown on Lines #78 through #82 of this report, the "Income" shown had been the increase in cash value in each policy. In 2007, I began to apply dividends on the policies to reduce policy loans, and since then the increase in cash value is no longer the same as the dividend on each policy. As in my reports for 2007 and thereafter, the "Income" shown is the dividend on each policy, and not the cash value increase, as the former is a better indication of the income on each policy. The value shown for each policy is its net cash value, after subtracting outstanding loans from the gross cash value.

Twelve equal monthly purchases of less than \$1,000 each were made of shares of the Fidelity UNIQUE 2015 Portfolio in the 529 Plan. They are not individually shown, since investments that do not exceed \$1,000 are not to be individually reported. The aggregate value of the plan at the end of the year, which is to be reported, reflects those purchases, and investment results with respect to them.

With respect to Rollover IRA #3, at Morgan Stanley Smith Barney, the income shown, as in past years, is before subtraction of account maintenance and advisory fees.

The Brazoria County TX, Dallas TX, Anchorage AK and Los Angeles CA muni bonds shown on lines #80, #83, #84 and #85 of my amended report for 2014 were sold in 2013, and their sales were shown as such on my report for 2013. But they were not dropped when the reports for 2014 were filed, as explained in Part VIII of my Amended Report for 2014, in the paragraph beginning "Re fourth comment paragraph in Comment Letter." The correction is made in this report, and they are thus deleted here.

The New York State Thruway bonds shown on line #4 in Brokerage Account #1 were called before maturity. As the FDR software does not provide for separate transaction descriptions for bonds that were called before maturity, I have described them as "matured," the closest substitute.

My holding of Deutsche Intermed Term Tax Free Instut Class Mut Fund Shares shown on Line #16 in Brokerage Acct. #2 in this year's report is shown, properly, at the L level. Those shares were shown on each of my original and amended reports for 2014 as being held (and as bought on 9/23/14) at the M level. But the 2014 report erroneously showed them as having been purchased at the M level when it should have shown them as having been held, and acquired, at the L level.

The limited partnership shown on Line #52 made material distributions to me in 2015 and (after the close of the Reporting Period) 2016. It is obvious that an investment that could generate distributions at the "E" level has more than minimal value. But it is not publicly traded or otherwise valued, and, as in past years, only the book value of that investment is known. Thus the "J" Code, based on that book value, continues to be shown for my departure date, January 22, 2016.

On June 18, 2015, a partial withdrawal of Fidelity Municipal Money Market shares shown on Line #4 was withdrawn from Brokerage Acct #1 and transferred to fund a newly created brokerage account, Brokerage Acct #4, where it was then invested in the Fidelity Treasury Money Market Fund mutual fund shares shown on Line #98.

For ease of entry, and review, account name headers appear for accounts that are not aggregate ownership accounts.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Gerber, Robert E.

Date of Report

06/02/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert E. Gerber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544