

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MUSGRAVE, R. KENTON	2. Court or Organization U.S. COURT OF INT'L TRADE	3. Date of Report 05/22/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address ONE FEDERAL PLAZA NEW YORK, NEW YORK 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee Emeritus	The Dian Fossey Gorilla Fund
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Duke Energy Corporation	D	Dividend	M	T					
2. El Paso Corporation	A	Dividend	L	T					
3. General Electric Company 2	C	Dividend	L	T					
4. Gilead Sciences Inc	A	Dividend			Sold	09/20/11	K	D	
5. Oracle Corporation	A	Dividend			Sold	07/28/11	L	E	
6. Bank of America Corp	B	Dividend	K	T					
7. AEGON NV	B	Dividend	J	T					
8. AT&T Inc Com	B	Dividend	K	T	Sold (part)	08/29/11	L	D	
9. Barrick Gold	A	Dividend	K	T					
10. DEVON Energy Corp	A	Dividend			Sold	01/24/11	L	A	
11. ENI SPA ADR	A	Dividend	J	T					
12. Nisource Inc	A	Dividend	J	T					
13. Royal Bank Scotland Group	A	Dividend			Sold	09/20/11	J	A	
14. Southern Co	B	Dividend	K	T					
15. Yamana Gold Inc	A	Dividend	J	T					
16. Fedl Home Ln Mtg Crp Ser	A	Dividend			Sold	01/20/11	J	A	
17. Fedl Home Ln Mtg Crp Ser	A	Dividend			Sold	01/20/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fedl Home Ln Mtg Crp Ser	B	Dividend	K	T					
19. Fedl Home Ln Mtg Crp Ser	C	Dividend	J	T	Sold (part)	01/20/11	K	A	
20. Merrill Lynch Mgmt Fund	B	Int./Div.			Sold	04/13/11	M	E	
21. Prudential Financial/ Computershare	A	Dividend			Sold	07/06/11	J	A	
22. Kinder Morgan Energy Partners	C	Dividend	L	T					
23. Coca Cola	A	Dividend	K	T					
24. Citigroup	A	Dividend			Sold	05/23/11	K	A	
25. MERRILL LYNCH IRA	A	Dividend	J	T					
26. Exxon Mobil Corporation	B	Dividend	L	T					
27. Raytheon Company New	A	Dividend	J	T					
28. McGraw-Hill Cos	A	Dividend			Sold	06/09/11	J	A	
29. Boeing Co	A	Dividend			Sold	06/09/11	J	A	
30. Driehaus Emerging Markets	A	Dividend			Sold	06/09/11	K	B	
31. Citigroup	A	Dividend			Sold	06/09/11	K	A	
32. Bank of America Risk Free CD	A	Interest			Redeemed	06/02/11	K		
33. Merrill Lynch Cash/Money Acct (formerly Columbia Cash Reser)	A	Int./Div.	K	T					
34. Deutsche Bank Cap Fndg	A	Dividend			Sold	10/26/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Deutsch Bk Capital Fdg	A	Dividend			Sold	06/09/11	K	A	
36. Bank of America Money Market Check Interest Checking (X)	A	Interest	J	T					
37. Merrill Lynch Bank Deposit Program	A	Int./Div.	K	T					
38. Aegon NV	B	Dividend	K	T					
39. HSBC Finance Corporation	B	Dividend			Sold	07/15/11	K	A	
40. RBS Cap Fdg TR	B	Dividend			Sold	08/01/11	L	A	
41. Pfizer Inc	A	Dividend			Sold	09/20/11	K	C	
42. Saint (the) Joe Co (FLA)	A	Dividend			Sold	09/20/11	J	A	
43. Toyota Motor Corp ADR	A	Dividend			Sold	05/23/11	K	A	
44. FT 2574 Covered Call	A	Dividend			Sold	12/15/11	J	A	
45. Bank of America Pref	A	Dividend	J	T	Buy	02/09/11	J		
46. Morgan Stanley com	A	Dividend	J	T	Buy	02/11/11	J		
47. Boardwalk Pipeline Partners LP	A	Distribution	J	T	Buy	10/28/11	J		
48. Hollyfrontier Corp Com	A	Dividend	J	T	Buy	02/09/11	J		
49. Marathon Oil Corp Com	A	Dividend	J	T	Buy	02/09/11	J		
50. Marathon Petroleum corp Com	A	Dividend	J	T	Buy	02/09/11	J		
51. PG&E Corp Com	A	Dividend	J	T	Buy	07/12/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Schlumberger LTD com	A	Dividend	J	T	Buy	12/15/11	J		
53. Seadrill LTD com	A	Dividend	J	T	Buy	12/15/11	J		
54. Verizon Comm com	A	Dividend	J	T	Buy	07/12/11	J		
55. Westar Energy Inc com	A	Dividend	J	T	Buy	07/12/11	J		
56. GNR Corp Bond	B	Interest	L	T	Buy	08/02/11	L		
57. American Midstream Ptrs LP	A	Distribution	J	T	Buy	10/20/11	J		
58. American Wtr works Co com	A	Dividend	K	T	Buy	02/09/11	K		
59. AT&T com	B	Dividend	K	T	Buy	08/18/11	K		
60. Franklin Custom Fund	C	Dividend	K	T	Buy	06/02/11	K		
61. Call apple exp 01/19/2013		None	J	T	Buy	09/22/11	J		
62. American Midstream Ptrs LP	A	Distribution	J	T	Buy	11/03/11	J		
63. AMN Elec Power	A	Dividend	J	T	Buy	06/27/11	J		
64. Centurylink Inc com	B	Dividend	K	T	Buy	10/17/11	K		
65. Chimera Invest Corp	A	Dividend	J	T	Buy	06/27/11	J		
66. Duke energy Corp com	A	Dividend	J	T	Buy	06/27/11	J		
67. Hollyfrontier Corp com	A	Dividend	J	T	Buy	12/19/11	J		
68. Marathon Oil Corp com	A	Dividend	J	T	Buy	10/17/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Southern company com	B	Dividend	K	T	Buy	06/27/11	K		
70. Westar Energy Inc com	A	Dividend	J	T	Buy	06/27/11	J		
71. XCEL Energy Inc.	A	Dividend	J	T	Buy	06/27/11	J		
72. Hollyfrontier Corp com		None			Buy	02/09/11	J		
73.					Sold	08/29/11	J	A	
74. Holly Corp com		None			Buy	02/09/11	J		
75.					Sold	07/21/11	J	A	
76. HSBC Finance Pf		None			Buy	02/09/11	J		
77.					Sold	07/15/11	J	A	
78. Valero Energy Corp		None			Buy	02/09/11	J		
79.					Sold	09/20/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Positions - No reportable assets The Dian Fossey Gorilla Fund

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

MUSGRAVE, R. KENTON

Date of Report

05/22/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **R. KENTON MUSGRAVE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544