

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorman, Mary P.	2. Court or Organization Central District of Illinois	3. Date of Report 5/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 600 East Monroe St. #234 Springfield, Illinois 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1. Brother-in-law is settlor. Sole beneficiaries are my sister, nieces and nephews.
2. Trustee	Trust #2. Brother -in-law is sttlor. Sole beneficiaries are my sister, nieces and nephews.
3. Board member and treasurer	Rail Meadows Homeowners Association, Springfield, Illinois. Non-profit corporation.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Gorman, Mary P.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1- checking account at JP Morgan Chase		None	J	T					
2. Trust #2 - checking account at JP Morgan Chase		None	J	T					
3. Trust #1- whole life policy with Gen. Amer. Life	A	Dividend	K	T					
4. Trust #2 - whole life policy with Gen. Amer. Life	A	Dividend	O	T					
5. Whole life policy with Northwestern Mutual Life Ins.	A	Dividend	K	T					
6. AMG Funds Timesquare Mid Cap Gr.	B	Dividend	J	T	Buy (add'l)	04/28/17	J		
7. Artisan Partners Fds. Small Cap Fd.	B	Dividend	J	T	Buy (add'l)	04/28/17	J		
8. Blackrock Funds Core Bond	A	Dividend	J	T					
9. BlackrockGlobal Alloc. Instit.	B	Dividend	K	T	Sold (part)	03/17/17	J	A	
10. Credit Suisse Commodity Ret. Strat. Fd.	A	Dividend			Buy (add'l)	04/28/17	J		
11.					Sold	08/29/17	J	A	
12. Delaware Group Adviser FDS Inc. US Growth Fund	A	Dividend			Buy (add'l)	04/28/17	J		
13.					Sold	08/29/17	K	C	
14. Delaware Group Equity FDS II Value Fd.	A	Dividend	K	T	Buy (add'l)	04/28/17	J		
15. Deutsche Global/Intl Fd. Global Sm. Cap	B	Dividend	J	T	Sold (part)	03/17/17	J	A	
16.					Buy (add'l)	04/24/17	J		
17. Deutsche Sec. Enhanced Commodity Strat. Fd.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Deutsche Sec. Global Real Est. Secs. Fd.	A	Dividend	J	T	Sold (part)	08/29/17	J	A	
19.					Buy (add'l)	08/29/17	J		
20. Dreyfus/BNY Mellon Fds Dynamic Total Return	B	Dividend	K	T	Buy	03/17/17	J		
21.					Buy (add'l)	07/18/17	J		
22. Eaton Vance Global Macro Absolute Return Fd.	A	Dividend	J	T					
23. Fidelity Advisor Strategic Income Fd.	A	Dividend	J	T	Buy (add'l)	03/17/17	J		
24. First Eagle Funds Global Fd.	A	Dividend	K	T	Sold (part)	03/17/17	J	A	
25.					Buy (add'l)	04/24/17	J		
26. First Eagle Funds Sogen Overseas Fd.	A	Dividend	J	T	Buy (add'l)	04/28/17	J		
27. Forward Funds Tactical Growth Fund	B	Dividend	K	T	Sold (part)	03/20/17	J	A	
28. Gabelli Equity Ser. Fd. Sm. Cap Growth Fd.	A	Dividend	K	T					
29. Goldman Sachs Dynamic Allocation Fd.	A	Dividend			Sold (part)	03/20/17	J	A	
30.					Sold	07/18/17	J	A	
31. Goldman Sachs Finl Square Treas. Instrs. Fd.	A	Dividend	J	T	Sold (part)	01/13/17	J	A	
32.					Buy (add'l)	03/17/17	J		
33.					Sold (part)	04/13/17	J	A	
34.					Buy (add'l)	04/28/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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35.					Sold (part)	05/19/17	J	A	
36.					Sold (part)	07/14/17	J	A	
37.					Buy (add'l)	07/19/17	J		
38.					Buy (add'l)	08/30/17	J		
39.					Sold (part)	09/13/17	J	A	
40.					Buy (add'l)	09/19/17	J		
41.					Sold (part)	10/13/17	J	A	
42.	Gotham Absolute Return Fund n/k/a Fund Advantage	A	Dividend	J	T				
43.	Harbor Cap. Apprec. Fd.	B	Dividend	K	T	Buy (add'l)	04/28/17	J	
44.	Harbor International Fund	A	Dividend	J	T	Buy (add'l)	04/28/17	J	
45.	Hotchkis & Wiley Mid Cap Value Fund	A	Dividend	J	T	Buy	04/28/17	J	
46.	Hartford Mut. Funds FDS- Midcap Funds	A	Dividend	J	T				
47.	Invesco Global Real Estate Fd.	A	Dividend		Buy (add'l)	04/28/17	J		
48.					Sold	08/29/17	J	A	
49.	Inv. Mgrs Ser Tr Oak Ridge Sm Cap Gr Fd	A	Dividend		Buy (add'l)	04/28/17	J		
50.					Sold	08/29/17	J	A	
51.	John Hancock Classic Value Fund	A	Dividend	J	T	Buy (add'l)	04/28/17	J	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. John Hancock Funds Absolute Return Currency	A	Dividend	J	T	Buy (add'l)	03/17/17	J		
53. JP Mprgan Tr. I US Equity Fund	B	Dividend	J	T	Buy (add'l)	04/28/17	J		
54. JP Morgan Chase - bank account		None	L	T					
55. JP Morgan Chase- bank account	A	Interest	K	T					
56. JP Morgan US Large Cap Core Plus Fd.	C	Dividend	K	T	Sold (part)	07/14/17	J	A	
57. Kinetics Mut. Fds. Inc. Paradigm Fd.	A	Dividend			Sold (part)	01/13/17	J	A	
58.					Sold (part)	03/17/17	J	A	
59.					Sold	04/24/17	J	A	
60. Lazard Fds. Intl. Equity Port Instl	A	Dividend	K	T					
61. Mainstay Large Cap Growth Fd CL I	C	Dividend	K	T	Buy	08/29/17	K		
62. Meridian FD Inc. Sm Cap Growth Fds	A	Dividend	K	T					
63. MFS Ser. TR III Municipal High Inc. Fund CL I	A	Dividend	J	T	Buy (add'l)	04/28/17	J		
64. MFS Ser. TR X Emerging Mkts Class I	A	Dividend	J	T	Buy (add'l)	04/28/17	J		
65. Natixis Fds. ASG Global Alternatives Fd.	A	Dividend	J	T	Buy (add'l)	03/17/17	J		
66. Nuveen Invest. Fds. R.E. Sec. Fds Class I	A	Dividend	J	T	Buy	08/29/17	J		
67. Oppenheimer Dev. Mkts Fd.	A	Dividend	K	T	Buy (add'l)	04/28/17	J		
68. Oppenheimer Intl. Growth Fund	A	Dividend	J	T	Buy (add'l)	04/28/17	J		

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69. Oppenheimer Global Fund	A	Dividend	J	T	Sold (part)	03/17/17	J	A	
70. Pimco All Asset Fund	A	Dividend	K	T	Sold (part)	03/17/17	J	A	
71. Pimco Funds Pacific Investment	A	Dividend	J	T	Buy (add'l)	08/29/17	J		
72. Principal Funds High Yield Fd.	A	Dividend	J	T					
73. Principal Funds Inc. Global Multi-Strategy Fd	A	Dividend	J	T					
74. Principal Fds Global R.E. Sec. Funds	A	Dividend	J	T	Buy	08/29/17	J		
75. Putnam Absolute Return	A	Dividend	J	T	Buy (add'l)	03/17/17	J		
76. Russell Inv. Co. Global Equity Fd.	C	Dividend			Sold	09/19/17	L	D	
77. Russell Inv. Co. Global Infrastructure Fd.	A	Dividend	J	T	Sold (part)	09/19/17	J	D	
78. Russell Inv. Co. Commodity Strat. Fund	A	Dividend	J	T	Sold (part)	09/19/17	J	A	
79. Russell Inv. Co Global Opp. Fund	A	Dividend			Sold	09/19/17	K	A	
80. Russell Inv. Co Emerging Mkts. Fd	A	Dividend	L	T	Sold (part)	07/17/17	J	A	
81.					Buy (add'l)	09/19/17	J		
82. Russell Inv. Co. Global Real Estate Fd.	A	Dividend			Sold	09/19/17	J	A	
83. Russell Inv. Co. Developed Mkts Fd.	B	Dividend	L	T	Buy (add'l)	09/19/17	J		
84. Russell Inv. Co. Strategic Bond Fd.	A	Dividend	K	T	Buy (add'l)	09/19/17	J		
85. Russell Inv. Co. US Small Cap Equity Fd.	C	Dividend	K	T	Buy (add'l)	09/19/17	J		

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86. Russell Invt. Co. US Strat. Equity Fd.	D	Dividend	L	T	Sold (part)	09/19/17	J	C	
87. Russell Multi Asset Growth Strategy Fd.	B	Dividend	L	T	Buy	09/19/17	L		
88.					Buy (add'l)	09/20/17	J		
89. T. Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Buy	08/29/17	J		
90. T. Rowe Price Real Estate Fd.	A	Dividend			Sold	08/29/17	J	A	
91. T. Rowe Price Summit Mun. Fds.	A	Dividend	J	T	Buy (add'l)	04/28/17	J		
92. Thornburg Inv. Tr. Global Opportunities Fund	A	Dividend	J	T	Sold (part)	03/17/17	J	A	
93. TCW Funds Relative Value Large Cap	C	Dividend	K	T	Sold (part)	04/13/17	J	A	
94. Tributary Fds. Sm Co. Fund	A	Dividend	J	T	Buy	08/29/17	J		
95. Victory Portfolios Small Co Opportunity Fd.	A	Dividend	K	T	Buy (add'l)	05/01/17	J		
96. Virtus Emerging Mkts Opp. Ff n/k/a Virtus Opportunities	A	Dividend	K	T					
97. Wells Fargo Fds. Emerging Mkts Equity Fund	A	Dividend	J	T	Buy (add'l)	04/28/17	J		
98. Wells Fargo Funds Spec. Mid Cap Value	A	Dividend	J	T					
99. Wells Fargo Absolute Return Fund	A	Dividend	L	T	Buy (add'l)	03/20/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary P. Gorman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544