

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Claire R.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address One Federal Plaza, New York, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Brooklyn Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Brooklyn Law School, Adjunct Professor Salary	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Hewlett-Woodmere Union Free School District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank - Cash Accounts	A	Interest	L	T					
2. Nassau Educators Federal Credit Union - Cash Account	A	Interest	J	T					
3. Met Life - whole life / life insurance	A	Dividend	J	T					
4. Note - W.J. Vollack - loan (Y)									
5. Series EE US Savings Bond		None	J	T					
6. BROKERAGE ACCCOUNT #1 (H)									
7. Raymond James Cash Account (Y)									
8. Allianz NFJ Small Cap Value Fund		None			Sold	06/29/16	J		
9. American Century Heritage Fund		None			Sold	06/29/16	J	C	
10. Davis New York Venture Fund	B	Dividend	K	T	Sold (part)	06/29/16	J		
11. American Funds Europacific Growth Fund	A	Dividend	K	T					
12. Gabelli Value 25 Fund	B	Dividend	K	T					
13. American Funds Growth Fund of America	B	Dividend	K	T	Sold (part)	06/29/16	J		
14. Oppenheimer Limited Term New York Muni Fund (Y)									
15. Oppenheimer Rochester Limited Term Mutual Fund (X)	A	Dividend	J	T					
16. American Funds Washtington Mutual Investors		None	L	T					
17. IRA #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Raymond James Bank Deposit Program	A	Interest			Closed	03/03/16	L		
19. Team, Inc. (formerly Furmanite Corp. Stock)		None			Sold	03/07/16	J		
20. Revlon Inc. Stock (common)		None			Sold	03/07/16	K		
21. American Funds American High Income Trust	A	Dividend			Sold	03/07/16	J		
22. American Funds Bond Fund of America	A	Dividend			Sold	03/07/16	K		
23. American Funds Europacific Growth Fund		None			Sold	03/07/16	K		
24. Gabelli Value 25 Fund		None			Sold	03/07/16	J		
25. American Funds - Growth Funds of America		None			Sold	03/07/16	L		
26. American Funds New Perspective Fund		None			Sold	03/07/16	J		
27. RS Small Cap Growth Fund		None			Sold	03/07/16	J		
28. American Funds Washington Mutual Investors		None			Sold	03/07/16	L		
29. Fidelity Advisor Emerging Markets Income Fund Class I	A	Dividend	K	T	Buy	03/07/16	K		
30. TIAA-CREF International Equity Index Fund Institutional Class	B	Dividend	K	T	Buy	03/07/16	K		
31. TIAA-CREF Large Cap Value Index Fund Institutional Class	B	Dividend	L	T	Buy	03/07/16	K		
32. TIAA-CREF Large Cap Growth Index Fund Institutional Class	A	Dividend	K	T	Buy	03/07/16	K		
33. TIAA-CREF Mid-Cap Value Fund Institutional Class	B	Dividend	K	T	Buy	03/07/16	K		
34. TIAA CREF Emerging Markets Equity Index Fund Institutional Class	A	Dividend	K	T	Buy	03/07/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. TIAA-CREF Bond Index Fund Institutional Class	A	Dividend	K	T	Buy	03/07/16	J		
36.					Buy (add'l)	12/13/16	J		
37. TIAA-CREF High-Yield Fund Class I	A	Dividend	J	T	Buy	03/07/16	J		
38. iShares Tr Tips Bd ETF	A	Dividend	K	T	Buy	03/07/16	K		
39.					Buy (add'l)	12/13/16	J		
40. iShares Tr Russell Mid-Cap Growth ETF	A	Dividend	J	T	Buy	03/07/16	J		
41. iShares Tr Russell 2000 Value ETF	A	Dividend	J	T	Buy	03/07/16	J		
42. iShares Tr Russell 2000 Growth ETF	A	Dividend	J	T	Buy	03/07/16	J		
43. iShares Tr MSCI EAFE Small Cap ETF	A	Dividend	K	T	Buy	03/07/16	K		
44. SPDR Ser Tr Dow Jones REIT ETF	A	Dividend	J	T	Buy	03/07/16	K		
45. Vanguard Bd Index Fd Inc Short Term Bd ETF	A	Dividend	J	T	Buy	03/07/16	J		
46. COLLEGE FUND #1 (H)									
47. JP Morgan NY 529 Moderate Growth Age Based 6-9 CI B Fund (Y)									
48. JP Morgan NY 529 Moderate Growth Age Based 6-9 Port CL A Fund		None			Sold	03/18/16	L	D	
49. NY 529 College Svgs. Prog., Aggressive Age Based Option: Growth Portf.		None	L	T	Buy	03/21/16	L		
50. IRA #2 (H)									
51. Fidelity Contrafund	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Fidelity Export & Multinational Fund	A	Dividend	J	T					
53. Fidelity Low Priced Stock Fund	D	Dividend	M	T					
54. Fidelity Diversified International Fund	A	Dividend	L	T					
55. Fidelity Pacific Basin Fund	B	Dividend	L	T					
56. BROKERAGE ACCOUNT #2 (H)									
57. TD Ameritrade - Cash Account (Y)									
58. BROKERAGE ACCOUNT #3 (H)									
59. TD Ameritrade Cash Account (Y)									
60. Citigroup Inc Stock (common)	A	Dividend	J	T					
61. General Electric Stock (common)	A	Dividend	K	T					
62. CUSTODIAL ACCOUNT #1 (H)									
63. General Electric Stock (common)	A	Dividend	K	T					
64. IRA #3 (H)									
65. CREF Stock		None	L	T	Sold (part)	02/16/16	K		
66. CREF Growth		None			Sold	02/16/16	M		
67. CREF Equity Index		None			Sold	02/16/16	L		
68. CREF Global Equities		None	L	T	Sold (part)	02/16/16	L		

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69. TIAA Real Estate		None	K	T					
70. CREF Bond Market		None	K	T	Sold (part)	02/16/16	K	A	
71. TIAA Traditional	A	Dividend	M	T	Buy	02/16/16	L		
72. TIAA CREF Large Cap Value Premium	C	Dividend	M	T	Buy	02/16/16	L		
73. TIAA CREF Mid Cap Value Premium	B	Dividend	K	T	Buy	02/16/16	K		
74. TIAA CREF Small Cap Value Premium	B	Dividend	L	T	Buy	02/16/16	L		
75. Vanguard Emerging Markets Stock Index Fund, Adm	A	Dividend	K	T	Buy	02/16/16	K		
76. TIAA CREF Int-Linked Bond		None	K	T	Buy	02/16/16	J		
77. Vanguard Total Int'l Stock Index Adm	B	Dividend	K	T	Buy	02/16/16	K		
78. Vanguard Total Stock Market Index Adm	A	Dividend	K	T	Buy	02/16/16	K		
79. Vanguard Total Bond Mkt Index Adm	B	Dividend	L	T	Buy	02/16/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 12: Name correction / formerly Gabelli Value Fund.

Part VII, line 18: Account clarification / corresponds to line 24 of the 2015 report, now included in IRA #1.

Part VII, line 21: Name correction / formerly American Funds American High Interest Trust.

Part VII, line 24: Name correction / formerly Gabelli Value Fund.

Part VII, lines 48 and 49: College Fund holdings are based upon unit value; income is not attributable to individual assets (per page 40 of the filing instructions).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Claire R. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544