

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adams, John R.	2. Court or Organization U.S. District Court, N.D. of Ohio	3. Date of Report 06/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 510 U.S. Courthouse 2 S. Main Akron, Ohio 44333		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Power of Attorney	(FBO of Disabled Parent--See Part VIII)
2. Custodian	(FBO of Grand Nephew--See Part VIII)
3. Custodian	(FBO of Grand Niece--See Part VIII)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Adams, John R.

Date of Report

06/18/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Adams, John R.

Date of Report

06/18/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Westfield Bank, fka Valley Savings Bank	Mortgage on Residence/Rental (Duplex)	K
2.	Citi- Cards	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Adams, John R.

Date of Report

06/18/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Fairlawn Ohio	D	Rent	N	Q					See VIII
2. Huntington Bank Shares	A	Dividend	J	T	Sold (part)	07/12/17	K	D	
3. Fidelity Contrafund	C	Dividend	L	T					
4. Stifel Nicolas: Contrafund	A	Dividend	K	T					
5. Janus Growth & Income Fund	A	Dividend			Sold	09/27/17	K	C	
6. American Funds:AmCap Fund Inc. CL F	B	Dividend	K	T	Sold (part)	09/27/17	J	B	
7. American Balanced Fund Inc. CI F	B	Dividend	K	T					
8. American High Inc. Tr. CI F	A	Dividend			Sold	07/12/17	J	A	
9. Eaton Vance World Wide Health Services	A	Dividend			Sold	07/12/17	K	D	
10. Fidelity Advisor Fund CI A	A	Dividend	K	T					
11. Northwestern Mutual Inv. Services Mn Mkt. Fund	A	Dividend	J	T					
12. Westfield Bank Checking fka Valley Savings Bank	A	Interest	J	T					
13. T. Rowe Price New Era (X)	A	Dividend			Sold	07/12/17	J	A	
14. Blackrock Strategic Municipal Opportunities Fnd Institutional Class	A	Dividend	K	T	Buy	12/14/17	K		
15. Columbia Overseas Value Fund Advisor Class	A	Dividend	K	T	Buy	07/12/17	K		
16. Europacific Growth Fund Class F-2	A	Dividend	J	T	Buy	07/12/17	J		
17. MFS Internationa New Discovery Fund Class !	A	Dividend	J	T	Buy	07/12/17	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Adams, John R.

Date of Report

06/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. New World Fund Class F-2	A	Dividend	J	T	Buy	07/12/17	J		
19. Tax Exempt Bond Fund of America	A	Dividend	K	T	Buy	09/27/17	K		
20. Tax Exempt Bond Fund of America Class F-2	A	Dividend	K	T	Sold (part)	12/14/17	K	B	
21. Huntington Bank Savings Account	A	Interest	L	T	Open	08/09/17	K		
22. Retirement Account									
23. Huntington Bancshares	A	Dividend			Sold	05/26/17	J	A	
24. American Funds:AMCAP Fund Class F	A	Dividend			Sold	05/26/17	K	A	
25. American High Income Tr. Cl F	A	Dividend			Sold	05/26/17	K	A	
26. American Balanced Fund Cl. F	A	Dividend			Sold	05/26/17	L	A	
27. Eaton Vance World Wide Health Sciences	A	Dividend			Sold	05/26/17	K	A	
28. Fidelity Advisor Fund CIA	A	Dividend			Sold	05/26/17	L	A	
29. T. Rowe Price New Era (X)	A	Dividend			Sold	05/26/17	J	A	
30. International Growth & Income	A	Dividend			Sold	05/26/17	J	A	
31. New World Fund Class C	A	Dividend			Sold	05/26/17	J	A	
32. Dreyfus Ins. Deposit Prg. I	A	Dividend	J	T	Open		J		
33. IS Shares Inc. MSCI EorozoneETF	A	Dividend	J	T	Buy	05/26/17	J		
34. Vanguard Bd Index FDS Vanguard Short Term Bond ETF	A	Dividend	J	T	Buy	05/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Adams, John R.

Date of Report

06/18/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. New World Fund Class F-2	A	Dividend	J	T	Buy	05/26/17	J		
36. Fidelity Advisor Total Bond Fund Class F	A	Dividend	K	T	Buy	05/26/17	K		
37. AMG Manager Fairport Mid Cap. Fund Class I	A	Dividend	J	T	Buy	05/26/17	J		
38. Vanguard Intl Equity/Index FDS FTSE Emerging Markets	A	Dividend	J	T	Buy	05/26/17	J		
39. FMI International Fund Institutional Class	A	Dividend	J	T	Buy	05/26/17	J		
40. iShares TR Core S&P 500 ETF	B	Dividend	L	T	Buy	05/26/17	L		
41. Pimco Income Fund Class P	B	Dividend	K	T	Buy	05/26/17	K		
42. The Oakmark International Small-Cap Fund Investor Class	A	Dividend	J	T	Buy	05/26/17	J		
43. Cohen & Steers Real Estate Securities Fund Class I	A	Dividend	J	T	Buy	05/26/17	J		
44. The Oakmark Select Fund Investor Class	A	Dividend	K	T	Buy	05/26/17	K		
45. iShares TRCore S&P Mid Cap ETF	A	Dividend	J	T	Buy	05/26/17	J		
46. Vanguard Bd Index FDS Vanguard Total Bd Market ETF	A	Dividend	K	T	Buy	05/26/17	K		
47. Deutsche Enhanced Commodity Strategy Fund Institutional Class	A	Dividend	J	T	Buy	05/26/17	J		
48. Schwab Strategic Tr Intl EquityETF	A	Dividend	K	T	Buy	05/26/17	K		
49. Brown Capital Management Small Company Fund Institutional Class	A	Dividend	J	T	Buy	05/26/17	J		
50. Remainder Interest In Ohio Property		None			Sold	08/04/17	L	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Adams, John R.

Date of Report

06/18/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part I, page 1, line 2--The undersigned is Power of Attorney for a disabled parent.
- 2) Part I, page 1, line 3---The undersigned is custodian for the proceeds of a personal injury settlement for the benefit of a minor grand nephew.
- 3) Part I, page 1, line 4---The undersigned is custodian for the proceeds of a personal injury settlement for the benefit of a minor grand niece.
- 4) Part VII, page 4, line 1---Rental property (duplex), located in the County of Summit, State of Ohio was appraised as part of refinancing in September, 2010.
- 5) Pro Bono legal services received from Judicial Watch, Inc. related to a confidential matter.
- 6) Account is proceeds of sale reflected in line 49.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Adams, John R.

Date of Report

06/18/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John R. Adams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544