

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Koeltl, John G.	2. Court or Organization U.S.D.C. Southern District-NY	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 500 Pearl St. Room 1030 New York, New York 10007-1581		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. ADJUNCT LAW PROFESSOR	NY UNIVERSITY LAW SCHOOL
2. EXECUTOR (CO-EXECUTOR)	ESTATE
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	NEW YORK UNIVERSITY - TEACHING	\$26,955.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	April 19,2012 - April 20,2012	Washington, DC	ABA Conference	Lodging, meals, and travel
2. Institute for the Advancement of the American Legal System	September 20,2012 - September 21,2012	Denver, CO	IAALS Conference	Lodging, meals and travel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JOHNSON & JOHNSON - COMMON	D	Dividend	M	T	Donated (part)				
2. PFIZER INC. - COMMON	C	Dividend	M	T					
3. ROYAL DUTCH SHELL PLC - COMMON	D	Dividend	M	T					
4. FRANKLIN INCOME FUND CL C - MUTUAL FUND	C	Dividend	L	T					
5. OPPENHEIMER DEV. MARKETS FUND CL C - MUTUAL FUND		None	L	T					
6. CGM ADVISOR TARGETED EQUITY FUND CL C - MUTUAL FUND	A	Dividend	J	T					
7. TEMPLETON GLOBAL BOND FUND CL C - MUTUAL FUND	D	Dividend	M	T					
8. LORD ABBETT ST DURATION INCOME FUND - MUTUAL FUND	A	Dividend			Sold	04/16/12	K		
9. ROCHESTER LIMITED TERM NY MUNI BOND FUND	B	Int./Div.	M	T	Buy	07/16/12	L		
10.					Buy (add'l)	09/13/12	K		
11.					Buy (add'l)	11/21/12	K		
12. MERRILL LYNCH FIA MONEY FUND - N.Y.,N.Y.	A	Interest	L	T	Sold (part)	12/31/12	K		Net Decrease To MM Fund
13. N.Y. STATE ENGY RESH BOND 5.5% DUE 01/01/21	C	Interest	L	T					
14. N.Y. STATE MTG ACGY REV BOND 5.05% DUE 10/01/17	A	Interest	J	T					
15. NY CITY CY TRANS BOND 5% DUE 05/01/31	A	Interest			Redeemed	11/01/12	J		
16. N.Y STATE SER C BOND 4.4% DUE 04/15/21	B	Interest	L	T					
17. N.Y. STATE NY SER F BOND 5.3% DUE 01/15/26	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. N.Y. STATE DORM. AUTH. 4.75% REV ST JOHNS BOND DUE 07/01/28	B	Interest			Redeemed	09/10/12	K		
19. TRIBOR. BRIDGE & TUNNEL BOND 5% DUE 11/15/32	B	Interest			Redeemed	11/15/12	K		
20. NY NY CY TRANSTNL FIN ATRV BOND 5% DUE 02/01/33	B	Interest	L	T					
21. SUFFOLK CTY BOND 4.125% DUE 11/01/21	B	Interest	L	T					
22. DUTCHESS CTY BOND 4.5% DUE 08/01/26	B	Interest	L	T					
23. ST. LAWRENCE CTY BOND 4.25% DUE 05/15/28	B	Interest			Sold	08/23/12	L	B	
24. NY ST DORM AT RV ST BOND 5% DUE 02/15/24	B	Interest	L	T					
25. NY ST DORM AT RV ST BOND 5% DUE 02/15/27	B	Interest	L	T					
26. NEW YORK ST URBAN DEV BOND 4.25% DUE 03/15/27	B	Interest			Sold	07/11/12	L	B	
27. NEW YORK ST HFA PERS INC BOND 5% DUE 09/15/30	B	Interest	K	T					
28. NEW YORK NY SUBSER SER C-1 BOND 4.5% DUE 10/01/23	B	Interest	K	T					
29. N.Y. STATE DORM. AUTH. REV MEM SLOAN BOND 5% DUE 07/01/26	B	Interest	K	T					
30. TRIBOR. BRIDGE & TUNNEL BOND 4.75% DUE 11/15/30	B	Interest	L	T					
31. NEW YORK NY SUBSER J-1 BOND 5% DUE 05/15/31	B	Interest	L	T					
32. TRIBOR. BRIDGE & TUNNEL BOND 5% DUE 11/15/32	B	Interest	K	T					
33. N.Y. CITY MUN WTR BOND 5% DUE 06/15/34	C	Interest	L	T					
34. N.Y. CITY MUN WTR BOND 4.75% DUE 06/15/36	B	Interest	L	T					

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35. N.Y. CITY MUN WTR BOND 4.75% DUE 06/15/37	C	Interest	L	T					
36. TRIBOR. BRIDGE & TUNNEL BOND 5% DUE 11/15/37	C	Interest	L	T					
37. N.Y. CITY MUN WTR BOND 5% DUE 06/15/38	B	Interest	L	T					
38. N.Y. STATE HSG FIN AGY BOND 4.9% DUE 11/01/38	B	Interest	L	T					
39. N.Y. CITY MUN ATR BOND 4.5% DUE 06/15/39	C	Interest	M	T					
40. LONG ISLAND PWR AUTH NY BOND 4.25% DUE 05/01/33	B	Interest	K	T					
41. THOMPSON NY PUB IMPT BOND 4.125% DUE 09/01/29	B	Interest	L	T					
42. N.Y. STATE CLN-DRNG WTR BOND 5% DUE 06/15/36	C	Interest	L	T					
43. NEW YORK ST SER E OID BOND 4% DUE 12/15/37	B	Interest	L	T					
44. HUDSON YDS INFRA NY BOND 5% DUE 02/15/47	C	Interest	M	T					
45. HAVERSTRAW NY IMPT BOND 3.50% DUE 06/15/34		None	L	T	Buy	04/16/12	K		
46. CITIBANK CHECKING ACCOUNT - N.Y.,N.Y.		None	J	T					
47. MERRILL LYNCH (IRA ACCOUNT) - CGM ADVISOR EQUITY FUND CL C		None	J	T					
48. MERRILL LYNCH (IRA ROLLOVER) - ML BANK CASH ACCOUNT	A	Interest	M	T	Buy (add'l)	12/31/12	M		Net Increase to MM Fund
49. MERRILL LYNCH (IRA ROLLOVER) - ALGER CAP. APPREC. FUND CL A	B	Dividend	M	T	Buy (add'l)	12/19/12	J		
50. MERRILL LYNCH (IRA ROLLOVER) - MFS VALUE FUND CL C	B	Dividend	L	T					
51. MERRILL LYNCH (IRA ROLLOVER) - OPPENHEIMER SELECT VALUE FUND		None			Sold	02/10/12	M		

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52. MERRILL LYNCH (IRA ROLLOVER) - OPPENHEIMER VALUE FUND CL C		None			Sold	04/25/12	L		
53. MERRILL LYNCH (IRA ROLLOVER)- MAINSTAY LARGE CAP FUND CL C	A	Dividend	N	T	Buy (add'l)	02/10/12	J		
54.					Buy (add'l)	03/06/12	J		
55.					Buy (add'l)	04/05/12	J		
56.					Buy (add'l)	04/19/12	K		
57.					Buy (add'l)	05/14/12	J		
58.					Buy (add'l)	10/12/12	K		
59.					Buy (add'l)	12/31/12	J		
60. MERRILL LYNCH (IRA ROLLOVER)- ALGER CAP APPREC FUND CL C	A	Dividend	M	T	Buy (add'l)	12/19/12	J		
61. MERRILL LYNCH (IRA ROLLOVER)- LOOMIS SAYLES INV BOND FD CL C	D	Dividend	N	T	Buy (add'l)	04/20/12	K		
62. MERRILL LYNCH (IRA ROLLOVER)- PIMCO COMM RR STRATEGY FD CL C	B	Dividend	K	T					
63. MERRILL LYNCH (IRA ROLLOVER)- FRANKLIN INCOME FD CL C	B	Dividend			Sold	10/16/12	K	B	
64. MERRILL LYNCH (IRA ROLLOVER)- BLACKROCK GLOBAL ALLOC FUND C	B	Dividend	M	T					
65. MERRILL LYNCH (IRA ROLLOVER)- OPPENHEIMER DEV. MKTS FUND CL C		None			Buy	02/28/12	K		
66.					Buy (add'l)	03/06/12	J		
67.					Buy (add'l)	04/05/12	J		
68.					Buy (add'l)	05/04/12	J		

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69.					Sold	10/16/12	L	A	
70. MERRILL LYNCH(IRA ROLLOVER)- BLACKROCK LATIN AMERICA FUND		None			Sold	10/12/12	L		
71. MERRILL LYNCH(IRA ROLLOVER)- FED. KAUFMAN LRG CAP FUND CL C	C	Dividend	M	T					
72. MERRILL LYNCH(IRA ROLLOVER)- LORD ABBETT ST DURATION INC FUND	C	Dividend			Sold	04/19/12	M		
73. MERRILL LYNCH(IRA ROLLOVER)- PIMCO GLOBAL MULTI ASSET FUND		None			Sold	09/27/12	M		
74. MERRILL LYNCH(IRA ROLLOVER)- OPPENHEIMER RISING DIV FUND CL C	B	Dividend	M	T	Buy	02/28/12	K		
75.					Buy (add'l)	03/06/12	J		
76.					Buy (add'l)	04/05/12	J		
77.					Buy (add'l)	04/25/12	L		
78.					Buy (add'l)	05/04/12	J		
79.					Buy (add'l)	09/27/12	L		
80. MERRILL LYNCH(IRA ROLLOVER)- BLACKROCK EQUITY DIV FUND CL A	A	Dividend	L	T	Buy	10/12/12	L		
81. MERRILL LYNCH(IRA ROLLOVER)- BLACKROCK EQUITY DIV. FUND CL C	A	Dividend	L	T	Buy	10/16/12	L		
82. MICHAEL NAYLOR, PERSONAL LOAN		None	J	T					
83. CHASE BANK MONEY MARKET ACCT (INHERITED IRA ACCOUNT)		None			Distributed	01/15/12	J		
84. ESTATE	F	Int./Div.	P1	T					

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85. -MERRILL LYNCH MONEY MARKET ACCOUNTS (MONEY MARKET FUNDS)									
86. -NEW YORK NY SER P BOND 4.25% DUE 08/01/25									
87. -TRIBOR BRIDGE & TUNNEL BOND 5% DUE 11/15/32									
88. -ALTRIA GROUP INC (COMMON)									
89. -AMN ELEC POWER CO. (COMMON)									
90. -AT&T (COMMON)									
91. -AVENET INC (COMMON)					Sold	01/24/12	J	A	
92. -BOEING CO. (COMMON)					Sold	03/15/12	J	A	
93. -BP PLC SPON ADR (COMMON)									
94. -CENTURYLINK INC. SHRS (COMMON)					Sold	03/15/12	J	A	
95. -CHEVRON CORP (COMMON)									
96. -CONSOLIDATED EDISON INC. (COMMON)									
97. -DE MASTERS BLENDERS (COMMON) (X)					Spinoff (from line 126)	06/29/12	J		
98. -DAIMLER A (COMMON)									
99. -DIEBOLD INC. (COMMON)									
100. -DOMINION RESOURCES INC. (COMMON)									
101. -DUKE ENERGY CORP. (COMMON)									

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. -EDISON INT'L CALIF (COMMON)									
103. -EXELON CORP. (COMMON)									
104. -EXXON MOBIL CORP. (COMMON)									
105. -FIRST ENERGY CORP. (COMMON)					Sold	03/15/12	J		
106. -GENERAL ELECTRIC (COMMON)									
107. -HERSHEY CO. (COMMON)									
108. -HESS CORP. (COMMON)									
109. -HILLSHIRE BRANDS CO. (COMMON) (X)					Spinoff (from line 126)	06/29/12	J		
110. -HONEYWELL INT'L INC. (COMMON)									
111. -KRAFT FOODS INC. (COMMON)					Sold (part)	10/15/12	M		Spinoff exch - line 115
112. -LSI CORP. (COMMON)									
113. -MARATHON OIL CORP. (COMMON)									
114. -MARATHON PETROLEUM CORP. (COMMON)					Sold	03/15/12	J	A	
115. -MONDELEZ INTERNATIONAL INC. (COMMON) (X)					Spinoff (from line 111)	10/15/12	M		
116. -NORTHROP GRUMMAN CORP. (COMMON)					Sold	03/15/12	J	A	
117. -NORTHEAST UTILITIES (COMMON)									Formerly NSTAR
118. -OCCIDENTAL PETROLEUM CORP. CAL (COMMON)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. -PEPCO HOLDINGS INC. (COMMON)									
120. -PEPSICO INC. (COMMON)					Sold	03/15/12	J		
121. -PHILIP MORRIS INT'L INC. (COMMON)									
122. -PUBLIC SERVICE ENTERPRISE GROUP (COMMON)									
123. -ROCKWELL AUTOMATION INC. (COMMON)									
124. -ROCKWELL COLLINS INC. (COMMON)									
125. -ROYAL DUTCH SHELL PLC (COMMON)					Sold	03/15/12	J		
126. -SARA LEE CORP. (COMMON)					Sold	06/29/12	J		See spinoffs lines 97&109
127. -SKYWORKS SOLUTIONS INC. (COMMON)					Sold	01/24/12	J	A	
128. -SOUTHERN COMPANY (COMMON)									
129. -SPECTRA ENERGY CORP. (COMMON)									
130. -TERADATA CORP. (COMMON)					Sold	01/24/12	J		
131. -VERIZON COMM. (COMMON)									
132. -VODAPHONE GROUP PLC (COMMON)									
133. -YUM BRANDS INC. (COMMON)					Sold	03/15/12	J	A	
134. -DWS NEW YORK TAX FREE INCOME FUND CL A (MUTUAL FUND)									
135. -FEDERATED FUND FOR U.S. GOV'T SECURITIES CL A (MUTUAL FUND)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -FEDERATED MUNICIPAL SECURITIES FUND CL A (MUTUAL FUND)									
137. -FRANKLIN U.S. GOV'T SECURITIES FUND CL C (MUTUAL FUND)									
138. -FRANKLIN U.S. GOV'T SECURITIES FUND CL A (MUTUAL FUND)									
139. -ROCHESTER LIMITED TERM NY MUNICIPAL FUND (MUTUAL FUND)									
140. -WESTERN ASSET HIGH INCOME FUND II (MUTUAL FUND)									
141. -FIDELITY CASH RESERVES (MONEY MARKET FUND)					Closed	01/09/12	K		
142. -FIDELITY MAGELLAN FUND (MUTUAL FUND)					Sold	01/09/12	L		
143. -FIDELITY NY MUNI INCOME FUND (MUTUAL FUND)					Sold	01/09/12	K	A	
144. -FIDELITY PURITAN FUND (MUTUAL FUND)					Sold	01/09/12	K		
145.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Koeltl, John G.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John G. Koeltl**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544