

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Hall, Sarah A.                                                                                                        | <b>2. Court or Organization</b><br><br>Bankruptcy Court - Western District of OK                                                                                                                                                                                                                                                                                                                                                     | <b>3. Date of Report</b><br><br>07/23/2018                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>United States Bankruptcy Court Judge                           | <b>5a. Report Type (check appropriate type)</b><br><div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Nomination<br/> <input type="checkbox"/> Initial         </div> <div> <input checked="" type="checkbox"/> Annual<br/> <input checked="" type="checkbox"/> Amended Report         </div> <div> <input type="checkbox"/> Date<br/> <input type="checkbox"/> Final         </div> </div> | <b>6. Reporting Period</b><br><br>01/01/2017<br>to<br>12/31/2017 |
| <b>7. Chambers or Office Address</b><br><br>United States Bankruptcy Court<br>215 Dean A. McGee, 9th Floor<br>Oklahoma City, OK 73102                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i> |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>                                                               |
|-----------------|--------------------------------------------------------------------------------------------------|
| 1. Trustee      | Trust #1 (See Part VII, lines 19-141)                                                            |
| 2. Director     | Oklahoma Bar Association Bankruptcy Reorganization Committee                                     |
| 3. Director     | Federal Bar Association - Oklahoma City Chapter                                                  |
| 4. Director     | The Historical Society for the United States District Court for the Western District of Oklahoma |
| 5. Member       | Board of Visitors, University of Oklahoma Honors College                                         |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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Name of Person Reporting

Hall, Sarah A.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME  
(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

spouse (self-employed lawyer) professional corporation - salary and member distribution - K-1

2. 2017

National Cooperative Refinery Association - royalty

3.

4.

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Morgan Stanley Smith Barney Bank Deposit Program                                                                         |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. LL&E Royalty Trust                                                                                                       |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Oklahoma Dream 529 Plan - Child #2                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. - Pimco Total Return C                                                                                                   |                                         | None                                          |                                                 |                                           | Distributed (part)                              | 04/19/17                | J                               |                                |                                                                   |
| 5.                                                                                                                          |                                         |                                               |                                                 |                                           | Distributed                                     | 11/27/17                | J                               |                                |                                                                   |
| 6. - Pimco Real Return C                                                                                                    |                                         | None                                          |                                                 |                                           | Distributed (part)                              | 04/19/17                | J                               |                                |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           | Distributed                                     | 11/27/17                | J                               |                                |                                                                   |
| 8. - TIAA-CREF Scap Bld Index C                                                                                             |                                         | None                                          |                                                 |                                           | Distributed (part)                              | 04/19/17                | J                               |                                |                                                                   |
| 9.                                                                                                                          |                                         |                                               |                                                 |                                           | Distributed                                     | 11/27/17                | J                               |                                |                                                                   |
| 10. Massachusetts Mutual Limited Pay Whole Life Policy                                                                      | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Massachusetts Mutual Variable Life Select Policy                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12. - The Oppenheimer Capital Appreciation Fund                                                                             |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. - The Oppenheimer Small & Mid Cap Growth Fund                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Massachusetts Mutual Whole Life Policy                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Massachusetts Mutual Ltd Pay Whole Life @ 65 Policy                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Massachusetts Mutual Ltd Pay Whole Life Policy                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Massachusetts Mutual Whole Life Policy                                                                                  | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Massachusetts Mutual Ltd Pay Whole Life @65 Policy                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. TRUST #1                                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 20. - MidFirst Bank Account                                                                                                 | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. - Cash now Morgan Stanley Private Bank                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. - Abbvie Inc. Com                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/08/17                | J                               | B                              |                                                                   |
| 23. - AT&T                                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. - Chevron Corp.                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. - Coca Cola Co.                                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/20/17                | J                               | A                              |                                                                   |
| 26. - Community Trust Bancorp Inc.                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. - Duke Energy Corp. New                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/27/17                | J                               |                                |                                                                   |
| 28. - Eaton Vance CP                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/18/17                | J                               | C                              |                                                                   |
| 29. - Emerson Electronic Co.                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. - Exxon Mobil Corp.                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 31. - HCP Incorporated                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 32.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 12/14/17                | J                               |                                |                                                                   |
| 33. - Johnson & Johnson                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/22/17                | J                               | A                              |                                                                   |
| 34. - Northwest Natural Gas Co.                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/27/17                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

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|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                       |   |          |   |   |      |          |   |   |  |
|---------------------------------------|---|----------|---|---|------|----------|---|---|--|
| 35. - Nucor Corporation               | A | Dividend | J | T |      |          |   |   |  |
| 36. - Old Republic Intl Cp            | A | Dividend |   |   | Sold | 06/07/17 | J | A |  |
| 37. - Proctor & Gamble                | A | Dividend | J | T |      |          |   |   |  |
| 38. - Realty Income Corp.             | A | Dividend | J | T |      |          |   |   |  |
| 39. - Sonoco Products Co.             | A | Dividend | J | T |      |          |   |   |  |
| 40. - Univ. Hlth Rlty Inc. TR SBI     | A | Dividend |   |   | Sold | 06/28/17 | J | B |  |
| 41. - Universal CP VA                 | A | Dividend |   |   | Sold | 02/08/17 | J | B |  |
| 42. - WalMart Stores, Inc.            | A | Dividend |   |   | Sold | 05/05/17 | J | A |  |
| 43. - Allianz NGJ Divid Int           | A | Dividend | J | T |      |          |   |   |  |
| 44. - DNP Select Income Inc.          | A | Dividend | J | T |      |          |   |   |  |
| 45. - Eaton Vance Risk Mngd           | A | Dividend | J | T |      |          |   |   |  |
| 46. - Eaton Vance Tax Mgd Div Equ Fd  | A | Dividend | J | T |      |          |   |   |  |
| 47. - Nuveen Build America Bonds FD   | A | Dividend | J | T |      |          |   |   |  |
| 48. - PIMCO Corporate & Income Oppor. | A | Dividend | J | T |      |          |   |   |  |
| 49. - Franklin Income Adv.            | A | Dividend | J | T |      |          |   |   |  |
| 50. - Lord Abbet Inflation Focused F  | A | Dividend |   |   | Sold | 10/26/17 | K |   |  |
| 51. - Lord Abbett Sht Duration Inc. F | A | Dividend | K | T |      |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. - TRowe Price Group Inc.                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 53.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold                                            | 04/25/17                | J                               | A                              |                                                                   |
| 54. - Target Corp.                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 55. - Qualcomm Inc.                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/25/17                | J                               |                                |                                                                   |
| 56.                                                                                                                       |                                         |                                               |                                                 |                                           | Sold                                            | 11/15/17                | J                               | B                              |                                                                   |
| 57. - Altria Group Inc.                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/05/17                | J                               |                                |                                                                   |
| 58. - International Business Machines Corp.                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/07/17                | J                               |                                |                                                                   |
| 59. - National Retail Properties I                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/28/17                | J                               |                                |                                                                   |
| 60. - Verizon Communications                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/18/17                | J                               |                                |                                                                   |
| 61. - Genuine Parts Co.                                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 09/08/17                | J                               |                                |                                                                   |
| 62. - Foot Locker Inc.                                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 10/20/17                | J                               |                                |                                                                   |
| 63. - Alliance Bernstein Income Adv.                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/26/17                | K                               |                                |                                                                   |
| 64. - Cardinal Health Inc.                                                                                                |                                         | None                                          | J                                               | T                                         | Buy                                             | 11/15/17                | J                               |                                |                                                                   |
| 65. - Omega Healthcare Inv. Inc.                                                                                          |                                         | None                                          | J                                               | T                                         | Buy                                             | 12/14/17                | J                               |                                |                                                                   |
| 66. IRA #2                                                                                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 67. - Morgan Stanley Private Bank                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. - DNP Select Income Inc.                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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Name of Person Reporting

Hall, Sarah A.

Date of Report

07/23/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. - Abbvie Inc. Com                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/08/17                | J                               | D                              |                                                                   |
| 70. - AT&T, Inc.                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. - Chevron Corp.                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. - Coca Cola Co.                                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/07/17                | J                               |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/20/17                | J                               | B                              |                                                                   |
| 74. - Community Trust Bancorp Inc.                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. - Duke Energy Corp. New                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/27/17                | J                               |                                |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 02/07/17                | J                               |                                |                                                                   |
| 77. - Eaton Vance CP                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/18/17                | J                               | C                              |                                                                   |
| 78. - Emerson Electric Co.                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. - Exxon Mobil Corp.                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 80. - HCP Inc.                                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 12/14/17                | J                               |                                |                                                                   |
| 82. - Johnson & Johnson                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/07/17                | J                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/22/17                | J                               | B                              |                                                                   |
| 84. - Northwest Natural Gas Co.                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/27/17                | J                               | A                              |                                                                   |
| 85.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 02/02/17                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 | R = Cost (Real Estate Only) | P4 = More than \$50,000,000    | T = Cash Market                 |                         |
| (See Column C2)         | Q = Appraisal                    | V = Other                   | S = Assessment                 |                                 |                         |
|                         | U = Book Value                   |                             | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hall, Sarah A.

Date of Report

07/23/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                        |   |          |   |   |      |          |   |   |  |
|----------------------------------------|---|----------|---|---|------|----------|---|---|--|
| 86.                                    |   |          |   |   | Sold | 02/07/17 | J | A |  |
| 87. - Nucor Corporation                | A | Dividend | J | T |      |          |   |   |  |
| 88. - Old Republic Intl. Cn            | A | Dividend |   |   | Sold | 06/07/17 | J | B |  |
| 89. - Proctor & Gamble                 | A | Dividend | J | T |      |          |   |   |  |
| 90. - Realty Income Corp.              | A | Dividend | J | T |      |          |   |   |  |
| 91. - Sonoco Products Co.              | A | Dividend | J | T |      |          |   |   |  |
| 92. - Univ. Hlth Rlty Inc TR SBI       | A | Dividend |   |   | Sold | 06/28/17 | J | C |  |
| 93. - Universal CP VA                  | A | Dividend |   |   | Sold | 02/08/17 | J | C |  |
| 94. - WalMart Stores Inc.              | A | Dividend |   |   | Sold | 05/05/17 | J | A |  |
| 95. - Allianz NFJ Divid Int            | A | Dividend | J | T |      |          |   |   |  |
| 96. - DNP Select Income Inc.           | A | Dividend | J | T |      |          |   |   |  |
| 97. - Eaton Vance Risk Mngd            | A | Dividend | J | T |      |          |   |   |  |
| 98. - Eaton Vance Tax Mgd Div Equ FD   | A | Dividend | J | T |      |          |   |   |  |
| 99. - Nuveen Build America Bonds Fd    | A | Dividend | J | T |      |          |   |   |  |
| 100. - PIMCO Corporate & Incom Oppor.  | A | Dividend | J | T |      |          |   |   |  |
| 101. - Franklin Income Adv             | A | Dividend | K | T |      |          |   |   |  |
| 102. - Lord Abbett Inflation Focused F | A | Dividend |   |   | Sold | 10/26/17 | K |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hall, Sarah A.

Date of Report

07/23/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. - Lord Abbett Sht Duration Inc F                                                                                       | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 02/02/17                | J                               |                                |                                                                   |
| 104. - T Rowe Price Group Inc.                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 04/25/17                | J                               | A                              |                                                                   |
| 106. - Target Corp.                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 107. - Qualcomm Inc.                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/25/17                | J                               |                                |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/15/17                | J                               | B                              |                                                                   |
| 109. - Altria Group Inc.                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/05/17                | J                               |                                |                                                                   |
| 110. - International Business Machines Corp.                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/07/17                | J                               |                                |                                                                   |
| 111. - National Retail Properties I                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/28/17                | J                               |                                |                                                                   |
| 112. - Verizon Communications                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/18/17                | J                               |                                |                                                                   |
| 113. - Genuine Parts Co.                                                                                                    |                                         | None                                          | J                                               | T                                         | Buy                                             | 09/08/17                | J                               |                                |                                                                   |
| 114. - Foot Locker Inc.                                                                                                     |                                         | None                                          | J                                               | T                                         | Buy                                             | 10/20/17                | J                               |                                |                                                                   |
| 115. - Alliance Bernstein Income Adv.                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/26/17                | K                               |                                |                                                                   |
| 116. - Cardinal Health Inc.                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 11/15/17                | J                               |                                |                                                                   |
| 117. - Omega Healthcare Inv. Inc.                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/14/17                | J                               |                                |                                                                   |
| 118. TRUST #2 (sep ira #2)                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 119. - MidFirst Bank                                                                                                        | A                                       | Interest                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Hall, Sarah A.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. - Morgan Stanley Privagte Bank                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 121. - Abbvie Inc.                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/08/17                | K                               | E                              |                                                                   |
| 123. - AT&T Inc.                                                                                                            | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 124. - Chevron Corp.                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 125. - Coca Cola Co.                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/07/17                | J                               |                                |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/20/17                | K                               | C                              |                                                                   |
| 127. - Community Trust Bancorp Inc.                                                                                         | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. - Duke Energy Corp. New                                                                                                | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/27/17                | K                               |                                |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 02/07/17                | J                               |                                |                                                                   |
| 130. - Eaton Vance CP                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/18/17                | K                               | D                              |                                                                   |
| 131. - Emerson Electric Co.                                                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 132. - Exxon Mobil Corp.                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 133.                                                                                                                        | A                                       |                                               |                                                 |                                           | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 134. - HCP Incorporated                                                                                                     | B                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/08/17                | J                               |                                |                                                                   |
| 135.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 136.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 12/14/17                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. - Johnson & Johnson                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/07/17                | J                               |                                |                                                                   |
| 138.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 03/22/17                | K                               | B                              |                                                                   |
| 139. - Northwest Natural Gas Co.                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/27/17                | K                               | B                              |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 02/02/17                | J                               |                                |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 02/07/17                | J                               | A                              |                                                                   |
| 142. - Nucor Corp.                                                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 143. - Old Republic Intl Cp                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 144.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/07/17                | K                               | C                              |                                                                   |
| 145. - Proctor & Gamble                                                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 146. - Realty Income Corp.                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 147. - Sonoco Products Co.                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 148. - Univ. Hlth Rlty Inc TR SBI                                                                                           | B                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 06/28/17                | K                               | D                              |                                                                   |
| 150. - Universal CP VA                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/08/17                | K                               | D                              |                                                                   |
| 151. - WalMart Stores, Inc.                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/05/17                | K                               | B                              |                                                                   |
| 153. - Allianz NFJ Divid Int                                                                                                | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Hall, Sarah A.

Date of Report

07/23/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. - DNP Select Income Inc.                                                                                               | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 155. - Eaton Vance Risk Mngd                                                                                                | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 156. - Eaton Vance Tax Mgd Div Equ Fd                                                                                       | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 157. - Nuveen Build America Bonds FD                                                                                        | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 158. - PIMCO Corporate & Income Oppor.                                                                                      | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 159. - Franklin Income Adv                                                                                                  | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | J                               |                                |                                                                   |
| 160. - Lord Abbett Inflation Focused F                                                                                      | C                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/26/17                | L                               |                                |                                                                   |
| 161. - Lord Abbett Sht Duration Inc. F                                                                                      | D                                       | Dividend                                      | M                                               | T                                         | Buy                                             | 02/02/17                | J                               |                                |                                                                   |
| 162. - T Rowe Price Group Inc.                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/08/17                | K                               |                                |                                                                   |
| 163.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 04/25/17                | K                               | A                              |                                                                   |
| 164. - Target Corp.                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/22/17                | K                               |                                |                                                                   |
| 165. - Qualcomm Inc.                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/25/17                | K                               |                                |                                                                   |
| 166.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/15/17                | K                               | D                              |                                                                   |
| 167. - Altria Group Inc.                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 05/05/17                | K                               |                                |                                                                   |
| 168. - International Business Machines Corp.                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/07/17                | K                               |                                |                                                                   |
| 169. - National Retail                                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 06/28/17                | K                               |                                |                                                                   |
| 170. - Verizon Communications                                                                                               | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 07/18/17                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hall, Sarah A.

Date of Report

07/23/2018

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. - Genuine Parts Co.                                                                                                    |                                         | None                                          | K                                               | T                                         | Buy                                             | 09/08/17                | K                               |                                |                                                                   |
| 172. - Foot Locker Inc.                                                                                                     |                                         | None                                          | K                                               | T                                         | Buy                                             | 10/20/17                | K                               |                                |                                                                   |
| 173. - Alliance Bernstein Income Adv.                                                                                       | B                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 10/26/17                | L                               |                                |                                                                   |
| 174. - Cardinal Health Inc.                                                                                                 |                                         | None                                          | K                                               | T                                         | Buy                                             | 11/15/17                | K                               |                                |                                                                   |
| 175. - Omega Healthcare Inv. Inc.                                                                                           |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/14/17                | K                               |                                |                                                                   |
| 176. - Overriding royalty interest Scott, KS                                                                                | A                                       | Royalty                                       | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 177. BROKERAGE ACCOUNT #1                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 178. - Extended Insurance Sweep Account                                                                                     | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 179. - PMX Communities Inc.                                                                                                 |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 180. - Vanguard Natural Resources LLC                                                                                       |                                         | None                                          |                                                 |                                           | Expired                                         | 08/31/17                | J                               |                                |                                                                   |
| 181. Midfirst Bank Account                                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J - \$15,000 or less<br>N - \$250,001 - \$500,000<br>P3 - \$25,000,001 - \$50,000,000 | K - \$15,001 - \$50,000<br>O - \$500,001 - \$1,000,000 | L - \$50,001 - \$100,000<br>P1 - \$1,000,001 - \$5,000,000<br>P4 - More than \$5,000,000 | M - \$100,001 - \$250,000<br>P2 - \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q - Appraisal<br>U - Book Value                                                       | R - Cost (Real Estate Only)<br>V - Other               | S - Assessment<br>W - Estimated                                                          | T - Cash Market                                              |                         |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Hall, Sarah A.

Date of Report

07/23/2018

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hall, Sarah A.

Date of Report

07/23/2018

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sarah A. Hall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544