

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Dawson, Robert T.                                                                                                                    | <b>2. Court or Organization</b><br><br>U.S. District Court, Arkansas                                                                                                                                                                                                                                | <b>3. Date of Report</b><br><br>01/20/2015                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Judge-Senior Status                                             | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>P O Box 1624<br>Fort Smith, AR 72902-1624                                                                                                                     |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. |                 |                                    |
| 2. |                 |                                    |
| 3. |                 |                                    |
| 4. |                 |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

|    | <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|----|-------------|--------------------------|
| 1. |             |                          |
| 2. |             |                          |
| 3. |             |                          |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

3.

4.

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. George Mason University

October 6, 2013 thru  
October 9, 2013

Arlington, VA

Antitrust Law &  
Economics InstituteLodging & some meals provided, a  
contribution was made.

2.

3.

4.

5.

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Enterprise Growth Fund C1 A 215                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Stephens Production Company (oil & gas)<br>Ft. Smith, AR                                                                 | A                                       | Royalty                                       | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. XTO Energy (oil & gas) Ft Worth, TX                                                                                      | A                                       | Royalty                                       | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Cypress Production (oil & gas) Shreveport,<br>LA                                                                         | A                                       | Royalty                                       | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Tellus Operating (oil & gas) Ridgeland, MS                                                                               | A                                       | Royalty                                       | J                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. ACXIOM                                                                                                                   | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Simon Property Group                                                                                                     | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. USA Truck                                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Cisco Sys Inc.                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Coca Cola                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Procter & Gamble Co.                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Johnson & Johnson                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 12/11/13                | J                               |                                |                                                                   |
| 13. McDonalds Corp                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 03/13/13                | J                               | A                              |                                                                   |
| 14. Southwest Airlines                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Chevron Texaco Corp                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Intel Corp                                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/19/13                | J                               | A                              |                                                                   |
| 17. Walt Disney                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                             |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A –\$1,000 or less<br>F –\$50,001 - \$100,000                                      | B –\$1,001 - \$2,500<br>G –\$100,001 - \$1,000,000   | C –\$2,501 - \$5,000<br>H1 –\$1,000,001 - \$5,000,000       | D –\$5,001 - \$15,000<br>H2 –More than \$5,000,000         | E –\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J –\$15,000 or less<br>N –\$250,001 - \$500,000<br>P3 –\$25,000,001 - \$50,000,000 | K –\$15,001 - \$50,000<br>O –\$500,001 - \$1,000,000 | P1 –\$1,000,001 - \$5,000,000<br>P4 –More than \$50,000,000 | M –\$100,001 - \$250,000<br>P2 –\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q –Appraisal<br>U –Book Value                                                      | R –Cost (Real Estate Only)<br>V –Other               | S –Assessment<br>W –Estimated                               | T –Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                        |   |           |   |   |                |          |   |   |  |
|--------------------------------------------------------|---|-----------|---|---|----------------|----------|---|---|--|
| 18. Vanguard 500 Index Fund                            | A | Dividend  | N | T | Sold<br>(part) | 05/07/13 | J | A |  |
| 19. NASDAQ 100 Unit Trust Series I/Power<br>Shares QQQ | A | Dividend  | M | T | Sold<br>(part) | 05/09/13 | J | A |  |
| 20. Merck & Co.                                        | A | Dividend  |   |   | Sold           | 11/19/13 | J | A |  |
| 21. Univ of Ark Bond 5%                                | A | Interest  | K | T |                |          |   |   |  |
| 22. Progress Energy Inc./Duke                          | A | Dividend  |   |   | Sold           | 11/19/13 | J | A |  |
| 23. Pub Svc Ent                                        | A | Dividend  | J | T |                |          |   |   |  |
| 24. Entergy Corp                                       | A | Dividend  | J | T |                |          |   |   |  |
| 25. Murphy Oil                                         | A | Dividend  | J | T |                |          |   |   |  |
| 26. Bank of America                                    | A | Dividend  | J | T |                |          |   |   |  |
| 27. Blackrock Global                                   | A | Interest  | L | T | Buy<br>(add'l) | 07/19/13 | J |   |  |
| 28. Windstream/Alltel                                  | A | Dividend  | J | T |                |          |   |   |  |
| 29. Little Rock AR New Public Sch 3.375%               | A | Interest  | K | T |                |          |   |   |  |
| 30. Janus Worldwide Fund (IRA)                         | E | Int./Div. | L | T |                |          |   |   |  |
| 31. Spectra                                            | A | Dividend  | K | T |                |          |   |   |  |
| 32. Goldman Sachs CD                                   | A | Interest  | K | T |                |          |   |   |  |
| 33. Ark St Higher 4.5%                                 | B | Interest  | K | T |                |          |   |   |  |
| 34. Buckeye Ohio Bond 6.5%                             | A | Interest  | J | T |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                        |   |          |   |   |                |          |   |   |  |
|----------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 35. New York City Bond 5%              | A | Interest | J | T |                |          |   |   |  |
| 36. Bentonville AR Sales 4%            | B | Interest | L | T |                |          |   |   |  |
| 37. Little Rock AR Sewer 5%            | A | Interest | J | T |                |          |   |   |  |
| 38. Baxter County Bond 5.8%            | D | Interest |   |   | Matured        | 10/01/13 | J | A |  |
| 39. Ark State Univ Rev 4%              | A | Interest | J | T |                |          |   |   |  |
| 40. Little Rock Ark Libr 4.25%         | B | Interest | K | T |                |          |   |   |  |
| 41. White Hall Bond 4.125%             | A | Interest | J | T |                |          |   |   |  |
| 42. Ark Best                           | D | Dividend | K | T |                |          |   |   |  |
| 43. T Rowe Price                       | E | Dividend | K | T | Buy<br>(add'l) | 12/17/13 | J |   |  |
| 44. Black Rock Global                  | E | Dividend | K | T | Buy<br>(add'l) | 12/18/13 | J |   |  |
| 45. Bank of America 5.25%              | D | Dividend | M | T |                |          |   |   |  |
| 46. Puerto Rico Bond 5.5%              | B | Interest | K | T |                |          |   |   |  |
| 47. Univ of Ark Revs 5%<br>Nov 1, 2020 | A | Interest | K | T |                |          |   |   |  |
| 48. Puerto Rico Hotel Bond             | A | Interest |   |   | Matured        | 03/13/13 | J | A |  |
| 49. Puerto Rico Infrastructure Bond    | A | Interest |   |   | Matured        | 03/13/13 | K | A |  |
| 50. Rogers Ark Schl Dist               | A | Interest | K | T |                |          |   |   |  |
| 51. Fayetteville Ark Schl 4%           | A | Interest | J | T |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Little Rock AR Schl 3.375%                                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Booneville Ar Schl 4.45%                                                                                                | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. Puerto Rico Mun. Bond                                                                                                   | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 03/13/13                | K                               | A                              |                                                                   |
| 55. Siloam Springs Schl                                                                                                     | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 12/02/13                | K                               | A                              |                                                                   |
| 56. Mountain Home Schl                                                                                                      | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 12/02/13                | K                               | A                              |                                                                   |
| 57. Goldman Sachs                                                                                                           | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Pulaski Cnty AR SPL                                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Energy Transfer                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. 1 Shares Trust                                                                                                          | A                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 12/31/13                | K                               |                                |                                                                   |
| 61. Puerto Rico Commonwealth 5.5%                                                                                           | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Century Link                                                                                                            | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. Best Buy Bond 5.5%                                                                                                      | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. Ishares S & P                                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. Ft Smith Ark Water Bond 5%                                                                                              | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. General Electric                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. Fountain Lake Sch Bond 3%                                                                                               | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. Alltel Bond                                                                                                             | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                               |   |          |   |   |         |          |   |   |  |
|-------------------------------|---|----------|---|---|---------|----------|---|---|--|
| 69. General Mtrs Bond         | A | Interest |   |   | Sold    | 09/16/13 | K | A |  |
| 70. A T & T 6.8% Bond         | A | Interest | K | T |         |          |   |   |  |
| 71. Morgan Stanley 5.95% Bond | A | Interest |   |   | Matured | 08/27/13 | K | A |  |
| 72. Baxter Int'l              | A | Dividend | J | T |         |          |   |   |  |
| 73. Express Scripts           | A | Dividend | J | T |         |          |   |   |  |
| 74. Kraft Foods               | A | Dividend | J | T |         |          |   |   |  |
| 75. McKesson Corp             | A | Dividend |   |   | Sold    | 11/19/13 | J | A |  |
| 76. Under Armour              | A | Dividend | J | T |         |          |   |   |  |
| 77. Boeing                    | A | Dividend | J | T |         |          |   |   |  |
| 78. Chesapeake Energy         | A | Dividend | J | T |         |          |   |   |  |
| 79. Whole Foods               | A | Dividend | J | T |         |          |   |   |  |
| 80. JP Morgan Chase           | A | Dividend | J | T |         |          |   |   |  |
| 81. Exelon Corp               | A | Dividend | J | T |         |          |   |   |  |
| 82. Ford Motor Co.            | A | Dividend | J | T |         |          |   |   |  |
| 83. Anadarko Pete Corp        | A | Dividend | J | T |         |          |   |   |  |
| 84. Altria Group              | A | Dividend | J | T |         |          |   |   |  |
| 85. Fluor Corp                | A | Dividend | J | T |         |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                         |   |          |   |   |      |          |   |   |  |
|-----------------------------------------|---|----------|---|---|------|----------|---|---|--|
| 86. Johnson Controls                    | A | Dividend | J | T |      |          |   |   |  |
| 87. Deere & Co.                         | A | Dividend |   |   | Sold | 11/19/13 | J | A |  |
| 88. ABB Ltd                             | A | Dividend | J | T |      |          |   |   |  |
| 89. Kraft Foods                         | A | Dividend | J | T |      |          |   |   |  |
| 90. Duke Energy                         | A | Dividend |   |   | Sold | 11/19/13 | J | A |  |
| 91. Mondelez International              | A | Dividend | J | T |      |          |   |   |  |
| 92. Time Warner Cable Bond              | A | Interest | K | T | Buy  | 08/27/13 | J |   |  |
| 93. Univ Ark Aux 5% Bond                | B | Interest | K | T | Buy  | 03/13/13 | K |   |  |
| 94. Univ Ark Univ Rev 5% Bond May, 2029 | B | Interest | K | T | Buy  | 11/13/13 | K |   |  |
| 95. Twitter                             | A | Dividend | J | T | Buy  | 11/20/13 | J |   |  |
| 96.                                     |   |          |   |   |      |          |   |   |  |
| 97.                                     |   |          |   |   |      |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In reference to the question about TIAA\_CREF and Water Bond 5%

Line item #1 TIAA-CREF was sold on 6/19/12

Line Item #80 on the 2012 FDR report 2012 Section VII. Investments and Trusts should have listed "Water Bond 5%" A Interest K T

# FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Dawson, Robert T.

Date of Report

01/20/2015

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert T. Dawson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544