

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McConnell, Jr., John J.	2. Court or Organization District of Rhode Island	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court District of Rhode Island One Exchange Terrace Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer & Board Member	Crossroads Rhode Island
2. Board Member (resigned 2012)	Roger Williams University
3. Custodian	Alliance Capital 529 College Fund #2
4. Trustee	Trust #1
5. Trustee	Trust #2
6. Member	Marthas Vineyard Colonial Inn LLC
7. Board Member	Institute for the Study & Practice of Nonviolence
8. Board Member	Trinity Repertory Company
9. Board Member	Downtown Providence Parks Conservancy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/30/03	Ness Motley, P.A. (n/k/a MRRM, P.A.) Employment and Compensation Agreement (see Section VIII for further details)
2. 05/13/11	Assignment of Certain Rights in MRRM, P.A. (see Section VIII for further details)

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3. 05/13/11 Assignment of Membership Interests in Motley Rice LLC and Mutual Release (see Section VIII for further details)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	MRRM PA - deferred compensation	\$3,031,807.34
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1 (H)									
2. --Wells Fargo Money Fund	A	Interest	L	T					
3. --Altera Corporation	A	Dividend	L	T					
4. --CME Group		None	K	T	Buy	12/21/12	K		
5. --EMC		None	K	T	Buy	12/21/12	K		
6. --Elements Rogers Agri Tot Ret		None	K	T	Sold (part)	01/19/12	K	A	
7. --Express Scripts		None	K	T	Buy	12/21/12	K		
8. --Ishares Barclays TIPS Bond Fund	A	Dividend			Sold (part)	01/19/12	K	A	
9. --Ishares Barclays TIPS Bond Fund		None			Sold	09/05/12	K	B	
10. --Ishares Tr Russell 2000 Index Fd	B	Dividend	M	T	Sold (part)	01/19/12	L	A	
11. --Potash Corp of Saskatchewan Inc		None	K	T	Buy	12/21/12	K		
12. --Powershares DB Agriculture Fund	A	Int./Div.	K	T	Buy	04/11/12	K		
13. --Powershares DB Base Metals Funds	A	Int./Div.	K	T	Buy	04/11/12	K		
14. --Proshares Trust ETF Short 20+ Treasury		None	K	T	Buy	04/11/12	K		
15. --Qualcomm	B	Dividend	L	T					
16. --SPDR Barclays Capital 1-3 Month T-Bill	A	Dividend			Sold (part)	01/19/12	M	A	
17. --SPDR Barclays Capital 1-3 Month T-Bill		None			Sold	04/11/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --SPDR Gold Trust Gold Shares		None	K	T	Sold (part)	01/19/12	J	A	
19. --SPDR S&P Biotech ET		None	J	T	Buy	12/21/12	J		
20. --SPDR S&P Midcap 400 Trust Series N	A	Dividend	L	T	Sold (part)	01/19/12	L	A	
21. --SPDR S&P 500 Trust	B	Dividend	M	T	Sold (part)	01/19/12	M	A	
22. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	K	T	Sold (part)	01/19/12	K	A	
23. --Vanguard Inflation Protected Securities Fund	A	Dividend			Sold (part)	01/19/12	K	A	
24. --Vanguard Inflation Protected Securities Fund		None			Sold	06/27/12	K	B	
25. --Vanguard Inflation Protected	A	Dividend			Buy	06/27/12	K		
26. --Vanguard Inflation Protected		None			Sold	09/05/12	K	A	
27. --Vanguard MSCI Emerging Markets	A	Dividend	K	T	Buy	10/24/12	K		
28. --Vanguard MSCI Emerging Markets					Buy (add'l)	11/24/12	K		
29. --Vanguard S&P 500	A	Dividend	K	T	Sold (part)	01/19/12	K	A	
30. --Vanguard Total Stock Market	A	Dividend	K	T	Sold (part)	01/19/12	K	A	
31. --Vanguard Total World Stock Index Fund	B	Dividend	K	T	Sold (part)	01/19/12	K	A	
32. --Xilinx	B	Dividend	L	T					
33.									
34. Brokerage Account #2 (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
36. ☐ Trust #1 (H)									
37. --Wells Fargo Money Fund	A	Interest	L	T					
38. --Altera	A	Dividend	L	T					
39. --CME Group		None	K	T	Buy	12/21/12	K		
40. --EMC		None	K	T	Buy	12/21/12	K		
41. --Elements Rogers Agri Tot Ret		None	K	T	Buy	01/19/12	K		
42. -- Express Scripts Hldg Co		None	K	T	Buy	12/21/12	K		
43. --Ishares Barclays Tips Bond Fund	A	Dividend			Buy	01/19/12	K		
44. --Ishares Barclays Tips Bond Fund		None			Sold	09/05/12	K	B	
45. --Ishares Russell 2000	B	Dividend	M	T	Buy	01/19/12	L		
46. --Potash Corp of Saskatchewan Inc		None	K	T	Buy	12/21/12	K		
47. --Powershares DB Agriculture Fund	A	Dividend	K	T	Buy	04/11/12	K		
48. --Powershares DB Base Metals Fund	A	Dividend	K	T	Buy	04/11/12	K		
49. --Proshares Trust Short 20+ Yr Treasury		None	K	T	Buy	04/11/12	K		
50. --Qualcomm	B	Dividend	M	T					
51. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None			Buy	01/19/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None			Sold	04/11/12	M		
53. --SPDR Gold Trust		None	K	T	Buy	01/19/12	J		
54. --SPDR S&P 500 Trust	B	Dividend	M	T	Buy	01/19/12	M		
55. --SPDR S&P Biotech		None	K	T	Buy	12/21/12	K		
56. --SPDR S&P Midcap 400	A	Dividend	L	T	Buy	01/19/12	L		
57. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	K	T	Buy	01/19/12	K		
58. --Vanguard Inflation Protected Securities Fund	A	Dividend			Buy	01/19/12	K		
59. --Vanguard Inflation Protected Securities Fund					Sold	06/27/12	K	A	
60. --Vanguard Inflation Protected	A	Dividend			Buy	06/27/12	K		
61. --Vanguard Inflation Protected		None			Sold	09/05/12	K	A	
62. --Vanguard MSCI Emerging Markets	A	Dividend	K	T	Buy	11/14/12	K		
63. --Vanguard S&P 500 ETF	A	Dividend	K	T	Buy	01/19/12	K		
64. --Vanguard Total Stock Market ETF	A	Dividend	K	T	Buy	01/19/12	K		
65. --Vanguard Total World Stock Index Fund	B	Dividend	K	T	Buy	01/19/12	K		
66. --Xilinx	B	Dividend	L	T					
67.									
68. Trust #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. --Wells Fargo Money Fund	A	Interest	N	T					
70. --Altera Corp	B	Dividend	M	T					
71. --CME Group		None	L	T	Buy	12/21/12	L		
72. --EMC		None	L	T	Buy	12/21/12	L		
73. --Elements Rogers Agri Tot Ret ETN		None	L	T					
74. --Express Scripts Hldg Co		None	L	T	Buy	12/21/12	L		
75. --Ishares Russell 2000 Index	D	Dividend	N	T					
76. --Ishares Barclays TIPS Bond fund	B	Dividend			Sold	09/05/12	L	D	
77. --Potash Corp of Saskatchewan Inc		None	L	T	Buy	12/21/12	L		
78. --Powershares DB ETF Agriculture Fd	A	Interest	L	T	Buy	04/11/12	L		
79. --Powershares DB Base Metals Funds	A	Interest	K	T	Buy	04/11/12	K		
80. --Proshares Trust Short 20+ Yr Treasury		None	L	T	Buy	04/11/12	L		
81. --Qualcomm Inc	D	Dividend	N	T					
82. --SPDR Gold Trust Gold Shares		None	L	T					
83. --SPDR S&P Biotech		None	L	T	Buy	12/21/12	L		
84. --SPDR S&P MidCap 400	B	Dividend	N	T					
85. --SPDR S&P 500 Trust ETF	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. --SPDR Ser Tr S&P 600 Small Cap ETF	B	Dividend	M	T					
87. --SPDR Barclays Capital 1-3 Month T-Bill ETF		None			Sold	04/11/12	N		
88. --Vanguard Inflation Protected Securities Fund	A	Dividend			Sold	06/27/12	L	D	
89. --Vanguard Inflation Protected	A	Dividend			Buy	06/27/12	L		
90. --Vanguard Inflation Protected					Sold	09/05/12	L	B	
91. --Vanguard MSCI Emerging Markets	B	Dividend	M	T	Buy	10/24/12	L		
92. --Vanguard MSCI Emerging Markets					Buy (add'l)	11/14/12	L		
93. --Vanguard S&P 500 ETF	C	Dividend	M	T					
94. --Vanguard Total Stock Market ETF	C	Dividend	M	T					
95. --Vanguard Total World ETF Stock Index Fd	C	Dividend	M	T					
96. --Xilinx Inc	D	Dividend	M	T					
97.									
98. Citizens Bank Accounts (Y)									
99.									
100. IRA #1 (H)									
101. --Wells Fargo Money Fund	A	Interest	K	T					
102. --CME Group Inc		None	J	T	Buy	12/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103. --EMC Corp Mass		None	J	T	Buy	12/21/12	J		
104. --Express Scripts Hldg Co		None	J	T	Buy	12/21/12	J		
105. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T					
106. --Ishares Barclays TIPS Bond Fund	A	Dividend			Sold	09/05/12	J	A	
107. --Ishares NYSE Composite Index	A	Dividend	J	T					
108. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
109. --Ishares Tr MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
110. --Powershares DB Agriculture Fund ETF		None	J	T					
111. --Powershares DB Base Metals Fund ETF		None	J	T					
112. --Proshares Trust Short 20+ Yr Treasury		None	J	T	Buy	04/11/12	J		
113. --Potash Corp of Saskatchewan Inc		None	J	T	Buy	12/21/12	J		
114. Guggenheim ETF TR S&P 500 Equal Weighted Index Fd fka Rydex	A	Distribution	J	T					
115. --SPDR Barclays Capital 1-3 Month t-Bill ETF		None			Sold	04/11/12	J		
116. --SPDR Gold Trust		None	J	T					
117. --SPDR S&P Biotech		None	J	T	Buy	12/21/12	J		
118. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
119. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Vanguard Mid Cap	A	Dividend	J	T					
121. --Vanguard MSCI EAFE	A	Dividend			Sold	12/21/12	J		
122. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
123. --Vanguard Russell 2000 Value	A	Dividend	J	T					
124. --Vanguard S&P 500	A	Dividend	J	T					
125. --Vanguard Total Stock Market ETF	A	Dividend	J	T					
126. --Vanguard Inflation Protected	A	Dividend			Buy	06/27/12	J		
127. --Vanguard Inflation Protected		None			Sold	09/05/12	J	A	
128. --Vanguard Inflation Protected Securities Fund	A	Dividend			Sold	06/27/12	J	A	
129.									
130. IRA #2 (H)									
131. --Wells Fargo Money Fund	A	Interest	K	T					
132. --ETFS Platinum Trust Shs Ben Int ETF		None	J	T					
133. --CME Group Inc		None	J	T	Buy	12/21/12	J		
134. --EMC Corp Mass		None	J	T	Buy	12/21/12	J		
135. --Express Scripts Hldg Co		None	J	T	Buy	12/21/12	J		
136. --Ishares Barclays TIPS Bond Fund	A	Dividend			Sold	09/05/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Ishares NYSE Composite Index	A	Dividend	J	T					
138. --Ishares Russell Microcap Index Fund	A	Dividend	J	T					
139. --Ishares Tr MSCI Emerging Mkts Index Fd	A	Dividend	J	T					
140. --Potash Corp of Saskatchewan Inc		None	J	T	Buy	12/21/12	J		
141. --Powershares DB Agriculture Fund ETF	A	Int./Div.	J	T					
142. --Powershares DB Base Metals Fund ETF	A	Int./Div.	J	T					
143. --Proshares Trust Short 20+ Yr Treasury		None	J	T	Buy	04/11/12	J		
144. --Guggenheim S&P 500 Equal Wghtd Index fka Rydex	A	Dividend	J	T					
145. --SPDR Barclays Capital 1-3 Month t-Bill ETF		None			Sold	04/11/12	J		
146. --SPDR S&P Biotech		None	J	T	Buy	12/21/12	J		
147. --SPDR Gold Trust		None	J	T					
148. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	J	T					
149. --Vanguard Intl Eqty Index Gds GRSE All World Ex US	A	Dividend	J	T					
150. --Vanguard Mid Cap	A	Dividend	J	T					
151. --Vanguard MSCI EAFE	A	Dividend			Sold	12/21/12	J		
152. --Vanguard Russell 2000 Growth	A	Dividend	J	T					
153. --Vanguard Russell 2000 Value	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Vanguard S&P 500	A	Dividend	J	T					
155. --Vanguard Total Stock Market ETF	A	Dividend	J	T					
156. --Vanguard Inflation Protected	A	Dividend			Buy	06/27/12	J		
157. --Vanguard Inflation Protected		None			Sold	09/05/12	J	A	
158. --Vanguard Inflation Protected Securities Fund	A	Dividend			Sold	06/27/12	J	A	
159.									
160. IRA #3 (H)									
161. Wells Fargo Money Fund	A	Interest	M	T					
162. --ETFS Platinum Trust Shs Ben Int ETF		None	K	T					
163. --CME Group Inc		None	K	T	Buy	12/21/12	K		
164. --EMC Corp Mass		None	K	T	Buy	12/21/12	K		
165. --Express Scripts Hldg Co		None	K	T	Buy	12/21/12	K		
166. --Federated Money Market Tr Govt Obligs Fd Instl	A	Dividend			Sold	08/31/12	M	A	
167. --Ishares Barclays TIPS Bond Fund	A	Dividend			Sold	09/05/12	K	C	
168. --Ishares NYSE Composite Index	B	Dividend	L	T					
169. --Ishares Russell Microcap Index Fund	B	Dividend	L	T					
170. --Ishares Tr MSCI Emerging Mkts Index Fd	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Potash Corp of Saskatchewan Inc		None	K	T	Buy	12/21/12	K		
172. --Powershares DB Agriculture Fund ETF		None	K	T					
173. --Powershares DB Base Metals Fund ETF	A	Dividend	K	T	Buy (add'l)	04/11/12	J		
174. --Proshares Trust Short 20+ Yr Treasury		None	K	T					
175. --Guggenheim S&P 500 Equal Weighted Index Fd fka Rydex	B	Dividend	L	T					
176. --Qualcomm Inc		None	L	T	Buy	12/14/12	K		
177. --SPDR Gold Trust		None	K	T					
178. --SPDR S&P Biotech		None	K	T	Buy	12/21/12	K		
179. --SPDR Ser Tr S&P 600 Small Cap	A	Dividend	L	T					
180. --Vanguard Intl Eqty Index Fds FTSE All World Ex US	B	Dividend	K	T					
181. --Vanguard Mid Cap	B	Dividend	L	T					
182. --Vanguard MSCI EAFE	B	Dividend			Sold	12/21/12	K		
183. --Vanguard MSCI Emerging Market	A	Dividend	K	T	Buy	11/14/12	K		
184. --Vanguard Russell 2000 Growth	A	Dividend	L	T					
185. --Vanguard Russell 2000 Value	B	Dividend	L	T					
186. --Vanguard S&P 500	B	Dividend	L	T					
187. --Vanguard Total Stock Market ETF	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Vanguard Inflation Protected		None			Sold	09/05/12	K	A	
189. --Vanguard Inflation Protected	A	Dividend			Buy	06/27/12	K		
190. --Vanguard Inflation Protected Securities Fund	A	Dividend			Sold	06/27/12	K	C	
191.									
192. Bank RI Accounts #1	A	Interest	K	T					
193. Bank RI Accounts #2	A	Interest	J	T					
194. Bank RI Accounts #3	A	Interest	J	T					
195. Bank RI Accounts #4 (Y)									
196. Bank RI Accounts #6 (Y)									
197. Navigant Credit Union	A	Interest	J	T					
198.									
199. Alliance Capital 529 College Fund #2 (H)									
200. --CBF Portfolio 1996-98 ALT RA	A	Int./Div.	K	T					
201.									
202. Catalist LLC		None	K	U					
203. DSF Capital Partners III LP	D	Distribution	N	U					
204. DSF Capital Partners IV LP		None	L	U					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.									
206. MetLife Universal Life		None	L	T					
207. Sun Life Universal Life #1		None	N	T					
208. Sun Life Universal Life #2		None	N	T					
209. Sun Life Universal Life #3		None	N	T					
210.									
211. U.S. Savings Bonds	A	Interest	J	T					
212.									
213. Motley Rice LLC Employee Retirement Savings Plan (Y)									
214.									
215. Martha's Vineyard Colonial Inn LLC	D	Interest	P2	U					
216.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Agreements

- A) Ness Motley, P.A. Employment and Compensation Agreement, dated January 30, 2003 by and between Ness Motley, P.A. (f/k/a Ness, Motley, Loadholt, Richardson & Poole, Professional Association) and its attorney signatories, setting forth the disbursement of net income received by the firm as compensation for services previously rendered.
- B) Assignment of Certain Rights in MRRM, P.A., dated May 13, 2011 by and between MRRM, PA and John J. McConnell, Jr. setting forth the assignment of and payment for Other Rights not covered under the January 30, 2003 agreement above.
- C) Assignment of Membership Interests in Motley Rice LLC and Mutual Release, dated May 13, 2011 by and between John J. McConnell, Jr and Motley Rice LLC setting forth the assignment of and payment for membership interests and mutual release of certain liabilities upon resignation of employment by John J. McConnell, Jr.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John J. McConnell, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544