

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Covello, Alfred V.	2. Court or Organization U. S. District Court, CT	3. Date of Report 05/27/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 450 Main Street Hartford, CT 06103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/2013	State of Connecticut Pension	\$111,597.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RMA Tax Free Money Market A Dividend K T 2. Bk of New England CH 7 Ban	A	Dividend	K	T					
2. Bk of New England CH 7 Bankruptcy		None	J	U					
3. UBS IRA MMF	B	Dividend	J	T					
4. UBS Bank Deposit IRA	A	Int./Div.	J	T					
5. Real Estate, Bidderford, ME (1/2 Interest)	C	Rent	M	W					
6. Real Estate, Naples, FL (1/2 Interest)	D	Rent	O	W					
7. Real Estate, Farmington, CT	C	Rent	P1	W					
8. Schwab Brokerage #1									
9. A	A	Dividend	J	T					
10. HP	A	Dividend	J	T					
11. SWK	A	Dividend	J	T					
12. MCD	A	Dividend	J	T					
13. PEP	A	Dividend	J	T					
14. SCI		None	J	T					
15. T	A	Dividend	J	T					
16. AAPL	A	Dividend			Sold	05/28/13	K	E	
17. Schwab Money Market	B	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CATO	A	Dividend			Sold	05/28/13	K	D	
19. DTE	A	Dividend			Sold	08/06/13	K	D	
20. ETN	A	Dividend			Sold	08/06/13	K	D	
21. GOOG		None			Sold	05/28/13	K	E	
22. KFT	A	Dividend	K	T					
23. MRK	A	Dividend	K	T					
24. NVT	A	Dividend			Sold	08/06/13	K	C	
25. PM	A	Dividend			Sold	12/12/13	K	C	
26. PTV	A	Dividend			Sold	08/06/13	J	C	
27. SCHW	A	Dividend	J	T					
28. VZ	A	Dividend	J	T					
29. MA 5.5% PT AUTH REV	B	Interest			Matured	05/15/13	K	A	
30. VFH	A	Dividend			Sold	08/06/13	K	D	
31. NKE	A	Dividend			Sold	05/28/13	K	D	
32. TRV	A	Dividend	K	T					
33. CT State Gen Rev 4%	C	Interest			Matured	06/01/13	L	A	
34. Stamford CT GO 4%	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. VTI	A	Dividend	M	T					
36. VHT	A	Dividend	K	T					
37. CVY	A	Dividend	K	T					
38. XLE	A	Dividend	L	T					
39. IJK	A	Dividend	K	T					
40. IJJ	A	Dividend	K	T					
41. IJT	A	Dividend	K	T					
42. IJS	A	Dividend	K	T					
43. IWB	A	Dividend	K	T					
44. HYG	C	Dividend	L	T					
45. EMB	C	Dividend	K	T					
46. FXI	A	Dividend	K	T					
47. DBC	A	Dividend	K	T					
48. PID	B	Dividend	K	T					
49. SCHV	B	Dividend	K	T					
50. XLY	A	Dividend	K	T					
51. XLB	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. XLK	A	Dividend	L	T					
53. SDY	A	Dividend	L	T					
54. VWO	A	Dividend	K	T					
55. VNQ	A	Dividend	K	T					
56. BWX	A	Dividend			Sold	12/12/13	K	B	
57. CT GO 3.5%	B	Interest			Matured	11/01/13	L	A	
58. Puma County AZ 5%	C	Interest			Matured	06/14/13	L	A	
59. NY City GO 5.25%	D	Interest			Matured	03/15/13	L	A	
60. Louisiana St Coorec 5%	B	Interest	K	T					
61. Midland TX 5% GO	B	Interest			Matured	07/01/13	L	A	
62. Vernon CT 4% GHO	C	Interest			Matured	06/01/13	L	A	
63. Citizens PPTY FIRev 5%	C	Interest			Matured	08/15/13	L	A	
64. Reagan City Tex 4% GO	C	Interest			Matured	07/01/13	L	A	
65. Utah Wtr Auth Rev 5%	C	Interest			Matured	03/15/13	L	A	
66. Magnolia TX 5% GO	C	Interest	M	T					
67. NY State Dorm 5%	D	Interest	M	T					
68. Northside TX 3.5% GO	C	Interest			Matured	03/01/13	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Mass. State Health 5%	D	Interest	M	T					
70. CA State Econ 5%	C	Interest			Matured	07/01/13	L	A	
71. Tarrant County TX 5.75%	D	Interest	M	T					
72. UCONN GO 5%	B	Interest	K	T					
73. Glastonbury 4.5%	C	Interest	L	T					
74. ACN	A	Dividend			Sold	05/28/13	K	D	
75. BWZ	B	Dividend	K	T	Buy	08/06/13	K		
76. EFAV	A	Dividend	K	T	Buy	08/06/13	K		
77. EFA	A	Dividend	L	T	Buy	08/08/13	L		
78. SUB	A	Dividend	M	T	Buy	08/06/13	M		
79. SCZ	A	Dividend	K	T	Buy	05/28/13	K		
80. VGK	A	Dividend	K	T	Buy	08/06/13	K		
81. SHM	B	Dividend	M	T	Buy	12/12/13	M		
82. EPP	A	Dividend	K	T	Buy	08/06/13	K		
83. STSMX	A	Int./Div.	K	T	Buy	05/28/13	K		
84. MDLZ	A	Dividend	J	T	Buy	05/28/13	J		
85.									

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86.									
87.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alfred V. Covello

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544