

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Jr., Stephen B.	2. Court or Organization United States District Court, Southern District of Iowa	3. Date of Report 05/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Courthouse 131 E. 4th Street Davenport, IA 52801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2010	State of Iowa Judicial Retirement System, defined benefit pension, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Self-employed professional organizer

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. U.S. Bank	Mortgage on /rental property, (Part VII., Line 1)	M
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] (2013 \$217,000)	E	Rent	M	R					
2. U.S. Bank Accounts	A	Interest	K	T					
3. IRA #1 (H)									
4. - AQR Managed Futures Strategy	A	Dividend	J	T	Buy (add'l)	7/11/16	J		
5. - DFA Investment Grade	A	Dividend	J	T					
6. - DFA International Vector Equity	A	Dividend	J	T					
7. - DFA International Value	A	Dividend	J	T					
8. - DFA Emerging Markets Core Equity	A	Dividend	K	T					
9. - DFA Emerging Markets Small Cap	A	Dividend	J	T					
10. - DFA Global Fixed Income	A	Dividend	J	T					
11. - DFA International Core Equity	A	Dividend	K	T					
12. - DFA Short Term Extended Quality	A	Dividend	J	T					
13. - DFA US Large Cap Growth	A	Dividend	K	T					
14. - DFA US Core Equity	A	Dividend	K	T					
15. - DFA One-Year Fixed Income	A	Dividend	J	T					
16. - DFA US Targeted Value	B	Dividend	K	T	Buy (add'l)	12/16/16	J		
17. - DFA Two-Year Global Fixed Income	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Diamond Hill Long Short	A	Dividend	J	T					
19. - Permanent Portfolio	A	Dividend	J	T					
20. - Vanguard Reit Index	A	Dividend	J	T	Sold (part)	7/11/16	J	A	
21. IRA #2 (H)									
22. - AQR Managed Future Strategy	A	Dividend	J	T	Buy (add'l)	7/11/16	J		
23. - DFA Investment Grade	A	Dividend	J	T					
24. - DFA International Vector Equity	A	Dividend	J	T					
25. - DFA International Value	A	Dividend	J	T					
26. - DFA Emerging Markets Core Equity	A	Dividend	J	T					
27. - DFA Emerging Markets Small Cap	A	Dividend	J	T					
28. - DFA Global Fixed Income	A	Dividend	J	T					
29. - DFA International Core Equity	A	Dividend	J	T					
30. - DFA Short Term Extended Quality	A	Dividend	J	T					
31. - DFA US Large Cap Growth	A	Dividend	J	T					
32. - DFA US Core Equity	A	Dividend	K	T					
33. - DFA US Targeted Value	A	Dividend	K	T					
34. - DFA One-Year Fixed Income	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - DFA Two-Year Global Fixed Income	A	Dividend	J	T					
36. - Diamond Hill Long Short	A	Dividend	J	T					
37. - Permanent Portfolio	A	Dividend	J	T					
38. - Vanguard Reit Index	A	Dividend	J	T	Sold (part)	7/11/16	J	A	
39. IRA #3 (H)									
40. - Goldman Sachs Bank USA Cash Acct (Y)									
41. - Russell Lifepoints Fund Growth Strategy	A	Dividend	K	T					
42. -Spdr S&P 500	A	Dividend	J	T	Buy	1/8/16	J		
43.					Buy (add'l)	6/13/16	J		
44. TIAA CREF 457(b)(H)									
45. - TIAA CREF Lifecycle 2030	A	Dividend	J	T					
46. TIAA CREF 401(a)(H)									
47. - TIAA CREF S&P 500 Index	A	Dividend	J	T					
48. 529 Plan #1 (H)									
49. - BlackRock Mod 13-16 Age Based Option	A	Dividend			Sold	8/18/16	J	A	
50. -BlackRock Mod +17 Age Based Option	A	Dividend	J	T	Buy	8/18/16	J		
51. - BlackRock Aggressive Growth	A	Dividend	J	T					

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52. 529 Plan #2 (H)									
53. - Amcap Fund	A	Dividend	J	T	Sold (part)	7/19/16	J	A	
54. - American Balanced Fund	A	Dividend	J	T	Sold (part)	7/19/16	J	A	
55. - American High Income Trust	A	Dividend	J	T	Sold (part)	7/19/16	J		
56. - Capital Income Builder	A	Dividend	J	T	Sold (part)	7/19/16	J		
57. - Capital World Growth and Income	A	Dividend	J	T	Sold (part)	7/19/16	J	A	
58. - Fundamental Investor	A	Dividend	J	T	Sold (part)	7/19/16	J	A	
59. - Growth Fund of America	A	Dividend	J	T	Sold (part)	7/19/16	J	A	
60. 529 Plan #3 (H)									
61. - Ohio Tuition Trust Fifth Third Cash Account	A	Interest	M	T	Sold (part)	12/6/16	J		
62. Trust #1 (H) (Beneficiary)									
63. - American Funds Money Market A	A	Dividend	J	T	Buy (add'l)	10/26/16	J		
64. - American Funds Bond Fund of America A	A	Dividend			Sold	10/26/16	J	A	
65. - American Funds Capital World Bond Fund A	A	Dividend			Sold	10/26/16	J	A	
66. Trust #2 (H) (Beneficiary)									
67. - Lincoln Universal Life Insurance	B	Interest	K	T					
68. Trust #3 (H) (Beneficiary)									

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69. - Transamerica Universal Life Insurance	C	Interest	L	T					
70. LPL Investment Acct (H)									
71. - DFA Global, Alloc. 60/40	A	Dividend	J	T	Buy	11/10/16	J		
72.					Buy (add'l)	12/7/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts. DFA is abbreviation for Dimensional Fund Advisors.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen B. Jackson, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544