

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schenkier, Sidney I.	2. Court or Organization Northern District of Illinois i	3. Date of Report 07/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Dirksen Federal Building 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	Northwestern University School of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Northwestern University School of Law - Payment for work as Adjunct Professor	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Growth Fund (FDGRX)	D	Dividend	N	T					
2. Schwab 1000 Fund (SNXFX)	A	Dividend	J	T	Sold (part)	09/08/17	J	B	
3.					Sold (part)	12/01/17	J	B	
4. Janus Henderson Small Cap Fund, [formerly Perkins S.C.V. Fund] (JSCVX)	B	Dividend	K	T	Sold (part)	01/30/17	K	D	
5.					Sold (part)	04/12/17	K	D	
6. Schwab Money Market Fund	A	Interest	N	T					
7. IBM Common Stock	A	Dividend	K	T					
8. I Shares Dividend Index Fund (DVY)	A	Dividend	K	T	Sold (part)	09/18/17	J	C	
9.					Sold (part)	10/11/17	J	C	
10. Nike Common Stock	A	Dividend	J	T					
11. Schwab Large Cap Growth Fund (SCHG)	B	Dividend	M	T	Buy (add'l)	01/30/17	K		
12.					Buy (add'l)	03/11/17	K		
13. I Shares S&P Index Fund (IVV)	A	Dividend	J	T	Sold (part)	05/15/17	J	A	
14.					Sold (part)	09/08/17	J	A	
15. Oakmark Global Fund	A	Dividend			Sold	01/03/17	K	C	
16. Wisdom Tree Large Cap Fund (DLN)	A	Dividend	K	T					
17. Parnassus Mid-Cap Fund (PARMX)	B	Dividend	M	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Total Stock Index Fund (VTI)	B	Dividend	M	T	Buy (add'l)	01/30/17	J		
19. American Century Bond Fund	A	Dividend			Sold	01/30/17	J	A	
20. TIAA CREF Equity Fund	A	Dividend	L	T					
21. Apple Common Stock	A	Dividend	J	T	Sold (part)	04/03/17	J	B	
22. Aetna Common Stock	A	Dividend	J	T	Sold (part)	01/30/17	J	C	
23. Schwab U.S. REIT ETF (SCHH)	A	Dividend	K	T					
24. Schwab Large Cap ETF (SCHX)	A	Dividend	J	T	Sold (part)	01/30/17	J	A	
25.					Sold (part)	04/12/17	J	A	
26. PIMCO Income Class D Fund	A	Dividend			Sold	01/30/17	J	A	
27. Perkins Global Value Fund	A	Dividend			Sold	04/12/17	J	A	
28. Baird Core Plus Bond Fund	A	Dividend			Sold	03/13/17	J	A	
29. Artisan Int'l Fund	A	Dividend	K	T	Sold (part)	03/10/17	K	C	
30. Fidelity Small Cap Discovery Fund (FSCRX)	C	Dividend	K	T					
31. Harding Loeffer Emerging Markets Fund	A	Dividend			Sold	01/30/17	K	C	
32. Janus Global Health Fund	A	Dividend	K	T					
33. Principal Equity Income Fund	B	Dividend	K	T	Sold (part)	01/03/17	L	D	
34.					Sold (part)	03/10/17	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. AFG Common Stock	A	Dividend	K	T					
36. Fidelity Int'l Small Cap Fund	A	Dividend			Sold	01/30/17	K	C	
37. Oakmark Fund	A	Dividend	M	T					
38. Schwab 529 Plan: 100% Moderate Portfolio	A	Int./Div.	J	T					
39. Unilever Common Stock	A	Dividend			Sold	02/17/17	J	B	
40. Guggenheim S & P 500 Fund (RYF)	A	Dividend			Sold	10/11/17	L	D	
41. Caterpillar Common Stock	A	Dividend			Sold	04/24/17	J	B	
42. Walt Disney Common Stock	A	Dividend	K	T					
43. VISA Common Stock (V)	A	Dividend	K	T					
44. Intel Common Stock (INTC)	A	Dividend	J	T					
45. Schwab U.S. Dividend Fund (SCHD)	B	Dividend	L	T	Buy	01/30/17	K		
46.					Buy (add'l)	03/10/17	K		
47. Vanguard Corp. Bond Fund (VCIT)	B	Int./Div.	K	T	Buy	03/13/17	K		
48.					Buy (add'l)	08/09/17	K		
49. Schwab Inflation Protected Bond Fund (SCHP)	A	Int./Div.	K	T	Buy	03/13/17	K		
50.					Buy (add'l)	08/09/17	J		
51. Ridgworth High Yield Bond Fund (SAMHX)	B	Int./Div.	K	T	Buy	01/17/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sidney I. Schenkier**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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