

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chen, Edward M.	2. Court or Organization U.S. District Court, N.D. California	3. Date of Report 08/31/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Federal Courthouse 450 Golden Gate Avenue, 16th Floor San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee	Trust #2 ()
2. Trustee	Trust #2 ()
3. Trustee	Trust #4 ()
4. Trustee	Trust #5 ()
5. Trustee	Trust #8 ()
6. Trustee	Trust #16 ()
7. Trustee	Trust #17 ()
8. Trustee	Trust #18 ()
9. Trustee	Trust #19 ()
10. Trustor	Trust #20 ()
11. Officer & Director	Realty Co. #1 ()
12. Manager	Limited Liability Co. #1 ()
13. Manager	Limited Liability Co. #2 ()
14. Manager	Limited Liability Co. #3 ()
15. Manager	Limited Liability Co. #4 ()
16. Manager	Limited Liability Co. #5 ()

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17. Manager

Limited Liability Co. #6 (

18. Manager

Limited Liability Co. #7 (

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 1991

ACLU Employees - Defined Benefit Pension Plan, no control

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Trust #2 (salary)	\$26,952.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Trust #2 (Salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYU School of Law -- Root-Tilden-Kern Scholarship Program	3/30/2017 - 4/2/2017	New York, New York	Panelist to interview and select RTK Scholar Recipients	Airfare, hotel, meals, ground transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Savings Bank	Co-signer on mortgage condominium investment	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust #2 (Trustee) (33% Interest)									
2.									
3. -Interfamily note (from Trust #8)	A	Interest	L	U					
4. -Bank of America (accounts)	A	Interest	K	T					
5. -Sterling Bank & Trust (account)	A	Interest	K	T					
6. -Aegon NV stock	A	Dividend	J	T					
7.									
8. Limited Partnership #3 ([REDACTED])									
9. (9.5% Interest)									
10. -Commercial Property #3 (San Francisco, CA)	C	Rent	K	U					
11. -Bank of America (accounts)	A	Interest	J	T					
12. -First Republic Bank (account)	A	Interest	J	T					
13. -Condominium #1 (Kohala Coast, HI)	A	Rent	K	U					
14. -El Dorado Hospitality, LLC	A	Rent	J	U					
15. -Sterling Bank & Trust (account)	A	Interest	J	T					
16. -Cathay Bank (account)	A	Interest	J	T					
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Limited Partnership #5 ()									
19. Limited Partnership) (20.5% Interest)									
20. --Commercial Property #4 (Sacramento, CA)	D	Rent	M	U					
21. --Bank of America (accounts)	A	Interest	K	T					
22. --First Republic Bank (account)	A	Interest	K	T					
23. --East-West Bank (account)	A	Interest	K	T					
24. --Sterling Bank & Trust (account)	A	Interest	K	T					
25. --Wells Fargo Bank (account) (Closure of this account was erroneously	A	Interest	J	T	Closed	06/29/16	J		
26. omitted from 2016 Report)									
27. --Limited Liability Company #2 ()									
28. (Limited Partnership #5 owns 25% Interest)									
29. --Commercial Property #8 (San Rafael, CA)	D	Rent	M	U					
30. --Bank of America (account)	A	Interest	K	T					
31. --Sterling Bank & Trust (account)	A	Interest	J	T					
32. --East-West Bank (account)	A	Interest	J	T					
33. --First Republic Bank (account)	A	Interest	J	T					
34. --Cathay Bank (account) (Inadvertently omitted from prior reports)	A	Interest	J	T	Open	07/01/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36. Realty Co. #1 () (stock) (33% Interest) (Erroneously	A	Interest			Closed	03/16/17	L	A	
37. reported as dissolved in 2016)									
38. -Bank of America (accounts) (Although	A	Interest	J	T					
39. dissolved, one administration									
40. bank account remained open)									
41. -Sterling Bank & Trust (account)	A	Interest	K	T	Closed	03/16/17	J	A	
42. (Erroneously reported as									
43. closed in 2016)									
44. -East-West Bank (account) (Erroneously	A	Interest	K	T	Closed	03/16/17	J	A	
45. reported as closed in 2016)									
46. Trust #5 (Co-Trustee only) (No ownership)									
47. -Cathay Bank (account)	A	Interest	M	T					
48. -East-West Bank (account)	B	Interest	N	T					
49. -Sterling Bank & Trust (account)	A	Interest	M	T					
50. -First Republic Bank (account)	A	Interest	M	T					
51. -Limited Liability Co. #3 () (2.4% Interest)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --Commercial Property #7 (Sacramento, CA)	B	Rent	J	U					
53. --Sterling Bank & Trust (account)	A	Interest	J	T					
54. --First Republic Bank (accounts)	A	Interest	J	T					
55. --Bank of America (accounts)	A	Interest	J	T					
56. --East West Bank (accounts)	A	Interest	J	T					
57. --Cathay Bank (accounts)	A	Interest	J	T					
58. --Wells Fargo Bank (account) (Closure of this account was erroneously	A	Interest	J	T	Closed	06/29/16	J		
59. omitted from 2016 Report)									
60. --Limited Liability Co. #7 (), (13.3% Interest)									
61. --Commercial Property #8 (Sacramento, CA)	A	Rent	J	U					
62. --Bank of America (accounts)	A	Interest	K	T					
63. --Sterling Bank & Trust (account)	A	Interest	J	T	Closed	03/23/17	J		
64. --Cathay Bank (account)	A	Interest	K	T					
65. --Wells Fargo (account) (Closure of this account was erroneously	A	Interest	J	T	Closed	06/29/16	J		
66. omitted from 2016 Report)									
67. --Limited Partnership #2 ()									
68. (0.88% Interest)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. --Commercial Property #2 (San Francisco, CA)	D	Rent	K	U					
70. --Bank of America (accounts)	A	Interest	J	T					
71. --Cathay Bank (account)	A	Interest	J	T					
72. --First Republic Bank (account)	A	Interest	J	T					
73. --Sterling Bank & Trust (account)	A	Interest	J	T					
74. --East-West Bank (accounts)	A	Interest	J	T	Closed	03/23/17	J		
75. --J.P. Morgan Chase (bond) (Inadvertently not reported as	A	Interest	J	T	Closed	05/01/16	J		
76. closed in 2016)									
77.									
78. Merrill Lynch - IRA									
79. -Account - Merrill Lynch	A	Dividend	K	T					
80. -Calvert Social Investment Fund Balanced Portfolio	A	Dividend	K	T					
81. -Ariel Appreciation Fund	A	Dividend	K	T					
82. -Calvert Social Index	A	Dividend	K	T					
83. -Templeton Foreign Fund	A	Dividend	J	T					
84. -Templeton Developing	A	Dividend	J	T					
85.									

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86. Merrill Lynch - IRA									
87. -Account - Merrill Lynch	A	Dividend	J	T					
88. -Calvert Social Investment Fund Balanced Portfolio	A	Dividend	K	T					
89. -Franklin U.S. Government Series Class A	A	Dividend	J	T					
90. -Calvert Large Cap Core	A	Dividend	J	T					
91. -Templeton Developing	A	Dividend	J	T					
92. -Templeton Foreign Fund	A	Dividend	J	T					
93.									
94. Lincoln Financial Group (403(b))									
95. -LVIP UBS Global Asset Allocation	A	Dividend	K	T					
96. -LVIP Delaware Social Awareness	A	Dividend	K	T					
97.									
98. Bank of America (accounts)	A	Interest	L	T					
99.									
100. Sterling Bank & Trust (accounts)	A	Interest	M	T					
101.									
102. Ally Bank (account)	A	Interest	M	T	Open	11/22/17	M		

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103.									
104. Trust #16 (Trustee only) (No ownership)									
105. --Bank of America (account)	A	Interest	J	T					
106. --Sterling Bank & Trust (account)	A	Interest	K	T					
107. --Cathay Bank (account)	A	Interest	J	T	Open	04/09/17	P1		
108. --Limited Partnership #2 ()									
109. (26.69% Interest)									
110. --Commercial Property #2 (San Francisco, CA)	A	Rent	J	U					
111. --Bank of America (accounts)	A	Interest	L	T					
112. --Cathay Bank (account)	A	Interest	K	T					
113. --First Republic Bank (account)	A	Interest	L	T					
114. --Sterling Bank & Trust (account)	A	Interest	J	T					
115. --East-West Bank (accounts)	A	Interest	J	T	Closed	03/23/17	K		
116. --J.P. Morgan Chase (bond) (Inadvertently not reported)	A	Interest	J	T	Closed	05/01/16	K		
117. as closed in 2016)									
118.									
119. Limited Liability Co. #4									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Bank of America (account)	A	Interest	L	T					
121. --Limited Partnership #1 ()									
122. (Limited Liability Co. #4 owns 1% Interest)									
123. --Limited Liability Co. #5 (), (Limited									
124. Partnership #1 owes 25% Interest)									
125.									
126. --Bank of America (accounts)	A	Interest	J	T					
127. --First Republic Bank (account)	A	Interest	J	T					
128. --Sterling Bank & Trust (account)	A	Interest	J	T					
129. --Commercial Property #1 (San Francisco, CA)	D	Rent	J	U					
130. --Limited Partnership #2 ()									
131. (Limited Liability Co. #4 owns 1% Interest)									
132. --Commercial Property #2 (San Francisco, CA)	B	Rent	K	U					
133. --Bank of America (accounts)	A	Interest	J	T					
134. --Cathay Bank (account)	A	Interest	J	T					
135. --First Republic Bank (account)	A	Interest	J	T					
136. --Sterling Bank & Trust (account)	A	Rent	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --East-West Bank (accounts)	A	Interest	J	T	Closed	03/27/17	J		
138. --J.P. Morgan Chase (bond) (Inadvertently not reported as	A	Interest	J	T	Closed	05/01/16	J		
139. closed in 2016)									
140. --Limited Partnership #5 ()									
141. (LLC #4 owns 1% Interest)									
142. --Commercial Property #4 (Sacramento, CA)	B	Rent	J	U					
143. --Bank of America (accounts)	A	Interest	K	T					
144. --First Republic Bank (account)	A	Interest	J	T					
145. --East-West Bank (account)	A	Interest	J	T					
146. --Sterling Bank & Trust (account)	A	Interest	K	T					
147. --Wells Fargo Bank (account) (Closure of this account was erroneously	A	Interest	J	T	Closed	06/29/16	J		
148. omitted from 2016 Report)									
149. --Limited Liability Company #2 () (Limited									
150. Partnership #5 owns 25% Interest)									
151. --Commercial Property #8 (San Rafael, CA)	A	Rent	J	U					
152. --Bank of America (account)	A	Interest	J	T					
153. --Sterling Bank & Trust (account)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --East-West Bank (account)	A	Interest	J	T					
155. --First Republic Bank (account)	A	Interest	J	T					
156. --Cathay Bank (account) (Inadvertently omitted from prior reports)	A	Interest	J	T	Open	07/01/14	J		
157.									
158. Limited Liability Co. #6 [REDACTED] (50.5%)									
159. --Provident Credit Union (account)	A	Interest	J	T	Closed	09/23/15	M		
160. --Ally Bank (account) (Erroneously reported in 2016)	A	Interest	J	T	Closed	04/23/15	M		
161. --Bank of America (account)	B	Interest	N	T					
162. --First Republic Bank (account)	A	Interest	K	T					
163. --Sterling Bank & Trust (account)	A	Interest	L	T	Open	10/02/17	M		
164. --Cathay Bank (account) (Inadvertently omitted from 2016 Report -- was	A	Interest	L	T					
165. reported on line 363 of that Report)									
166. --Condominium #5 (Maui, HI)	E	Rent	M	U					
167. --Commercial Condominium (San Francisco, CA)	G	Rent	P1	U					
168. --Sterling Bank & Trust (account)	A	Interest	L	T					
169. --Commercial Property #12 (San Rafael)	G	Rent	O	U					
170. --Condominium #6 (Maui, HI)	D	Rent	L	U					

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Cathay Bank (account)	A	Interest	M	T	Open	05/16/16	M	A	
172.									
173. Limited Liability Co. #7 ([REDACTED] , [REDACTED]) (Manager only)									
174. (No ownership)									
175. --Commercial Property #8 (Sacramento, CA)	A	Rent	J	U					
176. --Bank of America (accounts)	A	Interest	M	T					
177. --Sterling Bank & Trust (account)	A	Interest	J	T	Closed	03/23/17	J		
178. --Cathay Bank (account)	A	Interest	K	T					
179. --Wells Fargo (account) (Closure of this account was erroneously	A	Interest	J	T	Closed	06/29/16	J		
180. omitted from 2016 Report)									
181.									
182. BST Hospitality, LLC	D	Dividend	L	U					
183.									
184. Trust #17									
185. --Commercial Property #11 (Petaluma, CA)	E	Rent	N	U					
186. --East-West Bank (account)	A	Interest	L	T	Closed	12/18/17	K		
187. --Bank of America (account)	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Sterling Bank & Trust (account)	A	Interest	M	T	Open	09/10/17	M		
189.									
190. Trust #18 (Trustee only) (No ownership interest)									
191. --East-West Bank (account)	A	Interest	J	T					
192. --Sterling Bank & Trust (account)	B	Interest	N	T					
193. --Cathay Bank (account)	A	Interest	M	T					
194. --First Republic Bank	A	Interest	M	T					
195.									
196. Trust #19									
197. --Bank of America (account)	A	Interest	L	T					
198. --Limited Liability Co. #1 () (77% Interest)									
199. --Commercial Building #9 (San Francisco, CA)	G	Rent	O	U					
200. --Commercial Building #10 (San Francisco, CA)	D	Rent	K	U	Sold	03/24/17	O	G	Kalo Terrace LLC
201. --Bank of America (accounts)	C	Interest	N	T					
202. --First Republic Bank (account)	B	Interest	L	T					
203. --Sterling Bank & Trust (account)	B	Interest	K	T					
204. --East-West Bank (account)	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Condominium #2 (Maui, HI)	E	Rent	K	U	Buy	05/22/17	N		Newell Family Trust dated
206.									8/13/15
207. --Condominium #3 (Santa Barbara, CA)	E	Rent	K	U	Buy	04/10/17	N		Marlene King
208. --Commercial Condominium (San Francisco, CA)	D	Rent	M	U					
209.									
210. Trust #20 (Spouse Trustee)									
211. --Bank of America (account)	A	Int./Div.	M	T					
212. --Limited Liability Co. #1 [REDACTED], [REDACTED] (22% Interest)									
213. --Commercial Building #9 (San Francisco, CA)	G	Rent	O	U					
214. --Commercial Building #10 (San Francisco, CA)	C	Rent	K	U	Sold	03/24/17	M	G	Kalo Terrace LLC
215. --Bank of America (accounts)	C	Interest	M	T					
216. --First Republic Bank (account)	B	Interest	L	T					
217. --Sterling Bank & Trust (account)	B	Interest	L	T					
218. --East-West Bank (account)	B	Interest	L	T					
219. --Condominium #2 (Maui, HI)	D	Rent	K	U	Buy	05/22/17	M		Newell Family Trust dated
220.									8/13/15
221. --Condominium #3 (Santa Barbara, CA)	D	Rent	K	U	Buy	04/10/17	M		Marlene King

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. --Limited Partnership #3 (49.5% Interest)									
223. --Commercial Property #3 (San Francisco, CA)	D	Rent	M	U					
224. --Bank of America (accounts)	A	Interest	L	T					
225. --First Republic Bank (account)	A	Interest	L	T					
226. --Condominium #1 (Kohala Coast, HI)	A	Rent	M	U					
227. --El Dorado Hospitality, LLC	A	Dividend	J	U					
228. --Sterling Bank & Trust (account)	A	Interest	L	T					
229. --Cathay Bank (account)	A	Interest	L	T					
230. --Limited Liability Co. #5 (Limited Partnership #1									
231. owns 25% Interest)									
232. --Bank of America (accounts)	A	Interest	K	T					
233. --First Republic Bank (account)	A	Interest	K	T					
234. --Sterling Bank & Trust (account)	A	Interest	K	T					
235. --Commercial Property #1 (San Francisco, CA)	G	Rent	N	U					
236. --Limited Liability Co. #6 (49.5% Interest)									
237. --Provident Credit Union (account)	A	Interest	J	T					
238. --Ally Bank (account) (Erroneously reported in 2016)	A	Interest	J	T	Closed	04/23/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --Bank of America (account)	B	Interest	N	T					
240. --First Republic Bank (account)	A	Interest	K	T					
241. --Sterling Bank & Trust (account)	A	Interest	L	T	Open	10/02/17	M		
242. --Condominium #5 (Maui, HI)	E	Rent	M	U					
243. --Commercial Condominium (San Francisco, CA)	G	Rent	O	U					
244. --Sterling Bank & Trust (account)	A	Interest	L	T					
245. --Commercial Property #12 (San Rafael)	D	Rent	N	U					
246. --Condominium #6 (Maui, HI)	D	Rent	L	U					
247. --Cathay Bank (account)	A	Interest	L	T					
248. --Limited Liability Co. #3 (26% Interest)									
249. --Commercial Property #7 (Sacramento, CA)	E	Rent	L	U					
250. --Sterling Bank & Trust (account)	A	Interest	J	T					
251. --First Republic Bank (accounts)	A	Interest	K	T					
252. --Bank of America (accounts)	A	Interest	K	T					
253. --East West Bank (accounts)	A	Interest	K	T					
254. --Cathay Bank (accounts)	A	Interest	K	T					
255. --Wells Fargo Bank (account) (Closure of this account was erroneously	A	Interest	J	T	Closed	06/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. omitted from 2016 Report)									
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1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/31/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Values and income are based on pro-rata interests owned by filer and spouse (or in which filer/spouse has a beneficial interest).

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chen, Edward M.

Date of Report

08/31/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edward M. Chen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544