

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Flanagan, Louise W.	2. Court or Organization US District Court, NC	3. Date of Report 5/8/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address US Courthouse 413 Middle Street New Bern, NC 28560		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Flanagan, Louise W.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Self-employed as an attorney

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Deposits at First Citizens Bank	A	Interest	J	T					
2. Legg Mason American Leading Co	A	Dividend	J	T					
3. Legg Mason Value Trust	A	Dividend	K	T					
4. Noucoux UN, LLC (Real estate in New Bern & Greenville NC)		None			Sold	01/01/13	M	G	Noucoux UN, LLC
5. Receivable-Noucoux UN, LLC (Buyout of LLC Interest) (X)	D	Interest	M	T					
6. Scholars Choice 529 Plan, Balance 50/50 Portfolio NO CONTROL	A	Interest	K	T					
7. MET GAC Series	A	Interest	O	T	Buy (add'l)	08/27/13	K		
8.					Buy (add'l)	10/01/13	O		
9.					Sold (part)	10/22/13	N	A	
10. PIMCO Total Return Admin	D	Interest			Buy (add'l)	08/27/13	K		
11.					Sold	10/01/13	O	A	
12. Fidelity Spartan 500 Index Inv	A	Dividend	L	T	Buy	10/22/13	L		
13. Perkins Mid-Cap Value I		None			Buy	10/22/13	K		
14.					Sold	11/25/13	K	A	
15. Fidelity Spartan ExtMkt Index Inv	B	Dividend	L	T	Buy	10/22/13	L		
16. Columbia Acron Z	B	Dividend	K	T	Buy	10/22/13	K		
17. Europacific Growth R6	A	Dividend	K	T	Buy	10/22/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Thornburg Int'l Value R5	A	Dividend	K	T	Buy	10/22/13	K		
19. Mainstay Large Cap Growth I	B	Dividend	K	T	Buy	10/22/13	K		
20. MFS Value R4	A	Dividend	K	T	Buy	10/22/13	K		
21. J Hancock Disciplined Val MCap I	A	Dividend	K	T	Buy	10/22/13	K		
22. DoubleLine Total Return Bond	D	Dividend	K	T	Sold (part)	01/04/13	K	A	
23.					Buy (add'l)	02/14/13	J		
24.					Sold (part)	05/06/13	L	A	
25.					Buy (add'l)	05/02/13	J		
26.					Buy (add'l)	06/19/13	K		
27.					Buy (add'l)	07/15/13	K		
28.					Sold (part)	09/19/13	L	A	
29.					Sold (part)	12/02/13	K	A	
30. JP Morgan Core Bond Fund Select Class	C	Dividend	K	T	Sold (part)	01/04/13	K	A	
31.					Sold (part)	02/07/13	K	A	
32.					Buy (add'l)	02/13/13	J		
33.					Sold (part)	03/21/13	K	A	
34.					Sold (part)	05/03/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	05/22/13	J		
36.					Buy (add'l)	12/02/13	J		
37.					Sold (part)	12/09/13	K	A	
38. Loomis Sayles Bond Fund Inst'l	C	Dividend	L	T	Buy (add'l)	01/04/13	K		
39.					Sold (part)	01/31/13	K	A	
40.					Buy (add'l)	02/07/13	K		
41.					Buy (add'l)	05/22/13	J		
42.					Buy (add'l)	09/19/13	K		
43. PIMCO Short-Term Fund Inst'l	A	Dividend			Sold	01/04/13	K	A	
44. PIMCO Income Fund Inst'l Class	D	Dividend	L	T	Buy (add'l)	01/04/13	L		
45.					Sold (part)	02/13/13	J	A	
46.					Buy (add'l)	05/03/13	K		
47.					Buy (add'l)	05/22/13	J		
48.					Sold (part)	07/12/13	L	A	
49.					Buy (add'l)	09/19/13	J		
50.					Sold (part)	11/21/13	K	A	
51. iShares TR IBOXX Inv't Grade Corp Bd	A	Dividend			Sold	01/04/13	L	A	

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52. IShares TR Barclays 20+ Treasury Bond Fund	A	Dividend			Buy (add'l)	01/04/13	J		
53.					Sold	02/07/13	K	A	
54. IShares TR Dow Jones US Real Estate Index Fund	C	Dividend	K	T	Sold (part)	01/04/13	J	A	
55.					Buy (add'l)	02/13/13	K		
56.					Sold (part)	05/22/13	K	B	
57.					Sold (part)	07/12/13	K	B	
58.					Buy (add'l)	09/19/13	K		
59.					Sold (part)	11/21/13	K	A	
60. IShares TR S&P US PFD STK Index Fund	A	Dividend			Buy (add'l)	05/22/13	J		
61.					Sold	06/18/13	K	A	
62. SPDR SER TR Barclays Cap High Yield Bd ETF	A	Dividend			Sold (part)	01/04/13	J	A	
63.					Sold	02/13/13	K	A	
64. Avenue Credit Strategies	A	Dividend	K	T	Buy	05/06/13	K		
65.					Sold (part)	05/23/13	J	A	
66. Nuveen Symphony Floating Rate	B	Dividend	L	T	Buy	04/16/13	K		
67.					Buy (add'l)	05/22/13	J		
68.					Buy (add'l)	07/12/13	L		

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69.					Sold (part)	09/19/13	L	A	
70. Stone Ridge Reinsurance Risk	B	Dividend	L	T	Buy	02/04/13	K		
71.					Buy (add'l)	03/22/13	J		
72.					Buy (add'l)	12/02/13	K		
73. Stone Ridge High Yield Reinsurance	A	Dividend	K	T	Buy	02/04/13	J		
74.					Buy (add'l)	03/22/13	J		
75.					Buy (add'l)	12/02/13	J		
76. Stone Ridge US Master Variance	C	Dividend	M	T	Buy	05/23/13	L		
77.					Buy (add'l)	06/18/13	K		
78.					Buy (add'l)	07/12/13	K		
79.					Sold (part)	09/19/13	J	A	
80. Stone Ridge Reinsurance Risk Premium		None	K	T	Buy	12/10/13	K		
81. TCW Emerging Markets C11	A	Dividend			Buy	05/03/13	K		
82.					Buy (add'l)	06/04/13	J		
83.					Sold	06/18/13	K	A	
84. ISHARES TR 3-7 yr TR	A	Dividend			Buy	02/13/13	K		
85.					Buy (add'l)	05/22/13	J		

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86.					Sold	07/12/13	K	A	
87. ISHARES TR Dow Jones VID Index		None			Buy	01/04/13	K		
88.					Buy (add'l)	02/13/13	L		
89.					Sold	04/16/13	M	D	
90. JP Morgan Chase & Co Alerian MLP	A	Dividend	K	T	Buy	01/04/13	K		
91.					Sold (part)	02/13/13	J	A	
92.					Sold (part)	05/22/13	K	C	
93.					Buy (add'l)	11/21/13	K		
94. Powershares Global E Aded Fd	A	Dividend			Buy	01/04/13	K		
95.					Sold	02/13/13	K	A	
96. Vanguard Specialized Portfolios	B	Dividend	M	T	Buy	04/16/13	L		
97.					Sold (part)	05/22/13	K	A	
98.					Buy (add'l)	09/19/13	K		
99.					Buy (add'l)	11/21/13	K		
100. Vanguard Int'l Equity Index	A	Dividend	L	T	Buy	09/19/13	K		
101.					Buy (add'l)	11/21/13	K		
102. Pershing Gov't Acct Money Market		None	J	T					

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103. Doubleline Total Return Bond Fd	B	Dividend			Sold	11/21/13	K	A	
104. Blackrock Global Allocation Fd Inc	D	Dividend	M	T	Buy (add'l)	01/08/13	K		
105.					Buy (add'l)	02/07/13	J		
106.					Buy (add'l)	05/07/13	K		
107. First Eagle Global Fund Class I	C	Dividend	L	T	Buy (add'l)	01/08/13	J		
108.					Buy (add'l)	02/07/13	J		
109.					Buy (add'l)	05/07/13	K		
110.					Sold (part)	09/24/13	J	A	
111.					Buy (add'l)	11/20/13	J		
112. Franklin Income Fund Advisor Class	C	Dividend	L	T	Buy (add'l)	01/08/13	J		
113.					Buy (add'l)	02/07/13	J		
114.					Buy (add'l)	05/07/13	K		
115. Loomis Sayles Strategic Income	C	Dividend	L	T	Buy (add'l)	01/08/13	J		
116.					Buy (add'l)	05/07/13	K		
117. Oppenheimer Senior Floating Rate Fd Cl Y	B	Dividend	K	T	Buy (add'l)	01/08/13	J		
118. PIMCO All Asset All Auth Fd Inst'l Shrs	D	Dividend	L	T	Buy (add'l)	01/08/13	K		
119.					Buy (add'l)	02/07/13	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PIMCO Total Return Fund Inst'l Class	B	Dividend			Sold	09/20/13	K	A	
121. TCW Emerging Markets Inc Fd Cl I	C	Dividend	K	T	Buy (add'l)	01/08/13	J		
122.					Sold (part)	06/18/13	J	A	
123. Templeton Global Bond Fd Advisor Cl	B	Dividend	K	T	Buy (add'l)	01/08/13	J		
124. Vanguard Inflation Protected Sec Fund	A	Dividend			Sold	06/19/13	K	A	
125. Wells Fargo advantage Absolute	B	Dividend	L	T	Buy (add'l)	01/08/13	J		
126.					Buy (add'l)	02/07/13	J		
127. Alger Spectra Fund Class I	B	Dividend	K	T	Buy	06/18/13	K		
128.					Sold (part)	09/24/13	J	A	
129. Federated Strategic Value	C	Dividend	L	T	Buy	01/08/13	K		
130.					Buy (add'l)	02/07/13	J		
131.					Buy (add'l)	05/07/13	K		
132.					Buy (add'l)	11/20/13	J		
133. Mainstay Marketfield Fund	A	Dividend	L	T	Buy	09/23/13	K		
134. ISHARES TR Core S&P Mid-Cap	A	Dividend	K	T	Buy	11/20/13	K		
135. ISHARES TR Core S&P Small-Cap	A	Dividend	K	T	Buy	11/20/13	K		
136. Pershing Gov't Acct Money Market		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Flanagan, Louise W.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Flanagan, Louise W.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Louise W. Flanagan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544