

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Yeakel III, Earl L.	2. Court or Organization District Court, W.D. Texas	3. Date of Report 07/20/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse 200 West 8th Street Austin, Texas 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Theodore Roosevelt Association
2.	Trustee & Chairman	Austin Rotary Club Foundation
3.	Member	University of Texas Longhorn Foundation Advisory Council
4.	Member	Texas Commission on Uniform State Laws
5.	Member	National Conference of Commissioners on Uniform State Laws
6.	Member	Board of Advisors, Austin Lawyers Chapter, The Federalist Society
7.	President	Austin Intellectual Property Chapter, American Inns of Court

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Employees Retirement System of Texas - Retirement
2. 2011	Nitsche & Ferguson Ins. Agency Inc. - Commission Sales
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The University of Texas at Austin	January 19-21, 2011	Alexandria, Virginia	6th Annual Patent Law Institute	Transportation, Meals, & Lodging
2.	National Conference of Commissioners on Uniform State Laws	February 2-6, 2011	Tucson, Arizona	Committee on Style	Transportation, Meals, & Lodging
3.	Brigham Young University	February 18-19, 2011	Dallas, Texas	J. Reuben Clark Law Society Conference	Transportation, Meals, & Lodging
4.	National Conference of Commissioners on Uniform State Laws	April 28-May 1, 2011	Chicago, Illinois	Committee on Style	Transportation, Meals, & Lodging

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5.	State Bar of Texas	June 22-23, 2011	San Antonio, Texas	State Bar of Texas Annual Meeting-Antitrust & Business Litigation Section	Transportation, Meals, & Lodging
6.	State Bar of Texas	July 14-15, 2011	San Antonio, Texas	Advanced Patent Litigation Course 2011	Transportation, Meals, & Lodging
7.	National Conference of Commissioners on Uniform State Laws	September 7-11, 2011	Chicago, Illinois	Committee on Style	Transportation, Meals, & Lodging
8.	Liberty University	September 23-25, 2011	Lynchburg, Virginia	Liberty University School of Law Moot Court Tournament	Transportation, Meals, & Lodging
9.	Thomson Reuters	November 7-9, 2011	Santa Clara, California	Patent Disputes 2011: Patent Reform & New Models for a New Market	Transportation, Meals, & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Prosperity Bank	Line of Credit	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. SCHW Common Stock	A	Dividend	J	T					
2. CVX Common Stock	B	Dividend	L	T					
3. C Common Stock	A	Dividend	J	T					
4. DELL Common Stock		None	K	T					
5. XOM Common Stock	A	Dividend	K	T					
6. F Common Stock		None	J	T					
7. JPM Common Stock	A	Dividend	K	T					
8. MSFT Common Stock	A	Dividend	J	T					
9. Rogers Communications, Inc. Class B Common Stock	A	Dividend	K	T					
10. Royal Dutch Shell PLC Common Stock	B	Dividend	K	T					
11. LUV Common Stock	A	Dividend	K	T					
12. SPND.OB Common Stock		None	J	T					
13. DIS Common Stock	A	Dividend	J	T					
14. Fidelity Blue Chip Growth Mutual Fund		None	K	T					
15. Fidelity China Region Mutual Fund	A	Dividend	J	T					
16. Fidelity New Millennium Mutual Fund	A	Dividend	K	T					
17. Fidelity Municipal Money Market Mutual Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity US Gov't Reserves Mutual Fund	A	Dividend	L	T					
19. Vanguard Prime Money Market Mutual Fund	A	Dividend	K	T					
20. Prosperity Bank (CD)	B	Interest	L	T					
21. Prosperity Bank (CD)	A	Interest	K	T					
22. Prosperity Bank (Checking)	A	Interest	J	T					
23. Wells Fargo Bank (Checking)		None	J	T					
24. Wells Fargo Bank (Checking)	A	Interest	J	T					
25. Wells Fargo Bank (Savings)	B	Interest	L	T					
26. Artisan Value Fund (Mutual Fund)	A	Dividend	K	T	Buy	12/08/11	K		
27. Artisan Value Fund (Mutual Fund)					Buy (add'l)	12/27/11	J		
28. American Century Diversified Bond Fund (Mutual Fund)	A	Dividend	J	T	Buy	10/04/11	J		
29. American Century Diversified Bond Fund (Mutual Fund)	A	Distribution							
30. Thornberg Investment Inc. Builder I (TIBIX)	B	Dividend	K	T	Buy (add'l)	03/29/11	J		
31. Thornberg Investment Inc. Builder I (TIBIX) (Mutual Fund)					Buy (add'l)	12/27/11	J		
32. American High Income Tr (Mutual Fund)		None			Buy (add'l)	03/29/11	J		
33. American High Income Tr (Mutual Fund)					Sold	07/21/11	J	A	
34. EuroPacific Growth Fund SHS CL F-2 (Mutual Fund)		None			Buy	09/01/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. EuroPacific Growth Fund SHS CL F-2 (Mutual Fund)					Sold	12/08/11	J	A	
36. Federated Strategic Value Dividend Fund Instl (Mutual Fund)	A	Dividend	K	T	Buy	09/19/11	J		
37. Federated Strategic Value Dividend Fund Instl (Mutual Fund)					Buy (add'l)	12/27/11	J		
38. Fidelity Advisor Emerging Asia Mutual Fund CL I		None			Buy	02/16/11	J		
39. Fidelity Advisor Emerging Asia Mutual Fund CL I					Buy (add'l)	03/29/11	J		
40. Fidelity Advisor Emerging Asia Mutual Fund CL I					Sold	09/02/11	J	A	
41. Fidelity Advisor Growth Opportunities Mutual Fund-CL I		None			Buy	07/13/11	K		
42. Fidelity Advisor Growth Opportunities Mutual Fund-CL I					Sold	08/05/11	K	A	
43. Fidelity Advisor Growth Opportunitites Mutual Fund-CL I		None	K	T	Buy	09/14/11	K		
44. Fidelity Advisor Growth Opportunities Mutual Fund-CL I					Buy (add'l)	12/27/11	J		
45. Fidelity Invt Tr Advisor Gbl Commodity Mutual Fund		None			Buy	01/27/11	J		
46. Fidelity Invt Tr Advisor Gbl Commodity Mutual Fund					Buy (add'l)	03/29/11	J		
47. Fidelity Invt Tr Advisor Gbl Commodity Mutual Fund					Sold	08/04/11	J	A	
48. Fidelity Advisor Small Cap Fund Instl. (Mutual Fund)	A	Distribution			Buy (add'l)	03/29/11	J		
49. Fidelity Advisor Small Cap Fund Instl. (Mutual Fund)					Sold	09/14/11	J	A	
50. First Eagle Overseas Fund CL A (Mutual Fund)	A	Dividend	J	T	Buy	02/08/11	J		
51. First Eagle Overseas Fund CL A (Mutual Fund)	A	Distribution							

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52. First Eagle US Value Fund CL I (Mutual Fund)		None	K	T	Buy	12/20/11	J		
53. First Eagle US Value Fund CL I (Mutual Fund)					Buy (add'l)	12/27/11	J		
54. JPMorgan Latin America Fund (Mutual Fund)		None			Sold	02/08/11	K	B	
55. Fidelity Advisor New Insights (Mutual Fund)	A	Distribution	K	T	Buy (add'l)	03/29/11	J		
56. Fidelity Advisor New Insights (Mutual Fund)					Buy (add'l)	12/27/11	J		
57. Fidelity Advisor Mid Cap II Instl. (Mutual Fund)		None			Buy (add'l)	03/29/11	J		
58. Fidelity Advisor Mid Cap II Instl. (Mutual Fund)					Sold	07/13/11	K	C	
59. Fidelity Advisor Floating Rate High Income (Mutual Fund)	A	Dividend			Sold	07/18/11	K	A	
60. Fidelity Secs Advisor Real Estate Income Fund Instl CL	A	Dividend	K	T	Buy	07/18/11	K		
61. Fidelity Secs Advisor Real Estate Income Fund Instl CL	A	Distribution							
62. Franklin Templeton Gold & Precious Metals (Mutual Fund)		None			Sold	01/20/11	J	A	
63. Eaton Vance Floating Rate Advantage Fund (Mutual Fund)	A	Dividend	J	T	Sold (part)	03/29/11	J	A	
64. Eaton Vance Floating Rate Advantage Fund (Mutual Fund)					Sold (part)	07/28/11	J	A	
65. Eaton Vance Floating Rate Advantage Fund (Mutual Fund)					Sold (part)	08/01/11	K	A	
66. Eaton Vance Floating Rate Advantage Fund (Mutual Fund)					Buy (add'l)	12/13/11	J		
67. Eaton Vance Global Macro Absolute Return Mutual Fund	A	Dividend	J	T	Buy	10/20/11	J		
68. Eaton Vance Strategic Income Fd Cl I (Mutual Fund)	A	Dividend			Buy	06/24/11	J		

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69. Eaton Vance Strategic Income Fd Cl I (Mutual Fund)					Sold	10/20/11	J	A	
70. Henderson Global Equity Income Fund CL I (Mutual Fund)	A	Dividend	K	T	Buy	10/13/11	J		
71. Henderson Global Equity Income Fund CL I (Mutual Fund)					Buy (add'l)	12/27/11	J		
72. Henderson European Focus Fund Class A (Mutual Fund)		None			Buy	02/01/11	K		
73. Henderson European Focus Fund Class A (Mutual Fund)					Sold	05/17/11	K	A	
74. Hussman Investment Trust Strategic Growth Mutual Fund		None	J	T	Buy	10/07/11	J		
75. Hussman Investment Trust Strategic Total Return Mutual Fund	A	Distribution	J	T	Buy	10/10/11	J		
76. Neuberger & Berman Equity Income FDS CL A (Mutual Fund)	A	Dividend	K	T	Buy	10/07/11	J		
77. Neuberger & Berman Equity Income FDS CL A (Mutual Fund)	A	Distribution			Buy (add'l)	12/27/11	J		
78. New World Fund Inc. (Mutual Fund)		None			Buy (add'l)	03/29/11	J		
79. New World Fund Inc. (Mutual Fund)					Sold	09/14/11	J	A	
80. Small Cap World Fund (Mutual Fund)		None			Buy (add'l)	03/29/11	J		
81. Small Cap World Fund (Mutual Fund)					Sold	09/01/11	J	A	
82. Templeton Global Bond Fund (Mutual Fund)	A	Dividend			Buy (add'l)	03/29/11	J		
83. Templeton Global Bond Fund (Mutual Fund)					Sold	10/03/11	J	A	
84. RS Global Natural Resources Fund (Mutual Fund)	A	Distribution	K	T	Buy (add'l)	03/24/11	J		
85. RS Global Natural Resources Fund (Mutual Fund)					Buy (add'l)	03/29/11	J		

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86. Dodge & Cox Income Fund (Mutual Fund)	A	Dividend	K	T	Buy (add'l)	03/29/11	J		
87. Loomis Sayles Investment Grade Bond Fund (Mutual Fund)	A	Dividend			Sold	02/16/11	J	A	
88. Loomis Sayles Investment Grade Bond Fund (Mutual Fund)	A	Distribution							
89. Loomis Sayles Strategic Income Fund (Mutual Fund)	A	Dividend			Buy (add'l)	03/29/11	J		
90. Loomis Sayles Strategic Income Fund (Mutual Fund)					Sold	09/29/11	J	A	
91. Pimco Total Return Fund PTTAX (Mutual Fund)		None	K	T	Sold (part)	08/05/11	K	A	
92. Pimco Real Return Mutual Fund CI A PRTNX	A	Dividend	K	T	Buy	08/05/11	K		
93. Pimco Real Return Mutual Fund CI A PRTNX	A	Distribution			Buy (add'l)	12/22/11	J		
94. Pimco FDS Real RTN AST P (Mutual Fund)	A	Dividend	K	T	Buy	08/01/11	K		
95. Pimco FDS Real RTN AST P (Mutual Fund)	B	Distribution							
96. Ridgeworth Funds High Income Fd CI I (Mutual Fund)	A	Dividend			Buy	07/21/11	K		
97. Ridgeworth Funds High Income Fd CI I (Mutual Fund)					Sold	09/29/11	J	A	
98. Fairholme Funds Inc. (Mutual Fund)	A	Dividend			Sold	03/04/11	K	A	
99. T Rowe Price Emerging Europe Mediterranean Fund-Mutual Fund		None			Sold	02/01/11	K	C	
100. T Rowe Price Blue Chip Growth Fund (Mutual Fund)		None			Buy	02/16/11	J		
101. T Rowe Price Blue Chip Growth Fund (Mutual Fund)					Buy (add'l)	03/24/11	J		
102. T Rowe Price Blue Chip Growth Fund (Mutual Fund)					Buy (add'l)	03/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. T Rowe Price Blue Chip Growth Fund (Mutual Fund)					Sold	06/22/11	J	A	
104. Invesco Developing Markets Fund (Mutual Fund)		None			Sold	02/16/11	J	A	
105. Invesco Balanced-Risk Allocation Fund Y (Mutual Fund)	A	Dividend	K	T	Buy	09/14/11	K		
106. Invesco Balanced-Risk Allocation Fund Y	A	Distribution							
107. Invesco Equally-Weighted S&P 500 Mutual Fund		None			Buy	03/04/11	K		
108. Invesco Equally-Weighted S&P 500 Mutual Fund					Sold	08/05/11	J	A	
109. First Eagle Fund of America Class A (Mutual Fund)	B	Distribution			Buy (add'l)	03/29/11	J		
110. First Eagle Fund of America Class A (Mutual Fund)					Sold	12/20/11	J	A	
111. Prudential Jennison Equity Income Fund (Mutual Fund)	A	Dividend			Buy (add'l)	03/29/11	J		
112. Prudential Jennison Equity Income Fund (Mutual Fund)					Sold	10/07/11	J	A	
113. Prudential Global Real Estate Fund Class Z (Mutual Fund)	A	Dividend			Buy	01/27/11	J		
114. Prudential Global Real Estate Fund Class Z (Mutual Fund)					Sold	06/24/11	J	A	
115. Washington Mutual Invs Fund CL F-2 SHS	A	Dividend	K	T	Buy	06/22/11	J		
116. Washington Mutual Invs Fund CL F-2 SHS					Buy (add'l)	12/27/11	J		
117. Prime Money Market Fund (Mutual Fund)		None	J	T					
118. Federal Gov't Obl. Tax Mgd. Fund #636	A	Dividend	J	T					
119. Federal Home Loan Mortgage Corp. 2.50% Note	A	Interest			Matured	01/27/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Federal Home Loan Bank 3.13% Note	A	Interest	K	T					
121. Federal Farm Credit Bank 3.45% Note	A	Interest	K	T					
122. U.S. Treasury 5.5% Note (x)	C	Interest	M	T	Buy	02/25/11	L		
123. Federal Home Loan Bank 4.18% Note	A	Interest	K	T	Buy	03/15/11	K		
124. Introgen Therapeutics Inc.		None	J	T					
125. Luby's Inc.		None	J	T					
126. United States Series I Savings Bonds		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Yeakel III, Earl L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Earl L. Yeakel III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544