

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Benitez, Roger T.	2. Court or Organization U. S. District Court, Southern District of California	3. Date of Report 04/23/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 221 West Broadway San Diego, California 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Thomas Jefferson School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

California State Teachers Retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JP Chase Morgan Mortgage	Mortgage	N
2.	American Express	revolving account	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel 4 Salton City, Ca		None	J	W					
2. Parcel 5 San Diego, CA	E	Rent	N	W					
3. Note (X)- Steve and Anna Seibert	E	Interest	M	T					
4. Northern Life Annuity/ING now VOYA TSA group	B	Interest	L	T					
5. Brokerage account-- MSSB--- (H)									
6. Citibank- Bank Deposit Program	A	Interest	K	T					
7. Automatic Data Processing ADP-common stock	A	Dividend	K	T					
8. Berkshire Hathaway B-BRKB-common stock		None	K	T					
9. Bristol Myers BMY sommon stock	A	Dividend			Sold	04/14/14	J		
10. Centurylink CTL common stock		None			Sold	01/21/14	J		
11. Chevron-common stock-CVX	A	Dividend			Buy	03/18/14	J		
12.					Sold	12/10/14	J	C	
13. Ei Dupont-DD-common stock	A	Dividend			Sold	06/27/14	J		
14. General Electric- GE-common stock	A	Dividend	K	T					
15. Duke Energy DUK-FKA Progress Energy- common stock	A	Dividend	K	T					
16. Proctor & Gamble-PG-common stock	A	Dividend			Sold	01/31/14	J	A	
17. At&t (T)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Annaly Capital --NLY	A	Dividend	J	T					
19. National Fuel Gas Co. (NFG)	A	Dividend			Sold	07/28/14	J	A	
20. Ares Capital- (ARCC)	A	Dividend			Sold	10/10/14	J	A	
21. Royal Dutch Shell- (RDS'B)	A	Dividend			Sold	03/18/14	J	A	
22. Apple-common stock-AAPL	A	Dividend	K	T					
23. Royal Bank of Scotland-Preferred Stock-RBSM	A	Dividend	J	T					
24. Qwest Corp Preferential Rate- preferred stock-cty	A	Dividend	J	T					
25. General Motors Corporation --common stock GM	A	Dividend	J	T	Buy	03/18/14	J		
26. Blackberry Limited---common stock BBRY		None	J	T	Buy	03/18/14	J		
27. Walt Disney Holding Company--common stock DIS	A	Dividend	J	T	Buy	03/18/14	J		
28. Whole Foods Markets--common stock WFM	A	Dividend	J	T	Buy	06/09/14	J		
29. Facebook Inc CLA--common stock FB		None	J	T	Buy	10/29/14	J		
30. Google Inc CLC--common stock GOOG		None	J	T	Buy	10/29/14	J		
31. CDK Global- CDK		None			Spinoff (from line 7)	09/30/14	J		
32.					Sold	10/29/14	J	B	
33. Brokerage account-- (H)									
34. Goldman Sachs MLP- GMZ	A	Dividend			Sold	04/14/14	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Linn Energy LLC-- LINE	A	Dividend	J	T					
36. Teekay LNG Partners- Limited Partnership (TGP)	A	Dividend			Sold	05/29/14	J		
37. Stag Industrial --(STAG)	A	Dividend	J	T					
38. Columbia Strategic Fund Income Class A-- (COSIX)	A	Dividend	J	T					
39. Hi Crush Partners LP-- HCLP	A	Dividend			Buy	04/14/14	J		
40.					Sold	05/29/14	J	B	
41. CorEnergy--CORR- common stock	A	Dividend			Sold	01/22/14	J		
42. Kate Spade & Company-- common stock KATE		None			Buy	03/12/14	J		
43.					Sold (part)	06/10/14	J	A	
44.					Sold	06/12/14	J	A	
45. Mandalay Digital-- common stock--MNDL		None			Buy	03/14/14	J		
46.					Sold	06/05/14	J		
47. Miller Energy Resources-- Fixed SER D-- MILL	B	Dividend	J	T					
48. Orchid Island Capital Inc.--common stock-- ORC	B	Dividend	J	T					
49. Realty Income Corp-- common stock-- O	A	Dividend			Sold	11/04/14	J	A	
50. Hatteras Financial Group--common stock-- HTS	A	Dividend			Buy	06/02/14	J		
51.					Sold	09/05/14	J		

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52. American Capital Mortgage Investment-common- MTGE		None			Buy	06/02/14	J		
53.		None			Sold	06/20/14	J		
54. Whole Foods Market---common-WFM	A	Dividend			Buy	06/02/14	J		
55.					Buy (add'l)	06/05/14	J		
56.					Buy (add'l)	06/09/14	J		
57.					Sold (part)	07/23/14	J		
58.					Sold (part)	09/06/14	J		
59.					Sold	10/23/14	J		
60. Office Depot--common stock-ODP		None			Buy	06/02/14	J		
61.		None			Sold	09/08/14	J	A	
62. Portland Electric Co- common stock-POR	A	Dividend			Buy	06/23/14	J		
63.					Sold	09/08/14	J	A	
64. SPDR Gold Trust--GLD-- --common		None	J	T	Buy	06/04/14	J		
65.					Buy (add'l)	06/12/14	J		
66.					Buy (add'l)	06/24/14	J		
67.					Sold (part)	06/24/14	J	A	
68.					Buy (add'l)	08/13/14	J		

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69.					Buy (add'l)	09/16/14	J		
70.					Buy (add'l)	10/15/14	J		
71.					Sold (part)	10/29/14	J		
72.					Sold (part)	11/04/14	J		
73.					Buy (add'l)	12/24/14	J		
74. Facebook Inc-- common FB		None	K	T	Buy	10/27/14	J		
75.					Buy (add'l)	10/29/14	J		
76.					Buy (add'l)	11/10/14	J		
77.					Buy (add'l)	12/24/14	J		
78. Xerox Corp- common stock XRX	A	Dividend			Buy	08/29/14	J		
79.					Sold	10/23/14	J		
80. Lumber Liquidators-- common stock LL		None			Buy	09/08/14	J		
81.					Sold	10/24/14	J		
82. Solar City--common stock SCTY		None			Buy	10/24/14	J		
83.					Sold	11/12/14	J		
84. Lorillard, Inc. ----common stock LO	A	Dividend			Buy	10/30/14	J		
85.					Buy (add'l)	10/30/14	J		

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86.					Sold	12/09/14	J	A	
87. Deutsche X Trackers Harvest-- China A shares--common ASHR	A	Dividend			Buy	11/04/14	J		
88.					Buy (add'l)	11/17/14	J		
89.					Buy (add'l)	12/01/14	J		
90.					Sold (part)	12/19/14	J	A	
91.					Sold	12/24/14	J	A	
92. Continental Resources---common CLR		None	J	T	Buy	12/19/14	J		
93. General Motors Corp--- common stock GM	A	Dividend			Buy	07/07/14	J		
94.					Sold	09/05/14	J		
95. Franklin Fund-small cap growth fund I- FRSGX class (Y)									
96. Valic---(H)									
97. Valic Fixed Account Plus	A	Dividend	J	T					
98. Valic Midcap Strat. GR./Morgan Stanley	A	Dividend	J	T					
99. AIG Valic Mid Cap Fund (Wellington)	A	Dividend	K	T					
100. Valic Small Cap Special Value-Evergreen/ Putnam	A	Dividend	K	T					
101. Valic International Small Cap Equity/ AIG	A	Dividend	J	T					
102. Washington Mutual-(now Chase Bank-) aggregate	C	Interest	O	T					

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103. San Diego County Credit Union aggregate	B	Interest	M	T					
104. Bank of America aggregate	A	Interest	J	T					
105. Scottrade - (H)									
106. American Airlines Group--common stock-AAL	A	Dividend	K	T	Sold (part)	02/14/14	J	A	
107.					Sold (part)	03/27/14	J	A	
108.					Buy (add'l)	05/15/14	J		
109.					Sold (part)	06/12/14	J	D	
110. Western Management Capital-common stock-WMC	A	Dividend			Sold	01/23/14	J	A	
111.					Buy	01/28/14	J		
112.					Buy (add'l)	03/05/14	J		
113.					Buy (add'l)	03/14/14	J		
114.					Buy (add'l)	04/02/14	J		
115.					Sold	05/05/14	J	A	
116. Big Five Sporting Goods Corp common stock-BGFV		None			Sold	02/14/14	J		
117. Tesla Motor Company--common stock-TSLA	A	Dividend			Buy	03/03/14	J		
118.					Sold	04/01/14	J	A	
119.					Buy	04/03/14	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	04/07/14	J		
121.					Sold	11/04/14	J	A	
122. GW Pharmaceuticals-common stock GWPH	A	Dividend			Buy	04/30/14	J		
123.					Buy (add'l)	05/06/14	J		
124.					Buy (add'l)	05/28/14	J		
125.					Sold	08/28/14	J	A	
126. SPDR Gold Trust- GLD		None			Buy	06/09/14	J		
127.					Sold	07/10/14	J	A	
128. Dicks Sporting Goods- common stock DKS	A	Dividend			Buy	06/17/14	J		
129.					Sold	10/28/14	J		
130. Seaworld Entertainment Inc-common stock SEAS	A	Dividend			Buy	08/26/14	J		
131.					Sold	12/01/14	J		
132. Teradyne Inc. - common stock TER	A	Dividend			Buy	01/24/14	J		
133.					Sold	06/05/14	J		
134. Sysco Corporation-- common stock SYF	A	Dividend			Buy	02/04/14	J		
135.					Buy (add'l)	02/28/14	J		
136.					Sold	04/29/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Benitez, Roger T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Starwood Properties Trust--REIT--STWD	A	Dividend			Buy	06/26/14	J		
138.					Sold	11/04/14	J		
139. Chevron Corporation---common stock CVX	A	Dividend	J	T	Buy	10/20/14	J		
140.					Buy (add'l)	10/30/14	J		
141. Lorillard Inc.--common stock LO	A	Dividend			Buy	11/04/14	J		
142.					Sold	12/11/14	J	A	
143. Facebook Inc-- CLA common stock FB		None	J	T	Buy	11/06/14	J		
144. Target Corporation --common stock TGT		None	J	T	Buy	12/24/14	J		
145.									
146. California State Employee 401K-457	A	Dividend	J	T					
147. Trust- - - (H)									
148. --Wells Fargo- aggregate	B	Interest	M	T					
149. --Parcel 6- San Diego, CA	E	Rent	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Benitez, Roger T.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Roger T. Benitez

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544