

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collins, Daniel P.	2. Court or Organization Bankruptcy Court Arizona	3. Date of Report 10/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 230 N. 1st Avenue, Suite 603 Phoenix, Arizona 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	University of Arizona Law School Board of Visitors
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	May, Potenza, Baran & Gillespie, P.C. - receivables payout	\$45,601.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Mountain View Family Physicians, PLLC - K-1 income from medical practice
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Wisconsin	05/07/15 to 05/10/15	Eau Claire, WI	Speaker at 2015 Northwestern Wisconsin Bankruptcy Institute	Transportation and Hotel
2. American Bankruptcy Institute	09/10/15 to 09/11/15	Las Vegas, NV	Speaker at 23rd Annual Southwest Bankruptcy Conference	Transportation ,meals, hotel
3. National Conference of Bankruptcy Judges	09/28/15 to 09/30/15	Miami, FL	2015 Annual Conference	Hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	University of Southern California	College Tuition	K
2.	Loyola Marymount College	College Tuition	K
3.	Xavier College Preparatory	High School Tuition	J
4.	Brophy College Preparatory	High School Tuition	K
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mountain View Family Physicians, PLLC -- Medical Practice		None	J	W					
2. 401K #1									
3. -- Oppenheimer Funds-Cash Reserves		None	K	T					
4. -- Oppenheimer Funds - Value Fund		None	L	T					
5. -- Oppenheimer Funds-Rising Dividends Fund		None	M	T					
6. --Oppenheimer Funds-Discovery Fund	B	Int./Div.	L	T					
7. --Oppenheimer Funds-Small and MidCap Value Fund		None	M	T					
8. --Oppenheimer Funds-International Diversified Fund	A	Int./Div.	L	T					
9. --Oppenheimer Funds-Global Strategic Income Fund		None	K	T					
10. --Oppenheimer Funds-Real Estate Fund		None	K	T					
11. --Oppenheimer Funds-Capital Income Fund	E	Int./Div.	L	T					
12. --Oppenheimer Funds-Equity Income Fund		None	K	T					
13. --Oppenheimer Funds - Global Fund		None	K	T	Buy	06/19/15	K		
14. 401K #2									
15. --Schwab Investor Money Fund		None	J	T					
16. --Income and Growth ETF Fund 35		None	J	T					
17. --Manning & Napier Pro-Blend Cnstrv Term 5	D	Int./Div.	N	T	Buy	02/19/15	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Vanguard Total Bond Market Index Inv		None	J	T	Buy	02/19/15	J		
19. Allianz--Bonus Dex Annuity	A	Int./Div.	K	T					
20. Allianz--Accumulator Bonus Maxx Annuity	B	Int./Div.	K	T					
21. IRA #1									
22. -- Templeton Fund Select Shares		None			Closed	07/15/15	J		
23. -- AllianceBernstein Discovery		None	J	T					
24. -- Eaton Vance Dividend Builder	A	Int./Div.	J	T					
25. -- Fidelity Advisors New Insights C Fund	A	Int./Div.	J	T					
26. -- Franklin Income FDS		None	J	T					
27. -- Ivy Asset Strategy Fund		None	K	T					
28. -- Templeton Global Bond Fund		None	K	T					
29. --Pershing Prime Reserves Money Market									
30. IRA #2									
31. -- Fidelity Advisors New Insights C Fund	A	Int./Div.	K	T					
32. -- Templeton Select Shares Money Market Fund		None	J	T					
33. IRA #3 (SEP IRA)									
34. -- Franklin Income Class C Fund		None	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -- Jemison Natural Resources Fund		None	K	T					
36. IRA #4									
37. -- Vanguard - WellingtonAdmiral Fund	A	Int./Div.	L	T					
38. -- Vanguard - Windsor II Admiral Fund	C	Int./Div.	M	T					
39. IRA #5									
40. -- Vanguard-WellingtonAdmiral Fund	A	Int./Div.	L	T					
41. -- Vanugard - Windsor II Admiral Fund	C	Int./Div.	L	T					
42. Athene -- Retirement Annuity	A	Int./Div.	K	T					
43. JP Morgan Chase Bank - savings and checking accounts	A	Interest	L	T					
44. Janus Fund -	D	Int./Div.	M	T					
45. Vanguard - 500 Index Fund - CMC	A	Int./Div.	J	T					
46. Vanguard - 500 Index Fund - MJC	A	Int./Div.	K	T					
47. Vanguard 529 Plan - AMC									
48. -- Mid-Cap Index		None	K	T					
49. -- Small-Cap Index		None	K	T					
50. -- Total International Stock Index		None	K	T					
51. -- Value Index		None	K	T					

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52. Vanguard 529 Plan - CMC									
53. -- Growth Index		None			Closed	10/09/15	J		
54. -- Total Stock Market Index		None			Closed	10/09/15	J		
55. -- Value Index		None			Closed	10/09/15	J		
56. Vanguard 529 Plan - KVC									
57. -- Growth Index		None			Closed	07/07/15	J		
58. -- Total Stock Market Index		None			Closed	07/07/15	K		
59. -- Value Index		None			Closed	07/07/15	K		
60. Vanguard 529 Plan - MJC									
61. -- Aggress Growth		None	L	T					
62. -- Small-Cap Index		None	L	T					
63. -- Total International Stock Index		None	K	T					
64. American Funds									
65. -- Growth Fund of America 529C	A	Int./Div.	M	T					
66. Merrill Lynch-MILM Equity Portfolio S Fund		None	K	T					
67. Franklin Templeton-Aged Based Growth Asset Allocation 13-16		None	K	T					
68. Arizona Bank & Trust	A	Interest	M	T					

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69. May Potenza Baran & Gillespie, P.C. Health Savings Account		None	J	T					
70. Fidelity -- AZ Aggressive Growth	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 2-13, 401K #1 - Oppenheimer Funds were previously reported in the aggregate amount at line 2 in amended 2014 report. Lines 3-13 now represent the value of each individual fund within Oppenheimer Funds. In addition, Global Fund, line 13, was purchased on 6/19/15 via exchange from Oppenheimer - Cash Reserves (line 3).

Part VII, lines 14-18, 401K #2 were previously reported in the aggregate amount at line 13 in the amended 2014 report. Lines 15-18 now represent the value of each individual fund within the 401K #2. In addition, Manning & Napier Pro-Blend Cnsv Term 5 (line 17) and Vanguard Total Bond Market Index Inv (line 18) were purchased via exchange from Income and Growth ETF Fund 35 (line 16). The value of Vanguard Total Bond Market Index Inv. is less than \$10.

Part VII, lines 21-29, IRA #1 were previously reported in the aggregate amount at line 18 in the amended 2014 report. Lines 22-29 now represent the value of each individual fund within the IRA #1. Temple Fund Select Shares (line 22) was closed and transferred to Pershing Prime Reserves Money Market (line 29) and the value is less than \$200.

Part VII, lines 30-32, IRA #2 were previously reported in the aggregate amount at line 27 in the amended 2014 report. Lines 31-32 now represent the value of each individual fund within the IRA #2.

Part VII, lines 33-35, IRA #3 (SEP IRA) were previously reported in the aggregate amount at line 30 in the amended 2014 report. Lines 34-35 now represent the value of each individual fund within the IRA #3.

Part VII, lines 36-38, IRA #4 were previously reported in the aggregate amount at line 33 in the amended 2014 report. Lines 37-38 now represent the value of each individual fund within the IRA #4.

Part VII, lines 39-41, IRA #5 were previously reported in the aggregate amount at line 36 in the amended 2014 report. Lines 40-41 now represent the value of each individual fund within the IRA #5.

Part VII, Janus Fund previously reported in the amended 2014 report at line 42 was fully distributed and closed in 2014.

Part VII, lines 45-46, Vanguard 500 Index was previously reported in the aggregate amount at line 44 of the amended 2014 report. Lines 45-46 now represent each individual account within the Vanguard 500 index.

Part VII, lines 47-63, Vanguard 529 Plan account was previously reported in the aggregate amount at line 45 of the amended 2014 report. Lines 48-51, 53-55, 57-59, and 61-63 now represent each individual fund within the four Vanguard 529 Plan accounts.

Part VII, lines 64-65, American Funds were previously reported in the aggregate amount at line 50 of the amended 2014 report. Line 65 now represents the individual fund within the American Funds.

Part VII, line 66, Merrill Lynch-MILM Equity Portfolio S Fund had a reduction in value for 2015.

Part VII, line 67, Franklin Templeton-Aged Based Growth Asset Allocation 13-16 had a reduction in value for 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel P. Collins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544