

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbluth, Jean P.	2. Court or Organization Central District of California, Western Division	3. Date of Report 01/25/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 225 East Temple Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	power of attorney	mother
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Trustee, ECR 1998 Trust, Los Banos, CA (not yet vested)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Accounts	A	Interest	M	T					
2. American Electric Power common stock	A	Dividend	J	T					
3. Schwab IRA			K	T					
4. --FMI Large Cap	A	Dividend	J	T	Sold (part)	11/17/16	J		
5. --Fidelity Contrafund	A	Dividend	J	T					
6. --Voya (formerly ING Midcap Opportunity Fund)		None	J	T					
7. --Artisan International Fund		None			Sold	07/05/16	J		
8. --Artisan International Fund		None			Sold	07/21/16	J		
9. --Artisan International Fund	A	Dividend	J	T	Buy (add'l)	07/21/16	J		
10. --Dodge & Cox International Stock Fund	A	Dividend	J	T					
11. --Oakmark International Small Cap Fund		None			Sold	03/16/16	J		
12. --American Beacon GLBL	A	Dividend	J	T					
13. --Wasatch Fund Services SM		None			Sold	07/11/16	J		
14. --Angel Oak Flexible	A	Dividend	J	T	Sold (part)	02/16/16	J		
15. --Stone Ridge A11 Asset			J	T	Buy	02/16/16	J		
16. --American Beacon LargeCap	A	Dividend	J	T					
17. --DrieHaus Frontier	A	Dividend	J	T	Buy	07/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Oberweis Int'l Opportunity	A	Dividend	J	T	Buy	03/16/16	J		
19. --EMI Large Cap			J	T	Buy	11/17/16	J		
20. Bank of America Accounts	A	Interest	J	T					
21. Morgan Stanley Aggressive Growth A	A	Dividend	K	T					
22. 401(k) -- TIAA/CREF (no control)			N	T					
23. --managed allocation fund (TIMRX)	C	Int./Div.	L	T					
24. --Lifecycle Retirement Income (TLRRX)	E	Int./Div.	M	T					
25. Brokerage Account (H)									
26. --Berkshire Hathaway B		None	M	T					
27. --Deutsche BK Cap 7.6%	C	Dividend	K	T					
28. --Speed Commerce (formerly Navaree Corp)	A	Dividend	J	T	Sold (part)	12/27/16	J		
29. --Verizon	A	Dividend	K	T					
30. --Sector Spdr Consumer Fund ETF	A	Dividend	K	T					
31. --Sector Spdr INdl Select Shares ETF	A	Dividend	K	T					
32. --Sector Spdr Tech Select Shares ETF	A	Dividend	K	T					
33. --Sector SPDR Tr Con Splitshares ETF	B	Dividend	K	T					
34. --Harding Loevner Emerging Markets Adv	A	Dividend	J	T					

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35. --JP Morgan Small Cap Equity Fund	B	Dividend	K	T					
36. --Loomis Sayles bond fund CL R	B	Dividend	K	T					
37. --Manning & Napier World Oppty A	A	Dividend	K	T					
38. --Perkins Mid Cap Value T	C	Dividend	J	T					
39. --RS Value Fund CL A	C	Dividend	K	T					
40. --Schwab Core Equity Fund	C	Dividend	J	T					
41. --Schwab Health Care Fund	C	Dividend	K	T					
42. --Scout Intl Fund	D	Dividend	K	T					
43. --Vanguard Inflation protected Securities Fund	A	Dividend	K	T					
44. --Vanguard S/t Investment Grade Fund Inv	A	Dividend	K	T					
45. --Schwab Money Market	A	Interest	K	T					
46. --Powershares QQQ Trust Ser I ETF (x)	B	Dividend	M	T					
47. New York Times common stock	A	Dividend	J	T					
48.									
49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re Part VII: The Brokerage and Schwab IRA accounts are [REDACTED]. Last year I reported some individual assets as partially sold, but [REDACTED] apparently sold them in their entirety. That is why they no longer appear. We keep our assets entirely separate, and I go by what [REDACTED] tells me.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jean P. Rosenbluth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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