

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robart, James L.	2. Court or Organization U.S. Dist Court, W.D. of WA	3. Date of Report 04/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 700 Stewart Street, 14th Floor Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee Emeritus	Whitman College
2. Trustee	Foundation # 1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Paintings sold for cash.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Conference Institute	January 24-25, 2013	New York, New York	Litigating Disability Claims	Airfare, Lodging, Transportation, and Parking
2.	American Conference Institute	February 27-28, 2013	Houston, Texas	Admiralty & Maritime Claims	Airfare, Lodging, Transportation, and Parking
3.	American Conference Institute	March 5-8, 2013	Chicago, Illinois	Medical Device Patents	Airfare, Lodging, Transportation, and Parking
4.	American Conference Institute	April 29-30, 2013	Philadelphia, Pennsylvania	Bad Faith Litigation	Airfare, Lodging, Transportation, and Parking
5.	Center for Advanced Studies in IP Law	May 9-18, 2013	Shanghai, China	FRAND Patents	Airfare, Lodging, Transportation, and Parking

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6.	Munich Patent Law Association	June 11-15, 2013	Munich, Germany	FRAND Patents	Airfare, Lodging, Transportation, and Parking
7.	Sedona Conference	October 9-11, 2013	Del Mar, California	Patents	Airfare, Lodging, Transportation, and Parking
8.	IP Law section	October 28-19, 2013	San Diego, California	RAND Patents	Airfare, Lodging, Transportation, and Parking
9.	City University of London	November 2-8, 2013	London, England, United Kingdom	FRAND Patents	Airfare, Lodging, Transportation, and Parking
10.	American Conference Institute	November 21-22, 2013	Miami, Florida	Bad Faith Litigation	Airfare, Lodging, Transportation, and Parking
11.	American Conference Institute	December 10-11, 2013	New York, New York	Patent Litigation	Airfare, Lodging, Transportation, and Parking

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	Bank of America	Credit Card	J
3.	Whitman College	Multi-year pledge	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Key Bank	A	Interest	J	T					
2. Key Bank	A	Interest	J	T					
3. Oak Harbor, WA Island Cnty Rental Property (2013 - \$264,700)	A	Rent	N	S					
4. Seattle, WA King Cnty Rental Property (2013 - \$209,000)	D	Rent			Sold	08/13/13	M	E	
5. Bank of Washington Common Stock		None	J	T					
6. BROKERAGE ACCOUNT #1									
7. -- Starbucks Common Stock	E	Dividend	P1	T	Donated (part)				
8. --Starbucks					Sold	02/01/13	M	G	
9. -- Schwab Money Fund	A	Interest	K	T					
10. -- Silver Standard Common Stock		None	J	T					
11. -- Gabriel Technologies Corp.		None	J	T					
12. -- MSCI Em. Mkts I-Shares	D	Dividend	O	T					
13. -- MSCI EAFE Index Fund	D	Dividend	N	T					
14. -- S&P 600 I-Shares	E	Dividend	O	T	Sold (part)	02/01/13	L	E	
15. -- Vanguard REIT Fund	A	Dividend	N	T					
16. -- S&P 500 IShares	E	Dividend	O	T	Sold (part)	02/01/13	M	B	
17. -- Boston Priv. Fin. Hldg.	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Chevron Common Stock	D	Dividend	N	T					
19. Vanguard GNMA Mutual Fund	D	Dividend	M	T					
20. BROKERAGE IRA #1									
21. -- MSCI EAFE Index I-Shares	D	Dividend	P1	T	Sold (part)	08/28/13	K		
22. -- S&P Small Cap 600 IShares	D	Dividend	O	T					
23. -- SW Bank of St. Louis CD	A	Interest			Matured	01/16/13	L		
24. -- Nat. City Bank CD	A	Interest			Matured	04/09/13	L		
25. -- First Bank of Puerto Rico CD	B	Interest			Matured	07/11/13	K		
26. -- GE Capit. Notes	C	Interest	L	T					
27. -- Banco Pop. Corp. N.A. CD	B	Interest			Matured	07/16/13	L		
28. -- GE Money Bank CD	B	Interest			Matured	10/09/13	L		
29. -- S&P 500 I-Shares	D	Dividend	O	T	Buy (add'l)	08/28/13	M		
30. -- Schwab Money Fund	A	Interest	L	T					
31. -- Capmark Bank CD	C	Interest	L	T					
32. -- SallieMae Bank CD	C	Interest	L	T					
33. -- U.S. TIPS	C	Interest	O	T	Buy (add'l)	04/18/13	M		
34. -- Vanguard REIT	E	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. -- Emrg. Mkt. I-Share	D	Dividend	N	T	Sold (part)	08/28/13	K	D	
36. -- General Mills Notes	C	Interest	L	T					
37. -- Bank of America Notes	B	Interest	M	T					
38. -- Morgan Stanley Notes	D	Interest	M	T					
39. --CISCO Systems Note	D	Interest	M	T					
40. -- Discover Bank CD	B	Interest	M	T					
41. --Goldman Sachs Notes	C	Interest	M	T					
42. --Pfizer Notes	C	Interest	M	T					
43. --GE Cap. Bank CD	B	Interest	M	T					
44. --Goldman Sachs BK CD	B	Interest	M	T					
45. --Conoco Phillips Notes	D	Interest	M	T	Buy	07/01/13	M		
46. --GE Cap Retail CD	A	Interest	M	T	Buy	10/15/13	M		
47. BROKERAGE IRA #2									
48. -- Janus Balanced Mut. Fund	A	Dividend	K	T					
49. -- T.R. Price New Asia Mut. Fund	B	Dividend	K	T					
50. -- Schwab Money Fund	A	Interest	J	T					
51. -- MSCI EAFE Index Fund	A	Dividend	K	T					

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52. -- S&P 500 I-Shares	B	Dividend	M	T					
53. -- MSCI Em. Mkts I Share	B	Dividend	L	T					
54. -- S&P Small Cap 600 I Shares	A	Dividend	L	T					
55. --IBM	A	Dividend	J	T	Buy	07/12/13	J		
56. --Vanguard REIT	A	Dividend	J	T	Buy	12/13/13	J		
57. FOUNDATION # 1									
58. -- Boeing Common Stock	B	Dividend	L	T	Sold (part)	11/06/13	J	D	
59. -- Exxon Mobil Common Stock	B	Dividend	K	T					
60. -- IBM Common Stock	B	Dividend	L	T					
61. -- 3M Common Stock	B	Dividend	L	T	Sold (part)	11/06/13	J	D	
62. -- RBC Prime Money Fund	C	Dividend	L	T					
63. -- Keeley Sm. Cap. Fund	A	Dividend	M	T					
64. -- Russell Emerg. Mkts FD	A	Dividend	L	T					
65. -- Eaton Vance Strag Income FD	D	Interest	M	T					
66. -- Pimco Bd. Fund	B	Interest	M	T					
67. -- J.P. Morgan Strag Income Bd. Fund	D	Interest	M	T					
68. -- Praxair	A	Dividend	L	T	Sold (part)	11/06/13	J	C	

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69. -- IShares Med Devices	A	Dividend	L	T					
70. -- IShares Tech Index	A	Dividend	L	T					
71. -- IShares Consumer Serv Index	A	Dividend	L	T	Sold (part)	11/06/13	J	D	
72. -- J.P. Morgan Large Cap Fund	B	Dividend	O	T					
73. -- Pimco All Assets Fund	D	Dividend	M	T					
74. -- Principal Mid-Cap Fund	B	Dividend	O	T					
75. -- Henderson Glb. Equ.	D	Dividend	M	T					
76. Vanguard Intl. Tax Managed Fund	E	Dividend	P1	T					
77. TREASURY DIRECT ACCOUNT									
78. -- 6/30/13 Note	B	Interest			Matured	06/06/13	L		
79. 7MB Tech. Corp.		None	K	T	Buy	12/12/13	K		
80. Builders Capital Part.	D	Dividend	N	T	Buy	09/09/13	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Robart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544