

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, David C.	2. Court or Organization U.S. District Court	3. Date of Report 08/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Article 5 Trust
2.	Trustee	Article 6 Trust
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Web MD - Editorial Stipend
2. 2016	Private Consulting
3. 2016	Medical Director, Life Point of SC
4. 2016	Newberry Pathology Associates - Autopsy Services
5. 2016	Springer Publishing - Book Royalties

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Judicial Conference Committee - Circuit Judicial Conference	05/22/16 to 05/25/16	White Sulphur Springs, WV	Educational seminar or program	Transportation, lodging & food.
2. Bar Association (private)	09/22/16	Columbia, SC	Activity of professional association	Transportation & food.
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc		None			Sold	05/26/16	M	B	
3. Advansix Inc Com		None	J	T	Spinoff (from line 71)	03/13/16	J		
4. Alcoa Inc	A	Dividend	J	T	Sold (part)	10/06/16	J	A	
5. Allianz Life Valuemark III		None			Sold	05/12/16	J	C	
6. Altria Group	A	Dividend	J	T					
7. American Europacific GRW A	A	Dividend			Sold	07/06/16	K	A	
8. American Express Co	A	Dividend	J	T					
9. Amgen Inc	A	Dividend	K	T					
10. Arconic inc	A	Dividend	J	T	Buy	11/25/16	J		
11. AT&T Inc #1	A	Dividend	J	T					
12. AT&T Inc #2	A	Dividend	J	T					
13. AT&T Inc #3	A	Dividend	K	T					
14. Bank of America #1	A	Dividend	J	T					
15. Bank of America #2	A	Dividend	K	T					
16. Bank of New York Mellon Corp	A	Dividend	J	T					
17. Berkshire Hathaway Inc C1 B		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Blackhawk Network Hldgs Inc		None	J	T					
19. BP PLC ADR	B	Dividend	K	T					
20. Bristol Myers Squibb	A	Dividend	K	T					
21. American Cap Wrld Gr & Inc A #1		None			Sold	07/06/16	L	A	
22. American Cap Wrld Gr & Inc A #2		None			Sold	07/06/16	K	A	
23. American Cap Wrld Gr & Inc A #3		None			Sold	07/06/16	L	A	
24. American Cap Wrld Gr & Inc A #4		None			Sold	07/06/16	K	A	
25. Charter Communications		None	J	T	Spinoff (from line 114)	05/20/16	J		
26. Chemours Co	A	Dividend	J	T					
27. Chevron Corp #1	B	Dividend	K	T					
28. Chevron Corp #2	A	Dividend	K	T					
29. Chevron Corp #3	B	Dividend	K	T					
30. Cisco Sys Inc	A	Dividend	J	T					
31. Fidelity Money Market	A	Interest	J	T					
32. Fidelity Money Market	A	Interest	K	T					
33. Fidelity Money Market	A	Interest	K	T					
34. Citigroup Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Clearbridge Aggressive Gwth C		None			Sold	06/21/16	J	A	
36. Coca-Cola Co	A	Dividend	K	T					
37. Comcast Corp CL A #1	A	Dividend	J	T					
38. Comcast Corp CL A #2	A	Dividend	J	T					
39. CSX Corp	A	Dividend	L	T					
40. Walt Disney Co #1		None			Sold	05/12/16	J	A	
41. Walt Disney Co #2	A	Dividend	K	T					
42. Walt Disney Co #3	A	Dividend	K	T					
43. Dover	A	Dividend	K	T					
44. Dow Chemical #1	A	Dividend	J	T					
45. Dow Chemical #2	A	Dividend	J	T					
46. Duke Energy	A	Dividend	J	T					
47. DuPont El De NeMours & Co	A	Dividend	K	T					
48. EV SC Muni Income A		None			Sold	07/06/16	M	A	
49. Eli Lilly & Co #1	A	Dividend	L	T					
50. Eli Lilly & Co #2	A	Dividend	J	T					
51. Emerson Electric	A	Dividend	K	T					

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52. Energy Transfer Partners LP #1		None	K	T					
53. Energy Transfer Partners LP #2		None	K	T					
54. Exxon Mobil Corp #1	A	Dividend	J	T					
55. Exxon Mobil Corp #2	B	Dividend	K	T					
56. South State Bank	C	Interest	O	T					
57. General Electric Co #1	B	Dividend	K	T					
58. General Electric Co #2	A	Dividend	K	T					
59. General Electric Co #3	B	Dividend	L	T					
60. Goldman Sachs Group Inc	A	Dividend	J	T					
61. American Gr Fd of America A #1		None			Sold	06/21/16	L	B	
62. American Gr Fd of America A #2		None			Sold	06/21/16	L	B	
63. American Gr Fd of America A #3		None			Sold	06/21/16	L	B	
64. Guided Therapeutics Inc		None	J	T					
65. Halyard Health Inc		None	J	T					
66. Hartford Financial Services Grp	A	Dividend	J	T					
67. Hartford Capital Appreciation Fund (IRA)	A	Dividend	N	T					
68. Hewlett Packard Enterprise		None			Sold	06/21/16	J	A	

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69. Hewlett Packard Inc Com	A	Dividend	J	T	Buy	10/15/16	J		
70. Home Depot Inc	B	Dividend	K	T					
71. Honeywell Intl Inc	A	Dividend	K	T					
72. IBM	B	Dividend	M	T					
73. Intel (IRA) #1		None			Sold	07/12/16	K	B	
74. Intel #2	A	Dividend	K	T					
75. Johnson & Johnson	A	Dividend	J	T					
76. Kimberly Clark	A	Dividend	J	T					
77. Knowles Corporation		None	J	T					
78. Kraft-Heinz Foods Inc CL A	A	Dividend	J	T					
79. Lincoln National Corp	A	Dividend	K	T					
80. Lincoln National American Legacy II		None			Sold	05/12/16	O	D	
81. Lincoln American Legacy View		None			Sold	05/12/16	N	C	
82. MFS South Carolina Muni Bond CL A		None			Sold	07/06/16	L	B	
83. Mass Mutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					
84. McDonalds Corp	A	Dividend	K	T					
85. Merck & Co Inc New	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86. Metlife Portfolioaccess		None			Sold	05/12/16	M	C	
87. Metlife Marquis Portfolios VA		None			Sold	05/12/16	N	C	
88. Microsoft Corp	A	Dividend	J	T					
89. Mondelez Intl Inc Com		None	J	T					
90. JP Morgan Chase & Co	A	Dividend	K	T					
91. Motorola Solutions Inc	A	Dividend	J	T					
92. 3M Company A	A	Dividend	K	T					
93. Nokia Corp		None	J	T					
94. Norfolk Southern	B	Dividend	M	T					
95. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
96. Paragon Life Fixed Fund	A	Dividend	J	T					
97. Pepsico Inc	A	Dividend	J	T					
98. Pfizer Inc #1	A	Dividend	J	T					
99. Pfizer Inc #2	A	Dividend	K	T					
100. Phillip Morris Intl Inc	A	Dividend	J	T					
101. Proctor & Gamble #1	A	Dividend	K	T					
102. Proctor & Gamble #2	A	Dividend	M	T					

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103. Putnam Tax Smart FDS Equity FD C1 B		None			Sold	05/12/16	M	C	
104. SCANA Corp New	A	Dividend	M	T					
105. South Street Corp Com #1	B	Dividend	L	T					
106. South Street Corp Com #2	C	Dividend	N	T					
107. South Street Corp Com #3		None			Sold	07/12/16	L	C	
108. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
109. South Carolina State Retirement Plan	B	Dividend	M	T					
110. Suntrust Banks Inc	C	Dividend	M	T					
111. Synovus Financial Corp	A	Dividend	J	T					
112. Texas Instruments Inc	A	Dividend	K	T					
113. Time Inc New Com	A	Dividend	J	T					
114. Time Warner Inc New	A	Dividend	K	T					
115. Total System Services Inc	A	Dividend	K	T					
116. Travelers Companies Inc	A	Dividend	K	T					
117. Verizon Communications #1	A	Dividend	J	T					
118. Verizon Communications #2	A	Dividend	J	T					
119. American WA Mutual A #1		None			Sold	07/06/16	M	B	

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120. American WA Mutual A #2		None			Sold	07/06/16	L	B	
121. American WA Mutual A #3		None			Sold	07/06/16	K	A	
122. Wells Fargo & Co New #1	A	Dividend	J	T					
123. Wells Fargo & Co New #2	A	Dividend	K	T					
124. WMI Hldgs Corp		None			Sold	01/26/16	J	A	
125. Zimmer Biomet Holdings Inc	A	Dividend	J	T					
126. Trust #1 - Royal Dutch Shell		None			Sold	01/20/16	L	D	
127. Trust #1 - Allegheny Energy		None			Sold	01/26/16	K	D	
128. Trust #1 - Idearc		None			Sold	01/26/16	J	D	
129. Trust #1 - Eli Lilly		None			Sold	01/26/16	K	D	
130. Trust #1 - Pepco Holdings Inc		None			Sold	01/26/16	J	D	
131. Trust #1 - Lain Farm, Johnson County, Indiana	E	Rent	P1	W					
132. JNL Disciplined Moderate		None	M	T	Buy	07/15/16	M		
133. JNL American Funds Balanced		None	M	T	Buy	07/15/16	M		
134. JNL Invesco Small Cap Growth		None	M	T	Buy	07/15/16	M		
135. JNL Morgan Midcap Growth		None	M	T	Buy	07/15/16	M		
136. JNL Mellon Capital Consumer Brands Sector		None	M	T	Buy	07/15/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. JNL Mellon Capital Healthcare Sector		None	M	T	Buy	07/15/16	M		
138. JNLMellon Capital Nasdaq 100		None	M	T	Buy	07/15/16	M		
139. JNL S&P 4		None	M	T	Buy	07/15/16	M		
140. JNL S&P Competitive Advantage		None	M	T	Buy	07/15/16	M		
141. JNL T. Roew Price Established Growth		None	M	T	Buy	07/15/16	M		
142. JNL Disciplined Moderate Growth		None	L	T	Buy	07/15/16	L		
143. JNL American Funds Balanced		None	L	T	Buy	07/15/16	L		
144. JNL American Funds Global Bond		None	J	T	Buy	07/15/16	J		
145. JNL Invesco Small Cap Growth		None	L	T	Buy	07/15/16	L		
146. JNL JP Morgan Midcap Growth		None	L	T	Buy	07/15/16	L		
147. JNL Mellon Capital Consumer Brands Sector		None	L	T	Buy	07/15/16	L		
148. JNL Mellon Capital Healthcare Sector		None	L	T	Buy	07/15/16	L		
149. JNL Mellon Capital Nasdaq 100		None	L	T	Buy	07/15/16	L		
150. JNL S&P 4		None	L	T	Buy	07/15/16	L		
151. JNL S&P Competitive Advantage		None	L	T	Buy	07/15/16	L		
152. JNL T. Rowe Price Establisbed Growth		None	L	T	Buy	07/15/16	L		
153. EMC Corp Mass Note		None	J	T	Buy	07/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. EMC Corp Mass Note		None			Sold	09/19/16	J	A	
155. Qualcomm Inc Note		None	J	T	Buy	07/20/16	J		
156. Qualcomm Inc Note		None			Sold	10/05/16	J	A	
157. US Treas NTS		None	J	T	Buy	07/18/16	J		
158. US Treas NTS		None			Sold	08/31/16	J	A	
159. Wells Fargo & Co New Note		None	J	T	Buy	07/20/16	J		
160. Wells Fargo & Co New Note		None			Sold	10/24/16	J	A	
161. AT&T Inc Note		None	J	T	Buy	07/18/16	J		
162. Adobe Sys Inc Note		None	J	T	Buy	07/20/16	J		
163. Amgen Inc Note		None	J	T	Buy	07/18/16	J		
164. Apple Inc Note		None	J	T	Buy	08/31/16	J		
165. Bank of America Corp		None	J	T	Buy	07/18/16	J		
166. Citigroup Inc Note		None	J	T	Buy	07/18/16	J		
167. Citigroup Inc Note #2		None	J	T	Buy	10/24/16	J		
168. Conocophillips Note		None	J	T	Buy	07/20/16	J		
169. Gilead Sciences Inc Note		None	J	T	Buy	07/18/16	J		
170. JPMorgan Chase&Co Note		None	J	T	Buy	07/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Morgan Stanley MTN		None	J	T	Buy	12/19/16	J		
172. Oracle Corp Note		None	J	T	Buy	10/24/16	J		
173. United States Treas NTS Note		None	J	T	Buy	07/18/16	J		
174. United States Treas NTS Note		None	J	T	Buy	07/18/16	J		
175. United States Treas NTS Note		None	J	T	Buy	07/18/16	J		
176. United States Treas NTS Note		None	J	T	Buy	10/24/16	J		
177. United States Treas NTS		None	J	T	Buy	07/18/16	J		
178. United States Treas NTS		None	J	T	Buy	10/24/16	J		
179. United States Treas NTS		None	J	T	Buy	07/18/16	J		
180. United States Treas NTS		None	J	T	Buy	10/24/16	J		
181. Well Fargo Co MTN Be		None	J	T	Buy	10/25/16	J		
182. Dow Chemical Co		None	J	T	Buy	07/15/16	J		
183. Dow Chemical Co		None			Sold	10/01/16	J	A	
184. Royal Dutch Shell Adr EA Rep 2		None	J	T	Buy	12/16/16	J		
185. Royal Dutch Shell Adr EA Rep 2		None			Sold	12/19/16	J	A	
186. Royal Dutch Shell Adr EA REP 2		None	J	T	Buy	09/19/16	J		
187. Royal Dutch Shell Adr EA Rep 2		None			Sold	09/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Wells Fargo & Co		None	J	T	Buy	07/15/16	J		
189. Wells Fargo & Co		None			Sold	10/01/16	J	A	
190. AT&T INC Com USD1		None	J	T	Buy	07/15/16	J		
191. AT&T INC COM USD1		None	J	T	Buy (add'l)	10/24/16	J		
192. AT&T INC COM USD1		None	J	T	Buy (add'l)	10/27/16	J		
193. ABBVIE INC		None	J	T	Buy	07/15/16	J		
194. ABBVIE INC		None	J	T	Buy (add'l)	10/24/16	J		
195. ABBVIE INC		None	J	T	Buy (add'l)	11/10/16	J		
196. CHEVRON CORP		None	J	T	Buy	07/15/16	J		
197. CHEVRON CORP		None	J	T	Buy (add'l)	09/07/16	J		
198. CHEVRON CORP		None	J	T	Buy (add'l)	10/24/16	J		
199. CHEVRON CORP		None	J	T	Buy (add'l)	12/07/16	J		
200. DOW CHEMICAL CO		None	J	T	Buy	07/15/16	J		
201. DOW CHEMICAL CO		None	J	T	Buy (add'l)	09/20/16	J		
202. DOW CHEMICAL CO		None	J	T	Buy (add'l)	10/24/16	J		
203. DOW CHEMICAL CO		None	J	T	Buy (add'l)	10/25/16	J		
204. FORD MTR CO DEL COM		None	J	T	Buy	07/15/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. FORD MTR CO DEL COM		None	J	T	Buy (add'l)	08/29/16	J		
206. FORD MTR CO DEL COM		None	J	T	Buy (add'l)	10/24/16	J		
207. FORD MTR CO DEL COM		None	J	T	Buy (add'l)	11/28/16	J		
208. INTEL BUSINESS MACH		None	K	T	Buy	12/07/16	K		
209. PFIZER INC		None	J	T	Buy	11/28/16	J		
210. PHILIP MORRIS INTL INC COM		None	J	T	Buy	10/24/16	J		
211. ROYAL DUTCH SHELL PLC SPON ADR B		None	K	T	Buy	12/19/16	K		
212. TARGET CORP COM		None	J	T	Buy	12/07/16	J		
213. WELLS FARGO & COMPANY COM		None	K	T	Buy	12/19/16	K		
214. DELPHI AUTOMOTIVE PLC COM		None	J	T	Buy	07/15/16	J		
215. DELPHI AUTOMOTIVE PLC COM		None			Sold	10/24/16	J	A	
216. INGERSOLL-RAND PLC SHS		None	J	T	Buy	07/15/16	J		
217. INGERSOLL-RAND PLC SHS		None			Sold	10/24/16	J	A	
218. LYONDELLBASELL INDUSTRIES N V COM		None	J	T	Buy	07/15/16	J		
219. LYONDELLBASELL INDUSTRIES N V COM		None			Sold	10/21/16	J	A	
220. ADVANSIX INC COM		None	J	T	Buy	07/15/16	J		
221. ADVANSIX INC COM		None			Sold	10/18/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. APPLE INC		None	J	T	Buy	07/15/16	J		
223. APPLE INC		None			Sold	10/24/16	J	A	
224. BB&T CORP		None	J	T	Buy	07/15/16	J		
225. BB&T CORP		None			Sold	10/24/16	J	A	
226. BANK OF THE OZARKS		None	J	T	Buy	07/15/16	J		
227. BANK OF THE OZARKS		None			Sold	10/24/16	J	A	
228. CAPITAL ONE FINANCIAL CORP		None	J	T	Buy	07/15/16	J		
229. CAPITAL ONE FINANCIAL CORP		None			Sold	10/24/16	J	A	
230. CARDINAL FINL GROUP		None	J	T	Buy	08/16/16	J		
231. CARDINAL FINL GROUP		None			Sold	10/21/16	J	A	
232. CARDINAL FINL GROUP		None	J	T	Buy	07/15/16	J		
233. CARDINAL FINL GROUP		None			Sold	10/18/16	J	A	
234. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/15/16	J		
235. CARNIVAL CORP PAIRED CTF		None			Sold	10/24/16	J	A	
236. CITIGROUP INC		None	J	T	Buy	07/15/16	J		
237. CITIGROUP INC		None			Sold	10/24/16	J	A	
238. DELTA AIRLINES INC		None	J	T	Buy	07/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. DELTA AIRLINES INC		None			Sold	10/24/16	J	A	
240. DEVON ENERGY CORP		None	J	T	Buy	07/15/16	J		
241. DEVON ENERGY CORP		None			Sold	10/24/16	J	A	
242. DU PONT E I DE NEMOURS & CO		None	J	T	Buy	07/15/16	J		
243. DU PONT E I DE NEMOURS & CO		None			Sold	10/24/16	J	A	
244. EOG RESOURCES INC		None	J	T	Buy	07/15/16	J		
245. EOG RESOURCES INC		None			Sold	10/18/16	J	A	
246. HALLIBURTON CO HOLDING CO FRMLY		None	J	T	Buy	07/15/16	J		
247. HALLIBURTON CO HOLDING CO FRMLY		None			Sold	10/24/16	J	A	
248. HOME DEPOT INC		None	J	T	Buy	07/15/16	J		
249. HOME DEPOT INC		None			Sold	10/24/16	J	A	
250. HONEYWELL INTL INC		None	J	T	Buy	07/15/16	J		
251. HONEYWELL INTL INC		None			Sold	10/21/16	J	A	
252. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	07/15/16	J		
253. INTERCONTINENTAL EXCHANGE INC		None			Sold	10/24/16	J	A	
254. MICROSOFT CORP		None	J	T	Buy	07/15/16	J		
255. MICROSOFT CORP		None			Sold	10/24/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	07/15/16	J		
257. OCCIDENTAL PETROLEUM CORP		None			Sold	10/24/16	J	A	
258. OCEANEERING INTL INC		None	J	T	Buy	07/15/16	J		
259. OCEANEERING INTL INC		None			Sold	10/24/16	J	A	
260. OMINCOM GROUP		None	J	T	Buy	07/15/16	J		
261. OMICOM GROUP		None			Sold	10/24/16	J	A	
262. PROCTOR & GAMBLE		None	J	T	Buy	07/15/16	J		
263. PROCTOR & GAMBLE		None			Sold	10/24/16	J	A	
264. SABRE CORP COM		None	J	T	Buy	07/15/16	J		
265. SABRE CORP COM		None			Sold	10/24/16	J	A	
266. ALLERGAN PLC COM		None	J	T	Buy	07/15/16	J		
267. DELPHI AUTOMOTIVE PLC COM		None	J	T	Buy	07/15/16	J		
268. INGERSOLL-RAND PLC SHS		None	J	T	Buy	07/15/16	J		
269. AT&T INC NEW COM		None	J	T	Buy	07/15/16	J		
270. AETNA INC NEW COM		None	J	T	Buy	07/15/16	J		
271. APPLE INC		None	J	T	Buy	07/15/16	J		
272. BB&T CORP		None	J	T	Buy	07/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. BANK OF THE OZARKS INC COM		None	J	T	Buy	07/15/16	J		
274. CAMDEN PPTY TR SH BEN INT		None	J	T	Buy	07/15/16	J		
275. CAPITAL ONE PAIRED CTF		None	J	T	Buy	07/15/16	J		
276. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/15/16	J		
277. CISCO SYS INC COM		None	J	T	Buy	07/15/16	J		
278. CITIGROUP INC COM NEW		None	J	T	Buy	07/15/16	J		
279. DARLING INGREDIENTS INC		None	J	T	Buy	07/15/16	J		
280. DELTA AIR LINES INC DEL COM NEW		None	J	T	Buy	07/15/16	J		
281. DEVON ENERGY CORP NEW		None	J	T	Buy	07/15/16	J		
282. DUPONT EI NEMOURS & CO		None	J	T	Buy	07/15/16	J		
283. EOG RESOURCES INC		None	J	T	Buy	07/15/16	J		
284. HALIBURTON CO HOLDING		None	J	T	Buy	07/15/16	J		
285. HOME DEPOT INC COM		None	J	T	Buy	07/15/16	J		
286. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	07/15/16	J		
287. MEDNAX INC		None	J	T	Buy	07/15/16	J		
288. MICROSOFT CORP		None	J	T	Buy	07/15/16	J		
289. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	07/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

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08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. OCEANEERING INTL		None	J	T	Buy	07/15/16	J		
291. OMNICOM GROUP		None	J	T	Buy	07/15/16	J		
292. PARAMOUNT GROUP INC		None	J	T	Buy	07/15/16	J		
293. PROCTER AND GAMBLE CO		None	J	T	Buy	07/15/16	J		
294. SABRE CORP		None	J	T	Buy	07/15/16	J		
295. ST JUDE MEDICAL INC		None	J	T	Buy	07/15/16	J		
296. TOTAL SYS SVCS		None	J	T	Buy	07/15/16	J		
297. TUPPERWARE BRANDS CORP		None	J	T	Buy	07/15/16	J		
298. UMPQUA HOLDINGS CORP		None	J	T	Buy	07/15/16	J		
299. UNION PACIFIC CORP		None	J	T	Buy	07/15/16	J		
300. ZIMMER BIOMET HLDGS INC		None	J	T	Buy	07/15/16	J		
301. ALLERGAN PLC COM		None	J	T	Buy	07/19/16	J		
302. DELPHI AUTOMOTIVE PLC COM		None	J	T	Buy	07/19/16	J		
303. INGERSOLL-RAND PLC SHS		None	J	T	Buy	07/19/16	J		
304. AT&T INC		None	J	T	Buy	07/19/16	J		
305. ADVANSIX INC		None	J	T	Buy	07/19/16	J		
306. AETNA INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. APPLE INC		None	J	T	Buy	07/19/16	J		
308. BB&T CORP		None	J	T	Buy	07/19/16	J		
309. BANK OF THE OZARKS		None	J	T	Buy	07/19/16	J		
310. CAMDEN PPTY TR SH BEN INT		None	J	T	Buy	07/19/16	J		
311. CAPITAL ONE FINANCIAL COROP		None	J	T	Buy	07/19/16	J		
312. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/19/16	J		
313. CISCO SYS INC		None	J	T	Buy	07/19/16	J		
314. CITIGROUP INC		None	J	T	Buy	07/19/16	J		
315. DARLING INGREDIENTS INC		None	J	T	Buy	07/19/16	J		
316. DELTA AIR LINES INC		None	J	T	Buy	07/19/16	J		
317. DEVON ENERGY CORP		None	J	T	Buy	07/19/16	J		
318. DU PONT E I DE NEMOURS & CO		None	J	T	Buy	07/19/16	J		
319. EOG RESOURCES INC		None	J	T	Buy	07/19/16	J		
320. HALLIBURTON CO HOLDING CO FRMLY		None	J	T	Buy	07/19/16	J		
321. HOME DEPOT INC		None	J	T	Buy	07/19/16	J		
322. HONEYWELL INTL INC		None	J	T	Buy	07/19/16	J		
323. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

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08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. MEDNAX INC		None	J	T	Buy	07/19/16	J		
325. MICROSOFT CORP		None	J	T	Buy	07/19/16	J		
326. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	07/19/16	J		
327. OCEANEERING INTL INC		None	J	T	Buy	07/19/16	J		
328. OMNICOM GROUP		None	J	T	Buy	07/19/16	J		
329. PARAMOUNT GROUP INC		None	J	T	Buy	07/19/16	J		
330. PROCTER AND GAMBLE CO		None	J	T	Buy	07/19/16	J		
331. SABRE CORP		None	J	T	Buy	07/19/16	J		
332. ST JUDE MEDICAL INC		None	J	T	Buy	07/19/16	J		
333. TOTAL SYS SVCS INC		None	J	T	Buy	07/19/16	J		
334. TUPPERWARE BRANDS CORP		None	J	T	Buy	07/19/16	J		
335. UMPQUA HOLDINGS CORP		None	J	T	Buy	07/19/16	J		
336. UNION PACIFIC CORP		None	J	T	Buy	07/19/16	J		
337. ZIMMER BIOMET HLDGS INC		None	J	T	Buy	07/19/16	J		
338. AT&T INC		None	J	T	Buy	07/20/16	J		
339. ADOBE SYS INC		None	J	T	Buy	07/20/16	J		
340. AMGEN INC		None	J	T	Buy	07/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. APPLE INC		None	J	T	Buy	08/31/16	J		
342. BANK AMER CORP		None	J	T	Buy	07/20/16	J		
343. CITIGROUP INC		None	J	T	Buy	07/20/16	J		
344. CONOCOPHILLIPS		None	J	T	Buy	07/20/16	J		
345. GILEAD SCIENCES INC		None	J	T	Buy	07/20/16	J		
346. JPMORGAN CHASE & CO		None	J	T	Buy	07/20/16	J		
347. MORGAN STANLEY MTN		None	J	T	Buy	12/19/16	J		
348. ORACLE CORP		None	J	T	Buy	10/25/16	J		
349. UNITED STATES TREAS NTS		None	J	T	Buy	07/20/16	J		
350. UNITED STATES TREAS NTS		None	J	T	Buy	07/20/16	J		
351. UNITED STATES TREAS NTS		None	K	T	Buy	07/20/16	K		
352. UNITED STATES TREAS NTS		None	J	T	Buy	07/20/16	J		
353. WELLS FARGO CO MTN		None	J	T	Buy	10/25/16	J		
354. AT&T INC		None	J	T	Buy	07/20/16	J		
355. AMERICAN EXPRESS CR CORP		None	J	T	Buy	07/20/16	J		
356. ANHEUSER BUSCH INBEV FIN INC		None	J	T	Buy	07/20/16	J		
357. BERKESHIRE HATHAWAY INC		None	J	T	Buy	07/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. CITIGROUP INC		None	J	T	Buy	07/20/16	J		
359. FEDL HOME LN MTG CRP POOL		None	J	T	Buy	07/21/16	J		
360. FEDL HOME LN MTG CRP POOL		None	J	T	Buy	11/30/16	J		
361. FEDERAL HOME LN MTG CORP		None	J	T	Buy	07/21/16	J		
362. FEDL NATL MTG ASSN POOL		None	J	T	Buy	07/21/16	J		
363. FORD MTR CO		None	J	T	Buy	12/16/16	J		
364. GENERAL ELEC CAP CORP		None	J	T	Buy	07/20/16	J		
365. GOLDMAN SACHS GROUP INC		None	J	T	Buy	07/20/16	J		
366. HOME DEPOT INC		None	J	T	Buy	07/20/16	J		
367. JPMORGAN CHASE & CO		None	J	T	Buy	07/20/16	J		
368. LOCKHEED MARTIN CORP		None	J	T	Buy	07/20/16	J		
369. MORGAN STANLEY		None	J	T	Buy	07/20/16	J		
370. SHELL INTERNATIONAL FIN BV		None	J	T	Buy	07/20/16	J		
371. SOUTHERN CO		None	J	T	Buy	07/20/16	J		
372. UNITED STATES TREAS BDS		None	J	T	Buy	07/21/16	J		
373. UNITED STATES TREAS BDS		None	J	T	Buy	11/17/16	J		
374. UNITED STATES TREAS BDS		None	J	T	Buy	07/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
376. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
377. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
378. UNITED STATES TREAS NTS		None	J	T	Buy	07/28/16	J		
379. UNITED STATES TREAS NTS		None	J	T	Buy	08/05/16	J		
380. UNITED STATES TREAS NTS		None	J	T	Buy	08/29/16	J		
381. UNITED STATES TREAS NTS		None	J	T	Buy	09/29/16	J		
382. UNITED STATES TREAS NTS		None	J	T	Buy	10/26/16	J		
383. UNITED STATES TREAS NTS		None	J	T	Buy	08/05/16	J		
384. UNITED STATES TREAS NTS		None	J	T	Buy	08/22/16	J		
385. UNITED STATES TREAS NTS		None	J	T	Buy	10/26/16	J		
386. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
387. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
388. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
389. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
390. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
391. UNITED STATES TREAS NTS		None	J	T	Buy	12/28/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. VERIZON COMMUNICATIONS INC		None	J	T	Buy	07/20/16	J		
393. VISA INC		None	J	T	Buy	07/20/16	J		
394. WELLS FARGO CI		None	J	T	Buy	07/20/16	J		
395. NXP SEMICONDUCTORS		None	J	T	Buy	07/19/16	J		
396. BROADCOM LIMITED		None	J	T	Buy	07/19/16	J		
397. ACUITY BRANDS INC		None	J	T	Buy	07/19/16	J		
398. AGILENT TECH INC		None	J	T	Buy	07/19/16	J		
399. ALIGN TECHNOLOGY		None	J	T	Buy	07/19/16	J		
400. ALLIANCE DATA SYS CORP		None	J	T	Buy	07/19/16	J		
401. ALPHABET INC		None	J	T	Buy	07/19/16	J		
402. AMAZON		None	J	T	Buy	07/19/16	J		
403. AMERISOURCEBERGEN CORP		None	J	T	Buy	07/19/16	J		
404. AMPHENOL CORP		None	J	T	Buy	07/19/16	J		
405. APPLE INC		None	J	T	Buy	07/19/16	J		
406. CELGENE CORP		None	J	T	Buy	07/19/16	J		
407. CINTAS CORP		None	J	T	Buy	07/19/16	J		
408. COGNIZANT TECH SOLUTIONS CORP		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. CONSTELLATION BRANDS INC		None	J	T	Buy	07/19/16	J		
410. ELECTRONICS ARTS		None	J	T	Buy	07/19/16	J		
411. FACEBOOK INC		None	J	T	Buy	07/19/16	J		
412. FISERV INC		None	J	T	Buy	07/19/16	J		
413. FLEETCOR TECHNOLOGIES INC		None	J	T	Buy	07/19/16	J		
414. FLOWSERVE CORP		None	J	T	Buy	07/19/16	J		
415. FOOT LOCKER INC		None	J	T	Buy	07/19/16	J		
416. GLOBAL PMTS INC		None	J	T	Buy	07/19/16	J		
417. HANESBRANDS INC		None	J	T	Buy	07/19/16	J		
418. HOME DEPOT INC		None	J	T	Buy	07/19/16	J		
419. ESTEE LAUDER COMPANIES INC		None	J	T	Buy	07/19/16	J		
420. MASTERCARD INCORPORATED		None	J	T	Buy	07/19/16	J		
421. METTLER-TOLEDO INTL		None	J	T	Buy	07/19/16	J		
422. MIDDLEBY CORP		None	J	T	Buy	07/19/16	J		
423. MONSTER BEVERAGE CORP		None	J	T	Buy	07/19/16	J		
424. NCR CORP		None	J	T	Buy	07/19/16	J		
425. NETFLIX COM INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. NIKE INC		None	J	T	Buy	07/19/16	J		
427. NORDSON CORP		None	J	T	Buy	10/07/16	J		
428. SHERWIN WILLIAMS CO		None	J	T	Buy	07/19/16	J		
429. SPECTRUM BRANDS HLDGS INC		None	J	T	Buy	07/19/16	J		
430. STARBUCKS CORP		None	J	T	Buy	07/19/16	J		
431. TRIMBLE INC		None	J	T	Buy	07/19/16	J		
432. UNITED RENTALS INC		None	J	T	Buy	07/19/16	J		
433. VERISK ANALYTICS INC		None	J	T	Buy	07/19/16	J		
434. WATERS CORP		None	J	T	Buy	07/19/16	J		
435. WILLIAMS SONOMA INC		None	J	T	Buy	07/19/16	J		
436. ZOETIS INC		None	J	T	Buy	07/19/16	J		
437. AFLAC INC		None	J	T	Buy	07/19/16	J		
438. AT&T INC		None	J	T	Buy	07/19/16	J		
439. AETNA INC		None	J	T	Buy	07/19/16	J		
440. AIR PRODUCTS & CHEM		None	J	T	Buy	07/19/16	J		
441. ALPHABET INC		None	J	T	Buy	07/19/16	J		
442. AMGEN INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. APPLE INC		None	J	T	Buy	07/19/16	J		
444. AVERY DENNISON CORP		None	J	T	Buy	07/19/16	J		
445. CDW CORP COM		None	J	T	Buy	07/19/16	J		
446. CVS HEALTH CORP		None	J	T	Buy	07/19/16	J		
447. CENTENE CORP		None	J	T	Buy	07/19/16	J		
448. CHEVRON CORP		None	J	T	Buy	07/19/16	J		
449. COMCAST CORP		None	J	T	Buy	07/19/16	J		
450. DST SYSTEMS INC		None	J	T	Buy	07/19/16	J		
451. DELTA AIR LINES INC		None	J	T	Buy	07/19/16	J		
452. DOW CHEMICAL CO		None	J	T	Buy	07/19/16	J		
453. ELECTRONIC ARTS		None	J	T	Buy	07/19/16	J		
454. ENVISION HEALTHCARE CORP		None	J	T	Buy	07/19/16	J		
455. EXXON MOBIL CORP		None	J	T	Buy	07/19/16	J		
456. FOOT LOCKER INC		None	J	T	Buy	07/19/16	J		
457. GENERAL MTRS CO		None	J	T	Buy	07/19/16	J		
458. GILEAD SCIENCES INC		None	J	T	Buy	07/19/16	J		
459. GOLDMAN SACHS GROUP		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. HCA HLDGS INC		None	J	T	Buy	07/19/16	J		
461. HOME DEPOT INC		None	J	T	Buy	07/19/16	J		
462. HUNTINGTON BANCSHARES INC		None	J	T	Buy	07/19/16	J		
463. INTEL CORP		None	J	T	Buy	03/01/16	J		
464. JPMORGAN CHASE & CO		None	J	T	Buy	07/19/16	J		
465. JABIL CIRCUIT INC		None	J	T	Buy	07/19/16	J		~
466. KROGER CO		None	J	T	Buy	07/19/16	J		
467. LEAR CORP		None	J	T	Buy	07/19/16	J		
468. LINCOLN NATIONAL CORP		None	J	T	Buy	07/19/16	J		
469. LINEAR TECHNOLOGY CORP		None	J	T	Buy	07/19/16	J		
470. LOWES COS INC		None	J	T	Buy	07/19/16	J		
471. MCKESSON CORP		None	J	T	Buy	07/19/16	J		
472. MERCK & CO		None	J	T	Buy	07/19/16	J		
473. MICROSOFT CORP		None	J	T	Buy	07/19/16	J		
474. NORTHROP GRUMMAN CORP HOLDING CO		None	J	T	Buy	07/19/16	J		
475. NVIDIA CORP		None	J	T	Buy	07/19/16	J		
476. PINNACLE FOODS INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. PROLOGIS INC		None	J	T	Buy	10/24/16	J		
478. PRUDENTIAL FINL INC		None	J	T	Buy	07/19/16	J		
479. SOUTHWEST AIRLNS CO		None	J	T	Buy	07/19/16	J		
480. SPIRIT AEROSYSTEMS HLDGS INC		None	J	T	Buy	07/19/16	J		
481. STANLEY BLACK & DECKER		None	J	T	Buy	10/24/16	J		
482. SUNTRUST BANKS INC		None	J	T	Buy	07/19/16	J		
483. SYSCO CORP		None	J	T	Buy	07/19/16	J		
484. TJX COS INC		None	J	T	Buy	10/24/16	J		
485. UNITEDHEALTH GROUP		None	J	T	Buy	07/19/16	J		
486. VALERO ENERGY CORP		None	J	T	Buy	07/19/16	J		
487. ACCENTURE PLC CLS A		None	J	T	Buy	10/26/16	J		
488. AFLAC INC		None	J	T	Buy	05/27/16	J		
489. AETNA INC		None	J	T	Buy	05/27/16	J		
490. AIR PRODUCTS & CHEM		None	J	T	Buy	05/27/16	J		
491. ALPHABET INC CAP STK CL C		None	J	T	Buy	05/27/16	J		
492. AMGEN INC		None	J	T	Buy	05/27/16	J		
493. APPLE INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. AVERY DENNISON CORP		None	J	T	Buy	05/27/16	J		
495. CDW CORP COM		None	J	T	Buy	05/27/16	J		
496. CVS HEALTH CORP		None	J	T	Buy	05/27/16	J		
497. CENTENE CORP DEL		None	J	T	Buy	05/27/16	J		
498. CHEVRON XOEP		None	J	T	Buy	06/16/16	J		
499. COMCAST CORP		None	J	T	Buy	05/27/16	J		
500. DELTA AIR LINES INC		None	J	T	Buy	05/27/16	J		
501. DOW CHEMICAL CO		None	J	T	Buy	05/27/16	J		
502. ELECTRONIC ARTS		None	J	T	Buy	05/27/16	J		
503. EMCOR GROUP INC		None	J	T	Buy	12/07/16	J		
504. ENVISION HEALTHCARE CORP		None	J	T	Buy	05/27/16	J		
505. EXXON MOBIL CORP		None	J	T	Buy	05/27/16	J		
506. FOOT LOCKER INC		None	J	T	Buy	05/27/16	J		
507. GENERAL MTRS CO		None	J	T	Buy	05/27/16	J		
508. GILEAD SCIENCES INC		None	J	T	Buy	05/27/16	J		
509. GOLDMAN SACHS GROUP INC		None	J	T	Buy	05/27/16	J		
510. HCA HLDGS INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. HOME DEPOT INC		None	J	T	Buy	05/27/16	J		
512. HUNTINGTON BANCSHARES INC		None	J	T	Buy	05/27/16	J		
513. INTEL CORP		None	J	T	Buy	05/27/16	J		
514. JPMORGAN CHASE & CO		None	J	T	Buy	05/27/16	J		
515. JABIL CIRCUIT INC		None	J	T	Buy	05/27/16	J		
516. KROGER CO COM		None	J	T	Buy	05/27/16	J		
517. LEAR CORP		None	J	T	Buy	05/27/16	J		
518. LINCOLN NATIONAL CORP		None	J	T	Buy	05/27/16	J		
519. LINEAR TECHNOLOGY CORP		None	J	T	Buy	05/27/16	J		
520. LOWES COS INC		None	J	T	Buy	05/27/16	J		
521. MCKESSON CORP		None	J	T	Buy	05/27/16	J		
522. MERCK & CO INC		None	J	T	Buy	05/27/16	J		
523. MICROSOFT CORP		None	J	T	Buy	05/27/16	J		
524. NORTHROP GRUMMAN CORP HOLDING CO		None	J	T	Buy	05/27/16	J		
525. NVIDIA CORP		None	J	T	Buy	05/27/16	J		
526. PINNACLE FOODS INC		None	J	T	Buy	05/27/16	J		
527. PROLOGIS INC		None	J	T	Buy	09/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. PRUDENTIAL FINL INC		None	J	T	Buy	05/27/16	J		
529. SPIRIT AEROSYSTEMS HLDGS INC		None	J	T	Buy	05/27/16	J		
530. STANLEY BLACK & /DECKER INC		None	J	T	Buy	09/12/16	J		
531. SUNTRUST BANKS INC		None	J	T	Buy	05/27/16	J		
532. SYSCO CORP		None	J	T	Buy	06/16/16	J		
533. TJX COS INC		None	J	T	Buy	09/12/16	J		
534. UNITEDHEALTH GROUP		None	J	T	Buy	05/27/16	J		
535. VALERO ENERGY CORP		None	J	T	Buy	05/27/16	J		
536. WAL-MART STORES INC		None	J	T	Buy	10/26/16	J		
537. RENAISSANCERE HOLDINGS LTD		None	J	T	Buy	05/27/16	J		
538. ACTIVISION BLIZZARD INC		None	J	T	Buy	05/27/16	J		
539. AGILENT TECH INC		None	J	T	Buy	05/27/16	J		
540. AKAMAI TECHNOLOGIES INC		None	J	T	Buy	05/27/16	J		
541. ALLEGHENY TECH INC		None	J	T	Buy	05/27/16	J		
542. AMERICAN TOWER CORPORATION		None	J	T	Buy	05/27/16	J		
543. AMERISOURCEBERGEN CORP		None	J	T	Buy	05/27/16	J		
544. ANSYS INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. AUTODESK INC		None	J	T	Buy	05/27/16	J		
546. BARD C R INC		None	J	T	Buy	05/27/16	J		
547. BIO RAD LABORATORIES INC		None	J	T	Buy	05/27/16	J		
548. BLACK KNIGHT FINL ACXA INC		None	J	T	Buy	08/25/16	J		
549. BORGWARNER INC		None	J	T	Buy	05/27/16	J		
550. BOSTON PROPERTIES INC		None	J	T	Buy	05/27/16	J		
551. CBRE GROUP INC		None	J	T	Buy	05/27/16	J		
552. C S X CORP		None	J	T	Buy	05/27/16	J		
553. CABOT CORP		None	J	T	Buy	05/27/16	J		
554. CIMAREX ENERGY CO		None	J	T	Buy	05/27/16	J		
555. CONTINENTAL RES INC		None	J	T	Buy	05/27/16	J		
556. CUMMINS INC		None	J	T	Buy	05/27/16	J		
557. D R HORTON INC		None	J	T	Buy	05/27/16	J		
558. DARDEN RESTAURANTS		None	J	T	Buy	05/27/16	J		
559. DENTSPLY SIRONA INC		None	J	T	Buy	05/27/16	J		
560. DOVER CORP		None	J	T	Buy	05/27/16	J		
561. EASTMAN CHEM CO		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. EATON VANCE CORP		None	J	T	Buy	05/27/16	J		
563. ECHOSTAR CORP		None	J	T	Buy	05/27/16	J		
564. GATX CORP		None	J	T	Buy	05/27/16	J		
565. GENERAL DYNAMICS CRP		None	J	T	Buy	05/27/16	J		
566. GLOBAL PMTS INC		None	J	T	Buy	05/27/16	J		
567. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	05/27/16	J		
568. INTUIT		None	J	T	Buy	05/27/16	J		
569. KEYCORP		None	J	T	Buy	05/27/16	J		
570. KEYSIGHT TECHNOLOGIES INC		None	J	T	Buy	05/27/16	J		
571. LABORATORY CORP AMER HLDGS		None	J	T	Buy	05/27/16	J		
572. MASCO CORP		None	J	T	Buy	05/27/16	J		
573. MEDNAX INC		None	J	T	Buy	05/27/16	J		
574. NEWFIELD EXPLORATION		None	J	T	Buy	05/27/16	J		
575. PACKAGING CORP		None	J	T	Buy	05/27/16	J		
576. PROGRESSIVE CORP OHIO		None	J	T	Buy	05/27/16	J		
577. RAYMOND JAMES FINANCIAL INC		None	J	T	Buy	05/27/16	J		
578. REINSURANCE GROUP AMER INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. REPUBLIC SERVICEDS INC		None	J	T	Buy	05/27/16	J		
580. THE SCOTTS MIRACLE GRO COMPANY		None	J	T	Buy	05/27/16	J		
581. SEALED AIR CORP		None	J	T	Buy	05/27/16	J		
582. SNAP ON INC		None	J	T	Buy	05/27/16	J		
583. STIFEL FINL CORP		None	J	T	Buy	05/27/16	J		
584. SYNOPSIS INC		None	J	T	Buy	05/27/16	J		
585. SYNCHRONY FINANCIAL COM		None	J	T	Buy	05/27/16	J		
586. TJX COS INC		None	J	T	Buy	05/27/16	J		
587. TOTAL SYS SVCS INC		None	J	T	Buy	05/27/16	J		
588. VWR CORPORATION COM		None	J	T	Buy	08/15/16	J		
589. WEC ENERGY GROUP INC		None	J	T	Buy	05/27/16	J		
590. WOODWARD INC		None	J	T	Buy	05/27/16	J		
591. XILINX INC		None	J	T	Buy	05/27/16	J		
592. ALLERGEN PLC		None	J	T	Buy	05/27/16	J		
593. DELPHI AUTOMOTIVE PLC		None	J	T	Buy	05/27/16	J		
594. INGERSOLL-RAND PLC		None	J	T	Buy	05/27/16	J		
595. AT&T INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. ADVANSIX INC		None	J	T	Buy	05/27/16	J		
597. AETNA INC		None	J	T	Buy	05/27/16	J		
598. APPLE INC		None	J	T	Buy	05/27/16	J		
599. BB&T CORP		None	J	T	Buy	05/27/16	J		
600. BANK OF THE OZARKS		None	J	T	Buy	07/14/16	J		
601. CAMDEN PPTY TR SH BEN INC		None	J	T	Buy	05/27/16	J		
602. CAPITAL ONE FINANACIAL CORP		None	J	T	Buy	05/27/16	J		
603. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/12/16	J		
604. CISCO SYS INC		None	J	T	Buy	05/27/16	J		
605. CITIGROUP INC		None	J	T	Buy	05/27/16	J		
606. DARLING INGREDIENTS INC		None	J	T	Buy	05/27/16	J		
607. DELTA AIR LINES INC		None	J	T	Buy	05/27/16	J		
608. DEVON ENERGY CORP		None	J	T	Buy	05/27/16	J		
609. DU PONT E I DE NEMOURS & CO		None	J	T	Buy	05/27/16	J		
610. EOG RESOURCES INC		None	J	T	Buy	05/27/16	J		
611. HALLIBURTON CO HOLDING CO FRMLY		None	J	T	Buy	05/27/16	J		
612. HOME DEPOT INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. HONEYWELL INTL INC		None	J	T	Buy	05/27/16	J		
614. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	05/27/16	J		
615. MEDNAX INC		None	J	T	Buy	05/27/16	J		
616. MICROSOFT CORP		None	J	T	Buy	05/27/16	J		
617. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	05/27/16	J		
618. OCEANEERING INTL INC		None	J	T	Buy	05/27/16	J		
619. OMNICOM GROUP		None	J	T	Buy	05/27/16	J		
620. PARAMOUNT GROUP INC		None	J	T	Buy	05/27/16	J		
621. PROCTER AND GAMBLE		None	J	T	Buy	05/27/16	J		
622. SABRE CORP		None	J	T	Buy	05/27/16	J		
623. ST JUDE MEDICAL INC		None	J	T	Buy	05/27/16	J		
624. TOTAL SYS SVCS INC		None	J	T	Buy	07/01/16	J		
625. TUPPERWARE BRANDS CORP		None	J	T	Buy	05/27/16	J		
626. UMPQUA HOLDINGS CORP		None	J	T	Buy	05/27/16	J		
627. UNION PACIFIC CORP		None	J	T	Buy	05/27/16	J		
628. ZIMMER BIOMET HLDGS INC		None	J	T	Buy	05/27/16	J		
629. ACCENTURE PLC CLS A		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. ICON PLC SHS		None	J	T	Buy	05/27/16	J		
631. LAZARD LTD		None	J	T	Buy	05/27/16	J		
632. CHUBB LIMITED		None	J	T	Buy	05/27/16	J		
633. CORE LABORATORIES NV		None	J	T	Buy	05/27/16	J		
634. YANDEX N.V.		None	J	T	Buy	05/27/16	J		
635. ADIDAS AG ADR EA REP 1/2 ORD		None	J	T	Buy	08/05/16	J		
636. AMBEV SA SPONSORED ADR		None	J	T	Buy	11/11/16	J		
637. CHR HANSEN HOLDING		None	J	T	Buy	05/27/16	J		
638. CSL LTD-SPONSORED ADR		None	J	T	Buy	05/27/16	J		
639. CANADIANPAC RY LTD		None	J	T	Buy	05/27/16	J		
640. COLOPLAST UNSP ADR		None	J	T	Buy	05/27/16	J		
641. COMPASS GROUP PLC		None	J	T	Buy	05/27/16	J		
642. CTRIP.COM INTERNATIONAL LTD		None	J	T	Buy	05/27/16	J		
643. DSV AS ADR		None	J	T	Buy	08/22/16	J		
644. ESSILOR INTL S A		None	J	T	Buy	09/20/16	J		
645. EXPERIAN PLC		None	J	T	Buy	05/27/16	J		
646. HDFC BANK LTD		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. HERMES INTL		None	J	T	Buy	09/20/16	J		
648. INDITEX		None	J	T	Buy	05/27/16	J		
649. LVMH MOET HENNESSY VUITTON SE		None	J	T	Buy	05/27/16	J		
650. LUXOTTICA GROUP		None	J	T	Buy	11/30/16	J		
651. NESTLE A		None	J	T	Buy	11/11/16	J		
652. NOVOZYMES A/S		None	J	T	Buy	05/27/16	J		
653. RECKITT BENCKISER GROUP		None	J	T	Buy	05/27/16	J		
654. SGS SA		None	J	T	Buy	05/27/16	J		
655. SHOPRITE HLDGS LTS		None	J	T	Buy	05/27/16	J		
656. SYSMEX CORP		None	J	T	Buy	05/27/16	J		
657. TAIWAN SEMICONDUCTOR MFG LTD		None	J	T	Buy	05/27/16	J		
658. TENCENT HOLDINGS LIMITED		None	J	T	Buy	11/11/16	J		
659. WAL-MART DE MEXICO SAB DE CV		None	J	T	Buy	05/27/16	J		
660. AT&T INC		None	J	T	Buy	06/01/16	J		
661. ADOBE SYS INC		None	J	T	Buy	08/11/16	J		
662. AMGEN INC		None	J	T	Buy	06/01/16	J		
663. APPLE INC		None	J	T	Buy	08/31/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. BANK AMER CORP		None	J	T	Buy	06/01/16	J		
665. CITIGROUP INC		None	J	T	Buy	06/24/16	J		
666. CONOPHILLIPS		None	J	T	Buy	06/01/16	J		
667. GILEAD SCIENCES INC		None	J	T	Buy	06/21/16	J		
668. JPMORGAN CHASE & CO		None	J	T	Buy	06/01/16	J		
669. MORGAN STANLEY MTN		None	J	T	Buy	12/19/16	J		
670. ORACLE CORP		None	J	T	Buy	10/25/16	J		
671. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
672. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
673. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
674. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
675. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
676. WELLS FARGO CO MTN		None	J	T	Buy	10/25/16	J		
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1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 91 is located in Transylvania County, North Carolina, USA.
2. Trust # 1 was liquidated 01/20/16. All assets listed on lines 126-130 were sold. The only asset held was the Lain Farm listed on line 131.

Lain Farm value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Valuemark II
3. In May of 2016 assets were moved from Smith-Barney to Affluence Financial Group.
4. Article 5 Trust consist of lines: 13,15,26,27,34,39,47,49,52,55,59,72,91,94,105 & 1231.
5. Article 6 Trust consist of lines: 8,9,10,12,16,17,18,29,30,36,38,42,44,46,54,58,60,65,66,69,71,74,75,76,78,84,85,88,89,90,93,97,98,100,101,112,114,116,118, & 122.
6. Lines 31-33 now listed as Fidelity Money Market since transfer of assets to Affluence Financial Group. Formerly listed as Citibank Deposit.
7. Part VII, line 100 on 2015 report listed "Safeway Inc." however in 2016 Safeway Inc. was erroneously omitted. It should have stated that it was left off the report due to the fact that it had a value of "zero" and deemed worthless.
8. Lines 31-33, Fidelity Money Market are merely a depository to hold cash. Per broker cash from these accounts are used if necessary for purchases and surplus funds from trades are deposited in these accounts.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David C. Norton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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