

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kronstadt, John A.	2. Court or Organization U.S. District Court for the Central District of California	3. Date of Report 05/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address 255 East Temple Street Room 760 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #2
2.	Director and Member of Executive Committee	Constitutional Rights Foundation
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Salary and Benefits

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Horizons Mid Cap Equity Fund	E	Int./Div.	M	T					
2. Horizons Large Cap Equity Fund	A	Int./Div.	J	T					
3. Horizons Stable Income	A	Int./Div.	K	T					
4. Los Angeles County Stable Balanced Fund	D	Int./Div.	M	T					
5. Los Angeles County Stable Value Fund	B	Int./Div.	M	T					
6. Allianz NFJ Mutual Fund	A	Int./Div.	K	T					
7. Black Rock Large Cap Value Mutual Fund	A	Int./Div.	M	T					
8. Henderson International Mutual Fund	A	Int./Div.	L	T					
9. ML Winton Futures Access Mutual Fund	B	Int./Div.	M	T					
10. Vanguard Bond VBIRX	A	Int./Div.			Sold	03/14/13	M	D	
11. Vanguard Money Market VMMXX	A	Int./Div.			Sold	03/14/13	J	A	
12. Brideway Fund BRSGX	A	Int./Div.			Sold	03/14/13	J	B	
13. CGM Focus Fund CGMFX	A	Int./Div.	J	T					
14. CGM Tr Fund LOMMX	A	Int./Div.	J	T					
15. CGM Realty CGMRX	A	Int./Div.	K	T					
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.									
19. DOW	A	Int./Div.			Sold	03/14/13	J	A	
20. INTC	A	Int./Div.			Sold	03/14/13	K	D	
21. IBM	A	Int./Div.			Sold	03/14/13	K	D	
22.									
23. Los Angeles County Horizons Non-US Equity	B	Int./Div.	K	T					
24. Los Angeles County Horizons Small Cap Equity	D	Int./Div.	K	T					
25. Los Angeles County Horizons Midcap Equity	D	Int./Div.	L	T					
26. Los Angeles County Horizons Non US Equity	B	Int./Div.	K	T					
27. Los Angeles County Horizons Small Cap Equity	C	Int./Div.	K	T					
28. Los Angeles County Savings Large Cap Equity	E	Int./Div.	N	T					
29. Los Angeles County Savings Balanced Fund	D	Int./Div.	M	T					
30. Los Angeles County Horizons Stable Income Fund	A	Int./Div.	K	T					
31. Cal. Judges Retirement System Pension Plan		None	O	T					
32. University of California Savings Fund	A	Int./Div.	J	T					
33. University of California Equity Fund	A	Int./Div.	K	T					
34. TIAA CREF Stock	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. TIAA CREF Global Equities	A	Int./Div.	J	T					
36. TIAA CREF Growth	A	Int./Div.	J	T					
37. TIAA CREF Equity Index	A	Int./Div.	J	T					
38. Oppenheimer Advantage Bank Deposit Sweep Account	A	Int./Div.	L	T					
39. State of California SMBIA Bonds	A	Int./Div.	K	T					
40. State of California Public Works Board Bond	A	Int./Div.	K	T					
41. Merrill Lynch Money Market	A	Int./Div.	J	T					
42. Franklin California High Yield Municipal Fund	D	Int./Div.	N	T					
43. Oppenheimer International Bond Fund OIBCX	B	Int./Div.	K	T					
44. Vanguard Windsor II VWNFX	A	Int./Div.	J	T					
45.									
46. PowerShares QQQ Trust	A	Int./Div.	L	T					
47. ABT	A	Int./Div.	J	T					
48. AXP	A	Int./Div.	K	T					
49. AMGN	A	Int./Div.	K	T					
50. T	A	Int./Div.	J	T					
51. BAC	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. CVX	A	Int./Div.	J	T					
53. XOM	A	Int./Div.	J	T					
54. MHS	A	Int./Div.	J	T					
55. ESRX	A	Int./Div.	J	T					
56. MSFT	A	Int./Div.	K	T					
57. MON	A	Int./Div.	J	T					
58. PG	B	Int./Div.	K	T					
59.									
60. SJM	A	Int./Div.	J	T					
61. UPS	A	Int./Div.	J	T					
62. WMT	A	Int./Div.	K	T					
63. Vanguard 529 College Savings Plan Moderate Age-Based Option	A	Int./Div.	J	T					
64. Vanguard 529 College Savings Plan Agressive Age-Based Option	A	Int./Div.	J	T					
65. DODFX	A	Int./Div.			Sold	03/14/13	M	D	
66. HD	A	Int./Div.	J	T					
67. IBM	A	Int./Div.	J	T					
68. MON	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. TXN	A	Int./Div.	J	T					
70. QCOM	A	Int./Div.	J	T					
71. Vanguard Health Care VGHXX	A	Int./Div.	K	T					
72. Vanguard Windsor II VWNFX	A	Int./Div.	J	T					
73. Vanguard Money Market VMMXX	A	Int./Div.	J	T					
74. Alameda County California Pension Obligation Bonds (H)		None	K	T					
75. Union Bank Investment Services Sweep Account	A	Int./Div.	L	T					
76. American Funds Money Market Fund 529-B	A	Int./Div.	L	T					
77. American Funds Balanced Fund 529-E	A	Int./Div.	J	T					
78. Conejo VY Calif. Uni. Sch. Dist Bonds		None	K	T					
79. Alameda Cal. Uni. Sch. Dist Bonds		None	K	T					
80. San Francisco City and County Redevelopment Bonds	B	Int./Div.	K	T					
81. Bruce Fund Mutual Fund	A	Int./Div.	K	T					
82. Northwest Mutual Life Insurance	C	Int./Div.	L	T					
83. Lincoln Financial Group American Legacy II Variable Annuity		None	M	T					
84. Putnam Hartford Capital Manager Variable Annuity		None	L	T					
85. Round Hill Partnership (Real Property)	D	Distribution	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Union Bank of California Cash Account	A	Interest	M	T					
87. Oppenheimer Advantage Bank Deposit Sweep Account	A	Int./Div.	L	T					
88. Wellsley Income Fund VWINX	B	Int./Div.	K	T					
89. Vanguard 500 Index Fund VFIAX	C	Int./Div.	M	T					
90. - Round Hill Partnership (Real Estate) Appraisal 12/30/2009	D	Distribution	M	Q					
91. - Country Club Offices Part. (Real Est.) Appraisal 12/30/09	A	Distribution	J	Q					
92. - Penn Center Limited Part. (Real Est.) Appraisal 12/30/09	D	Distribution	M	Q					
93. - Potomac Place Assoc. Part. (Real Est.) Appraisal 12/30/09	D	Distribution	L	Q					
94. MetLife Insurance (X)		None	J	T					
95. Met Life Variable Annuity		None	N	T					
96. GNMA Pool	B	Int./Div.	J	T					
97. Moduslink Global Solutions MLNK		None			Sold	03/14/13	J	A	
98. BRSGX	A	Int./Div.			Sold	03/14/13	J	A	
99. One America Asset Care Long Term Care	D	Distribution	M	T	Distributed (part)	10/29/13	J	A	
100.									
101.									
102. Trust #2									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Davenport & Co. Prime Cash Trust	A	Int./Div.	K	T					
104.									
105. ASPCX	D	Dividend	M	T					
106. FEGOX		None	J	T					
107. PAUCX	B	Dividend	M	T					
108. TEGBX	C	Dividend	L	T					
109. OGMCX		None	J	T					
110. ODVCX	A	Dividend	L	T					
111. AFJCX	A	Dividend	L	T					
112. MCLVX		None	M	T					
113. HFOCX	B	Dividend	L	T					
114. FCAHX	D	Dividend	N	T					
115. OIBCX	B	Dividend	K	T					
116. RDSA		None	J	T					
117. GE Capital CD	A	Int./Div.	K	T	Buy	09/30/13	K		
118. Pacific Life Annuity		None	O	T	Buy	03/28/13	O		
119. DODGX		None			Sold	03/14/13	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. VWENX		None			Sold	03/14/13	M	D	
121. WM		None			Sold	03/14/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. In Part VII, on lines 116, 119, 120, and 121, the entries reflect holdings from prior to 2013 that were inadvertently omitted from my prior reports.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Kronstadt, John A.

Date of Report

05/07/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John A. Kronstadt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544