

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kozinski, Alex	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 03/20/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/18/2017
7. Chambers or Office Address 125 S. Grand Avenue Suite 200 Pasadena, CA 91105		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Kozinski, Alex

Date of Report

03/20/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/23-26	George Mason Univ. Foundation, Inc.- Teaching	\$5,000.00
2. 9/1-12/31	NYU School of Law-Teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Association of American Law Schools	1/5-7	San Francisco, CA	Speaking	Travel & Lodging
2. Prosecutorial Abuse & Accountability Conf.	2/2-3	Berkeley, CA	Speaking	Travel & Lodging
3. ABA Forum on Communications Law	2/9-10	New Orleans, LA	Speaking	Travel & Lodging
4. Federalist Society	3/13-15	Miami, FL	Speaking	Travel & Lodging
5. CBS 60 Minutes	3/26-27	New York, NY	Interview	Travel & Lodging
6. Federalist Society	3/27-29	Charlottesville, VA	Speaking	Travel & Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Kozinski, Alex

Date of Report

03/20/2018

7.	UC Irvine School of Law	5/12-13	Irvine, CA	Speaking	Travel & Lodging
8.	CA Bankruptcy Forum	5/19-20	San Diego, CA	Speaking	Travel & Lodging
9.	Duke Law School	5/25-26	Durham, NC	Teaching	Travel & Lodging
10.	Boston Univ. School of Law	5/31-6/3	Boston, MA	Teaching	Travel & Lodging
11.	Nat'l Constitution Center	6/6-7	Beverly Hills, CA	Speaking	Mileage & Lodging
12.	Ohio Common Pleas Judges Assn.	6/22-23	Cleveland, OH	Teaching	Travel & Lodging
13.	UC Irvine School of Law	7/9-10	Irvine CA	Speaking	Travel & Lodging self & son
14.	NYU School of Law	8/29-9/8	New York, NY	Teaching	Travel & Lodging self & spouse
15.	NYU School of Law	9/21-24	New York, NY	Teaching	Travel & Lodging
16.	NYU School of Law	10/6-15	New York, NY	Teaching	Travel & Lodging self & spouse
17.	George Mason Unvi. Foundation, Inc.	10/23-26	Fairfax, VA	Teaching	Travel & Lodging
18.	NYU School of Law	11/3-8	New York, NY	Teaching	Travel & Lodging self & spouse
19.	Cardozo Law School	11/9	New York, NY	Teaching	Travel
20.	NYU School of Law	11/10-11	New York, NY	Teaching	Travel & Lodging self & spouse
21.	NYU School of Law	11/21-12/2	New York, NY	Teaching	Travel & Lodging self & spouse
22.					
23.					
24.					
25.					
26.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Kozinski, Alex

Date of Report

03/20/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Kozinski, Alex

Date of Report

03/20/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. DREYFUS MUNI MONEY MARKET FUND	A	Interest	L	T					
2. SCHWAB MONEY MARKET FUND	A	Interest	N	T					
3. Fidelity Cash Reserves(FDRXX)	A	Interest	K	T					
4. TIP	A	Dividend	K	T					
5. HYG	A	Dividend	K	T					
6. BND	A	Dividend	J	T					
7. VWO	A	Dividend	K	T					
8. VGK	A	Dividend	K	T					
9. VPL	A	Dividend	K	T					
10. VNQ	A	Dividend	J	T					
11. VB	A	Dividend	J	T					
12. SPDR	A	Dividend	J	T					
13. (PTR) HISTORIC PRE. PROP.	A	Interest	J	U					
14. (PTR) WINGATE HSG, II	A	Interest	J	U					
15. Malaga Bank Premier Market	A	Interest	N	T					
16. Chase Bank-Chase Plus Savings & Checking	A	Interest	K	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Kozinski, Alex

Date of Report

03/20/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Kozinski, Alex

Date of Report

03/20/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alex Kozinski

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544