

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KING, GARR M.	2. Court or Organization DISTRICT OF OREGON	3. Date of Report 12/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR UNITED STATES DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 907 UNITED STATES COURTHOUSE 1000 SW THIRD AVENUE PORTLAND, OREGON 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. PRESIDENT/DIRECTOR	FOUNDATION
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED] ACCOUNTS									
2. BOEING COMPANY	A	Dividend	K	T					
3. CASH IN BROKERAGE ACCTS. UBS Resource Mgmt. Acct.	A	Dividend	N	T					
4. CISCO SYSTEMS INC	A	Dividend	K	T					
5. COMM. FINANCIAL LTD PARTNERSHIP (MIDCO)	A	Interest	J	T					
6. EXXON MOBIL CORP	B	Dividend	L	T					
7. GENL ELECTRIC CO	B	Dividend	L	T					
8. INTEL CORP	B	Dividend	K	T					
9. ISHARES TRUST RUSSELL 1000 INDEX	C	Dividend	M	T					
10. ISHARES TRUST S&P SMALL CAP 600 INDEX FUND	A	Dividend	L	T					
11. JPMORGAN CHASE & CO	B	Dividend	K	T					
12. JOHNSON & JOHNSON COM	B	Dividend	K	T					
13. M & P CO. (Real Estate Partnership) Salt Lake City, UT	E	Rent	L	W					
14. MICROSOFT CORP	A	Dividend	K	T					
15. ORACLE CORP	A	Dividend	K	T					
16. PROCTER & GAMBLE CO	A	Dividend	K	T					
17. SPDR S&P MIDCAP 400 ETF TR	B	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. HEALTH CARE SELECT SECTOR SPDR FUND	C	Dividend	M	T					
19. ENERGY SECTOR SPDR TRUST SHARES	C	Dividend	M	T					
20. FINANCIAL SECTOR SPDR TRUST ETF	B	Dividend	L	T					
21. US BANCORP DEL (NEW)	B	Dividend	L	T					
22. US NATIONAL BANK	A	Interest	M	T					
23. FT-FRANKLIN OR T/F INCOME A	D	Dividend	M	T					
24. USB ACCOUNT 17844									
25. MIDCAP SPDR	A	Dividend			Sold	01/01/13	L	D	
26. PORTLAND OR. HSG AUTH. M/F REV DAWSON PD/PRJ/R/110125 D	A	Interest			Sold	01/01/13	K	A	
27. ISHARES S&P 100 INDEX FUND	B	Dividend	M	T					
28. SPDR S&P 500 ETF TR	B	Dividend	L	T					
29. HEALTH CARE SELECT SECTOR SPDR FUND	A	Dividend	L	T					
30. UNITED PARCEL SERVICE INC CL B	B	Dividend	L	T					
31. VANGUARD MSCI EAFE ETF	B	Dividend	L	T					
32. FT - FRANKLIN OR T/F INCOME A	A	Dividend	M	T					
33. CASH IN BROKERAGE ACCTS.UBS - Resource Mgmt Acct	A	Dividend	N	T					
34. DESCHUTES CNTY ORE [REDACTED]	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. WASHINGTON CNTY OR BE/R/ 4 DUE [REDACTED]	B	Interest	L	T					
36. CLACKAMAS CNTY OR BE/R/ 4 DUE [REDACTED]	C	Interest	M	T					
37. MULT. CO. OR. SD#3	C	Interest	M	T					
38. YAMHILL CO. OR. SCH.	C	Interest	M	T					
39. MONMOUTH OR DUE 060117	C	Interest	M	T					
40. OR STATE BD. HGR EDU	D	Interest	M	T					
41. [REDACTED] IRA									
42. AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME FUND CLASS F	B	Dividend	M	T					
43. DWS DREMAN SMALL CAP VALUE FUND CLASS A	A	Dividend	L	T					
44. DODGE & COX INT'L STOCK FUND	A	Dividend	K	T					
45. AMERICAN FUNDS EURO PACIFIC FUND CLASS F	B	Dividend	M	T					
46. FPA CAPITAL FUND INC	A	Dividend	K	T					
47. IVY ASSET STRATEGY FUND CLASS A	A	Dividend	K	T					
48. LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A	C	Dividend	M	T					
49. PIMCO TOTAL RETURN FUND	B	Dividend	M	T					
50. MATTHEWS ASIAN GROWTH & INCOME FUND CLASS INV	A	Dividend	K	T	Buy	01/01/13	M		
51. OPPENHEIMER INT'L BOND FD CL A	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. FT TEMPLETON GLOBAL BOND A	B	Dividend	L	T					
53. VAN ECK GLOBAL HARD ASSETS FUND CLASS A	A	Dividend	K	T					
54. [REDACTED] FOUNDATION									
55. SPDR S&P 500 ETF TR	B	Dividend	M	T					
56. SPDR S&P MIDCAP 400 ETF TR	B	Dividend	L	T					
57. VANGUARD TOTAL STOCK MKT ETF	B	Dividend	L	T					
58. AMERICAN FUNDS EURO PACIFIC FUNDS CLASS F	A	Dividend	L	T					
59. FPA CAPITAL FUND INC	B	Dividend	K	T					
60. AMERICAN FUNDS GROWTH FUND OF AMERICA CL F	C	Dividend	L	T					
61. HARBOR INT'L FUND INVESTOR CLASS	A	Dividend	L	T					
62. FT MUTUAL SHARES A	A	Dividend	L	T					
63. PIMCO TOTAL RETURN FUND CLASS A	B	Dividend	L	T					
64. FT TEMPLETON GLOBAL BOND A	D	Dividend	M	T					
65. RMA GOVERNMENT PORTFOLIO	A	Dividend	J	T	Buy	01/01/13	J		
66. DAVIS NY VENTURE Fd CLA	A	Dividend			Sold	01/01/13	L	E	
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.									
70.									
71.									
72.									
73.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

CORRECTIONS MADE: The 2012 report contained errors (it was not prepared by a certified accountant). Accountant [REDACTED] corrects the 2013 report as follows:

Page 5 of 10 - [REDACTED] name removed and replaced with UBS Account No. 17844.

Page 6 of 10 - The accountant corrects the asset on Line 47 with a purchase date of 1/1/13 since it wasn't on the 2012 report. The statement the accountant has for this account shows it was actually purchased on 5/25/11 so it hasn't been on the last 2 reports that were filed.

Page 7 of 10 - The accountant shows the asset on Line 63 with a purchase date of 1/1/13 since it wasn't on the 2012 report. This is cash in the account that was mistakenly left off of prior reports.

Page 8 of 10 - These are assets that were on the prior 2012 report that were sold in years before 2012. The accountant shows all of their desposition dates as of 1/1/13. Lines 69 and 70 should be listed in the section with Mary Jo's Account (now listed as USB 17844) which starts on Page 5 of 10. Line 71 should be listed in the section with the Foundation's Account which starts on page 7 of 10.

All changes reflected with 1/1/13 dates were corrections for errors in prior year reports.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

KING, GARR M.

Date of Report

12/11/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ GARR M. KING**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544