

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fenimore, Brian T.	2. Court or Organization U.S. Bankruptcy Court, Western District of Missouri	3. Date of Report 01/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2017
7. Chambers or Office Address Charles Evans Whittaker U.S. Courthouse 400 E. 9th Street Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Lathrop & Gage LLP
2.	Trustee	Family Trust #1 (created August 4, 2017)
3.	Personal Representative	Probate Estate
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2017	Lathrop Gage LLP, awaiting final 2017 compensation to be paid by April 15, 2018
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Lathrop & Gage LLP, compensation for law practice	\$411,202.00
2. 2016	Lathrop & Gage LLP, compensation for law practice	\$359,443.00
3. 2017	Lathrop Gage LLP, compensation for law practice	\$181,545.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	DataSystem Solutions, Inc., salary
2. 2017	DataSystem Solutions, Inc., salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Kansas LearningQuest 529 Account									
2. - American Century Short-Term Portfolio		None	J	T	Exempt				
3. - American Century Money Market/Cash & Cash Equivalents Portfolio	A	Dividend	K	T	Exempt				
4. 401K Plan #1--T.Rowe Price Retirement 2030 Fund	G	Dividend	P1	T	Exempt				
5. Bank of America Cash Accounts	A	Interest	M	T	Exempt				
6. Oppenheimer Brokerage Account									
7. - Apple, Inc. stock	A	Dividend	J	T	Exempt				
8. - Facebook, Inc. stock		None	J	T	Exempt				
9. - Snap, Inc. stock		None	J	T	Exempt				
10. - Under Armour, Inc. stock		None	J	T	Exempt				
11. 401K Plan #2									
12. - Principal Bond Market Index Separate Account		None	K	T	Exempt				
13. - Principal Core Plus Bond Separate Account		None	K	T	Exempt				
14. - Principal High Yield Separate Account		None	J	T	Exempt				
15. - Principal LargeCap S&P 500 Index Separate Account		None	L	T	Exempt				
16. - Principal MidCap S&P 400 Index Separate Account		None	K	T	Exempt				
17. - Principal SmallCap S&P 600 Index Separate Account		None	K	T	Exempt				

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Dimensional Fund Advisors Emerging Markets Small Cap I Fund	B	Dividend	J	T	Exempt				
19. - Principal Diversified International Separate Account		None	L	T	Exempt				
20. - Principal International Emerging Markets Separate Account		None	K	T	Exempt				
21. Spouse 401K-Principal International SmallCap Separate Account		None	J	T	Exempt				
22. - Principal Global Real Estate Securities Separate Account		None	J	T	Exempt				
23. - Principal Real Estate Securities Separate Account		None	J	T	Exempt				
24. Northwestern Mutual Insurance Whole Life Policies	C	Dividend	M	T	Exempt				
25. Northwestern Mutual Insurance Variable CompLife Policy	B	Dividend			Exempt				
26. - Northwestern Mutual Series Fund, Inc. Select Bond Portfolio		None	J	T	Exempt				
27. - Northwestern Mutual Series Fund, Inc. International Equity Portfolio		None	J	T	Exempt				
28. - Northwestern Mutual Series Fund, Inc. Index 500 Stock Portfolio		None	K	T	Exempt				
29. - Northwestern Mutual Series Fund, Inc. Mid Cap Growth Stock Portfolio		None	J	T	Exempt				
30. - Northwestern Mutual Series Fund Inc. Growth Stock Portfolio		None	J	T	Exempt				
31. - Russell Investment Funds Global Real Estate Securities Fund		None	J	T	Exempt				
32. Probate Estate									
33. - BTC Bank Cash Account		None	J	T	Exempt				
34. - Tract #1 Farmland in Harrison County, Missouri		None	N	Q	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Tracts 2 & 3 Farmland in Harrison County, Missouri	A	Rent	O	Q	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: No assets listed for the Family Trust #1 identified in Part I because there are no reportable assets.

Part VII, lines 11-23: The only fund account reporting dividend and/or capital gain income in the reports we receive for these assets is on line 18.

Part VII, lines 25-31: Filer has not been able to create or obtain from Northwestern Mutual any reports with any income, including dividends or capital gains relating to the underlying funds in the Variable CompLife policy investments.

Part VII, lines 32-35: There are three tracts of land in the probate estate, which was opened in the third quarter of 2017. We had them appraised. The appraisals were dated October 6, 2017, with a valuation date as of May 5, 2017 (date of death). Tract #1 was appraised independently. Tracts #2 and #3 were appraised together. So, that is how I am reporting them. There is a house on Tract #3 that rents for a modest amount each month, which is reported on line 32.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian T. Fenimore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544