

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 07/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Trustee	American Scandinavian Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Money Market	A	Dividend	K	T					
2. Walt Disney Co.	A	Dividend	K	T					
3. Home Depot Inc.	A	Dividend	K	T	Sold (part)	07/18/17	J	A	
4. Exxon Mobil Corp.	B	Dividend	K	T					
5. Berkshire Hathaway		None	M	T					
6. Quest Diagnostics	A	Dividend	K	T					
7. Conn. St. Gen. Rev. Bonds	C	Interest	M	T					
8. Conn State Spc Tax Oblig Rev Bonds	A	Interest			Matured	02/01/17	M	A	
9. Capital One Savings Bank	A	Interest	M	T					
10. US Trust Co of NY Bank of America Checking Account		None	K	T					
11. Guilford Savings Bank		None	J	T					
12. Columbia Select Large Cap. Fund		None	K	T	Redeemed (part)	04/21/17	J	A	
13.					Redeemed (part)	08/23/17	J	A	
14. MasterCard Inc.	B	Dividend	L	T	Sold (part)	07/13/17	J	A	
15. Southington, CT GO Bonds (Non-Callable - due 9/1/17 & 8/1/18)	B	Interest			Matured	09/01/17	M	A	
16. Easton, CT GO Bonds	C	Interest	M	T					
17. West Hartford, CT GO Bonds	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Regional School District 18 CT Bonds	D	Interest	M	T					
19. Wethersfield, CT GO Bonds	D	Interest	M	T					
20. TJX Cos., Inc.	A	Dividend	K	T	Sold (part)	02/03/17	J	A	
21.					Sold (part)	07/13/17	J	A	
22. Church & Dwight, Inc.	A	Dividend	K	T	Sold (part)	01/23/17	J	B	
23.					Sold (part)	07/13/17	J	A	
24. Hershey Co.	A	Dividend	J	T	Sold (part)	07/18/17	J	A	
25.					Sold (part)	10/31/17	J	B	
26. Cerner Corp.		None	J	T	Sold (part)	07/13/17	J	A	
27. Hunt JB Trans Svcs. Inc.	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
28. Apple, Inc.	A	Dividend	L	T	Sold (part)	02/03/17	J	A	
29.					Sold (part)	07/13/17	J	A	
30. Southington, CT GO Bonds (Non-Callable - due 8/1/18)	C	Interest	M	T					
31. Johnson & Johnson	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
32.					Sold (part)	12/07/17	J	A	
33. LyondellBasell Industries	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
34. Costco Wholesale Corp.	A	Dividend	K	T	Buy (add'l)	01/18/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35.					Sold (part)	07/13/17	J		
36.					Sold (part)	10/13/17	J		
37. Gilead Sciences, Inc.		None			Sold	02/14/17	J	B	
38. Priceline Group		None	K	T					
39. Amphenol Corp.	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
40. Regeneron Pharmaceuticals, Inc.		None			Sold	01/13/17	J	D	
41. Salesforce.com		None	K	T	Sold (part)	07/18/17	J	A	
42. Metro-West T/R BD (see Note in Part VIII)	E	Interest	O	T					
43. Autozone, Inc.		None			Sold	07/13/17	J		
44. Starbucks	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
45. Tesla Motors		None			Sold	04/19/17	J	C	
46. Zoetis, Inc.	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
47. Danaher Corp.	A	Dividend	K	T	Buy (add'l)	06/08/17	J		
48.					Sold (part)	07/13/17	J		
49. Facebook, Inc.		None	K	T	Sold (part)	07/13/17	J	A	
50. Microsoft Corp.	B	Dividend	L	T	Sold (part)	07/13/17	J	A	
51. Verizon	A	Dividend	J	T	Sold (part)	02/03/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Columbia CT Intermediate Muni Bond Fund	D	Interest	N	T					
53. Amazon.com		None	L	T					
54. Alphabet Inc.		None	K	T					
55. Lauder Estee Cos. Inc.	A	Dividend	J	T	Sold (part)	07/13/17	J	A	
56.					Sold (part)	10/31/17	J	C	
57. BB & T Corp.	A	Dividend	K	T	Sold (part)	06/08/17	J	A	
58. Palo Alto Networks, Inc.		None			Buy (add'l)	01/18/17	J		
59.					Sold	04/19/17	J		
60. Charles Schwab Corp.	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
61. Celgene Corp.		None	K	T	Buy (add'l)	01/10/17	J		
62.					Sold (part)	07/13/17	J	A	
63.					Buy (add'l)	10/27/17	J		
64.					Sold (part)	12/07/17	J		
65. EOG Resources Inc.	A	Dividend	J	T	Sold (part)	07/13/17	J		
66. Intercontinental Exchange Inc.	A	Dividend	K	T	Sold (part)	07/13/17	J	A	
67. Jacobs Engineering Group Inc.	A	Dividend	K	T	Buy (add'l)	07/13/17	J		
68.					Buy (add'l)	07/14/17	J		

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69. Raytheon Co.	A	Dividend	K	T	Buy (add'l)	02/07/17	J		
70.					Sold (part)	07/13/17	J	A	
71. Columbia AMT-Free Conn. Inter. Muni Bond Fund	D	Interest	N	T	Buy (add'l)	12/20/17	M		
72. Vanguard Small-Cap. ETF	B	Dividend	M	T					
73. Fortive Corp.	A	Dividend	J	T					
74. Teladoc, Inc.		None	K	T	Buy	12/05/17	J		
75.					Buy (add'l)	12/06/17	J		
76. United Parcel Service	A	Dividend	K	T	Buy	02/03/17	K		
77. Accenture PLC	A	Dividend	K	T	Buy	07/13/17	J		
78.					Buy (add'l)	12/05/17	J		
79. Alibaba Group Holding, Ltd.		None	K	T	Buy	05/04/17	J		
80.					Buy (add'l)	10/31/17	J		
81. Leidos Holdings, Inc.	A	Dividend	K	T	Buy	07/13/17	J		
82.					Buy (add'l)	07/14/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 6, line 42 (Metro-West T/R BD) - This investment was erroneously marked on the 2016 FDR (page 6, line 37) as "Redeemed" (in full) instead of "Redeemed (part)" on 2/5/2016 so Metro-WestT/R BD remains on the 2017 FDR in its prior place on the list of Investments held.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles S. Haight, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544