

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murtha, J. Garvan	2. Court or Organization U.S. District Court - Vermont	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court P.O. Box 760 Brattleboro, VT 05302-0760		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	██████████ Limited Partnership #4
2.	Beneficiary	Trust #1
3.	Trustee	Trust #2
4.	Beneficiary	Trust #3
5.	Trustee	Trust #4

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accenture PLC Stock	A	Dividend	J	T					
2. AT&T Stock	A	Dividend	J	T					
3. Atmos Energy Stock	A	Dividend	J	T					
4. Bank of the Islands Account	A	Interest	J	T					
5. Bank of Nova Scotia Stock	A	Dividend			Sold	06/24/13	J	A	
6. BSL Money Mkt Fund	A	Interest	J	T					
7. CME Group Stock	A	Dividend	J	T					
8. Columbia Crest Gold Corp Stock		None	J	T					
9. Consumer Discretionary Fund	A	Dividend	J	T					
10. Covidien Stock	A	Dividend	J	T					
11. DWA Tech Leaders Fund	A	Dividend	J	T	Buy	06/28/13	J		
12. Ecolab Common Stock	A	Dividend	J	T					
13. Emerson Electric Stock	A	Dividend	J	T					
14. Exxon-Mobil Common Stock	A	Dividend	J	T					
15. Federal Home Loan Bond	A	Interest	J	T					
16. First TR SR FL II Fund	A	Dividend	J	T					
17. First Trust Consumer Fund	A	Dividend	J	T	Buy	06/28/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. First Trust Exchange Fund	A	Interest	J	T	Buy	06/28/13	J		
19. Franklin Strategic Fund	A	Dividend	J	T					
20. Guggenheim China Fund	A	Dividend	J	T	Buy	06/28/13	J		
21. Guggenheim S&P 500 Fund	A	Dividend	J	T	Buy	06/28/13	J		
22. Guggenheim S&P Midcap Fund	A	Dividend	J	T	Buy	06/28/13	J		
23. Hartford Floating Rate Fund	A	Dividend	J	T					
24. Healthcare Select SPDR Fund	A	Dividend	J	T					
25. IBM Stock	A	Dividend	J	T					
26. Intel Corp. Stock	A	Dividend	J	T					
27. iShares Barclays 7-10 Yr Fund	A	Dividend	J	T					
28. iShares Barclays 10-20 Fund	A	Dividend			Sold	12/18/13	J		
29. iShares Barclays 20 Yr Fund	A	Dividend			Sold	09/11/13	J		
30. iShares Cohen & Steers Fund	A	Dividend			Sold	07/12/13	J	A	
31. iShares Iboxx Fund	A	Dividend	J	T					
32. iShares Midcap Index Fund	A	Dividend	J	T					
33. iShares Select Fund	A	Dividend	J	T	Buy	06/28/13	J		
34. iShares Small Cap 600 Fund	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. iShares Trust Dow Jones Fund	A	Dividend	J	T					
36. iShares U.S. Healthcare Fund	A	Dividend	J	T	Buy	06/28/13	J		
37. Johnson Controls Stock	A	Dividend			Sold	02/14/13	J		
38. JPM Chase Capital Stock	A	Dividend			Sold	05/18/13	J		
39. Kayne Anderson MLP Fund	A	Dividend	J	T					
40. Mainstay High Yield Fund	A	Dividend	J	T					
41. Matthews Asian Fund	A	Dividend	J	T					
42. Matthews China Fund	A	Dividend			Sold	06/24/13	J		
43. McDonald's Corp. Stock	A	Dividend	J	T					
44. MFS Utilities FD CL I Fund	A	Dividend	J	T					
45. ML Bank Deposit Account	A	Interest	J	T					
46. Northern Trust Gov't Select Fund	A	Interest	J	T					
47. Pepsico Common Stock	A	Dividend	J	T					
48. Powershares Exchange Fund	A	Dividend	K	T	Buy	09/19/13	K		
49. Powershares Preferred Fund	A	Dividend			Sold	12/18/13	J		
50. Procter & Gamble Stock	A	Dividend	J	T					
51. Sector SPDR Consrms STPL Fund	A	Dividend	J	T					

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52. Sector SPDR Financial Fund	A	Dividend	J	T					
53. SPDR KBW Ins. Fund	A	Dividend	J	T	Buy	06/17/13	J		
54. SPDR S P Divid ETF Fund	A	Dividend	J	T					
55. SPDR S P Biotech Fund	A	Dividend	J	T	Buy	06/28/13	J		
56. Sunamerica Strategic Fund	A	Dividend			Sold	11/04/13	J	A	
57. Sysco Corp. Stock	A	Dividend			Sold	06/27/13	J	A	
58. Thermo Fisher Scientific Stock	A	Dividend	J	T					
59. Vanguard Emerging Mkts Stock	A	Dividend	J	T					
60. Vanguard Industrial ETF Fund	A	Dividend	J	T	Buy	12/18/13	J		
61. Vanguard Infl. Prot. Fund	A	Interest	J	T					
62. Vanguard MSCI European Fund	A	Dividend	J	T					
63. Vanguard Total Stk Fund	A	Dividend	J	T	Buy	06/28/13	J		
64. Waste Management Stock	A	Dividend			Sold	06/27/13	J	A	
65. Wisdom Tree Equity Income Fund	A	Dividend			Sold	06/27/13	J		
66. Wisdom Tree Emerging Debt Fund	A	Interest			Sold	06/24/13	J	A	
67. Wisdom Tree European Fund	A	Interest	J	T	Buy	09/18/13	J		
68. Merchants Bank Accounts	A	Interest	K	T					

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69. Brattleboro Tennis Club, VT Stock		None	J	W					
70. [REDACTED] Limited Partnership #4	G	Int./Div.			Closed	06/04/13	P2		Various Family Trusts
71. - Conn St Health Bds					Sold	05/13/13	L	A	
72. - Conn St Tax Oblig Bds					Sold	05/13/13	L	B	
73. - East Htfd Ct Bds					Sold	05/13/13	M	B	
74. - Easton Ct Bds					Sold	05/13/13	M	A	
75. - General Electric Bond					Sold	05/10/13	L	A	
76. - Griswold Ct Bond					Sold	02/01/13	L		
77. - iShares High Yield Bd Fund					Sold	05/13/13	M	D	
78. - iShares Inc. MSCI CDA Index Fund					Sold	04/29/13	J		
79. - Morgan J.P. Chase Bds					Sold	05/13/13	L	A	
80. - Municipal Assist Corp GO Bds					Sold	05/13/13	L	A	
81. - Omaha Neb Rev Ref Bds					Sold	05/13/13	L	B	
82. - Pasadena Tx Rev Ref Bds					Sold	05/13/13	L	A	
83. - Peters Sch Dist Wash Ref Bds					Sold	05/13/13	L	B	
84. - Public Storage Bond					Sold	05/02/13	K		
85. - Regional Sch Dist Comm. Bond					Sold	04/15/13	L		

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86. - Shelton Ct Bds					Sold	05/13/13	L	A	
87. - Southington Ct Bonds					Sold	02/01/13	L		
88. - SPDR Gold Shs Fund					Sold	05/13/13	M		
89. -S/W Ill Dev Auth Bds					Sold	05/13/13	L	A	
90. - Vanguard REIT					Sold	05/13/13	L	B	
91. - Vanguard Short Term Bds					Sold	04/15/13	M	A	
92. - Verizon Bds					Sold	05/10/13	L	A	
93. - Wal-Mart Bonds					Sold	05/10/13	L	A	
94. - Wells Fargo Bond					Sold	05/07/13	L	A	
95. - Yum Brands Stock					Sold	01/16/13	L		
96. Trust #4	F	Int./Div.	P1	T	Spinoff (from line 70)	06/04/13	P1		
97. - Abbott Labs Stock					Sold	09/30/13	K	C	
98. - American Electric Power Stock					Sold	09/12/13	J	A	
99. - Apple Stock									
100. - AT&T Stock									
101. - Automatic Data Processing Stock									
102. - BA Diversified Real Est Fund									

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103. - Caterpillar Stock									
104. - Coca Cola Stock									
105. - Columbia Mid Cap Fd					Sold	09/26/13	L	E	
106. - Columbia Small Cap Fd					Sold	09/26/13	L	E	
107. - Covidien Stock									
108. - CSX Corp. Stock									
109. - Diageo Stock									
110. - Disney Stock									
111. - Duke Energy Stock									
112. - EMC Stock					Sold	08/20/13	K		
113. - Exxon Mobil Stock									
114. - Nextera Energy Stock									
115. - Franklin Res Stock									
116. - Home Depot Stock									
117. - IBM Stock					Sold	08/20/13	K		
118. - Intel Stock					Sold	08/20/13	J		
119. - iShares Midcap Fund					Buy	08/20/13	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - iShares Inc. MSCI Austral. Index Fund					Sold	08/20/13	J	A	
121. - iShares Inc. MSCI Singapore Index Fund					Sold	08/20/13	J	A	
122. - iShares Small Cap Fund					Buy	08/20/13	K		
123. - Johnson & Johnson Stock									
124. - McDonald's Stock									
125. - McKesson Corp. Stock									
126. - Microsoft Stock									
127. - Morgan J.P. Chase Stock									
128. - Nestle Stock									
129. - Novo-Nordisk Stock									
130. - Procter & Gamble Stock									
131. - Qualcomm Stock									
132. - Royal Dutch Shell Stock									
133. - Target Stock					Sold	09/12/13	K		
134. - Teva Pharmaceutical Stock									
135. - United Technologies Stock									
136. - Vanguard MSCI Emerging Mkts Fund					Sold	08/20/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Trust Company of VT - IRA	C	Div. & Int.	M	T					
138. - 3M Stock									
139. - Abbott Labs Stock									
140. - AbbVie Stock					Buy	01/08/13	J		
141. - Accenture Ltd. Stock									
142. - Air Products & Chemical Stock									
143. - Apache Corp. Stock									
144. - Apple Stock									
145. - Applied Materials Stock									
146. - Atmos Energy Stock									
147. - Colgate Palmolive Stock									
148. - Conoco Phillips Stock					Sold	02/14/13	J	A	
149. - Covidien P/C Stock					Buy	02/14/13	J		
150. - EMC Stock									
151. - Emerson Electric Stock									
152. - Franklin Res. Stock									
153. - HCP Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Illinois Tool Wks Stock									
155. - iShare Midcap Fund									
156. - iShare Small Cap Fund									
157. - Johnson & Johnson Stock									
158. - Johnson Controls Stock									
159. - Matthews Asian Fund									
160. - Matthews China Fund					Sold	06/28/13	J		
161. - Maxim Integrated Prods. Stock					Sold	02/14/13	J	A	
162. - McDonald's Stock									
163. - National Oilwell Varco Stock					Buy	02/11/13	J		
164. - Northern Trust Gov't Select Fund									
165. - Novartis Stock									
166. - Pepsico, Inc. Stock									
167. - PIMCO Emerging Bond Fund									
168. - PIMCO Short Term Inst'l Fund									
169. - Procter & Gamble Stock									
170. - Qualcomm Stock					Buy	02/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Royal Dutch Shell Stock									
172. - Southern Stock									
173. - Spectra Energy Stock									
174. - Stryker Corp. Stock					Sold	02/14/13	J	A	
175. - Target Corp. Stock									
176. - Teva Pharma Stock									
177. - United Technologies Stock									
178. - US Bancorp Stock									
179. - US Tips Bond									
180. - Vanguard Emerging Mkts Fund									
181. - Vanguard Short Term Bond Fund					Sold	02/14/13	J		
182. - Wells Fargo Stock									
183. - Wisdom Tree Emerging Debt Fund									
184. - Wisdom Tree Emerging Markets Fund									
185. Trust #2		None			Distributed	01/31/13	M	A	Trust Beneficiaries
186. - Dreyfus Tax Exempt Cash Fund					Sold	01/31/13	M	A	
187. Merrill Lynch IRA	C	Div. & Int.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Consumer Discretionary Fund					Sold	10/16/13	J		
189. - DWA Tech Leaders Fund									
190. - First Tr Consumer Discrt Fund									
191. - First Tr Exchg Traded Fund									
192. - First Tr SR FI II Fund									
193. - Franklin Strategic Fund									
194. - Guggenheim China Small Fund					Buy	10/16/13	J		
195. - Guggenheim S&P 500 Fund									
196. - Guggenheim S&P Midcap Fund									
197. - Hartford Floating Rate Fund									
198. - iShares Cohen & Steers Fund					Sold	07/30/13	J	A	
199. - iShares Gold TR Fund					Sold	06/07/13	J		
200. - iShares MSCI Mexico Free Fund					Sold	06/05/13	J	A	
201. - iShares MSCI Thailand Fund					Sold	06/05/13	J		
202. - iShares Select Fund					Buy	06/07/13	J		
203. - iShares TR Fund					Sold	06/07/13	J	A	
204. - iShares Trust Dow Fund					Sold	06/07/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - iShares U.S. Healthcare Fund					Buy	06/07/13	J		
206. - JPM Chase Cap XI Stock					Sold	05/08/13	J		
207. - JPM Chase Cap XVI Stock					Sold	05/08/13	J		
208. - Kayne Anderson MLP Fund									
209. - Legg Mason BW Global Fund					Sold	09/18/13	J	A	
210. - Mainstay High Yield Fund									
211. - Market Vectors Agr Business Fund					Sold	09/18/13	J	A	
212. - PIMCO Emerg Mkts Bd Fund									
213. - PowerShares Exchange Fund									
214. - PowerShares QQQ Trust Fund									
215. - Sector SPDR Biotech Fund									
216. - Sector SPDR Consrms Fund									
217. - Sector SPDR Divid Fund					Buy	06/07/13	J		
218. - Sector SPDR Financial Fund									
219. - Sector SPDR Gold Trust Fund					Sold	12/18/13	J	A	
220. - Sector SPDR Home Builders Fund					Sold	07/29/13	J	A	
221. - Sector SPDR KBW Insurance Fund					Buy	06/07/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Sector SPDR Pharmaceuticals Fund					Sold	07/29/13	J		
223. - Sector SPDR Utilities Fund					Sold	10/16/13	J		
224. - SunAmerica Strategic Fund					Sold	11/04/13	J	A	
225. - Vanguard European Fund									
226. - Vanguard Industrial Fund					Buy	12/18/13	J		
227. - Vanguard Total Fund									
228. - Wells Fargo Cap IX Fund					Sold	02/04/13	J		
229. - Wisdom Tree Eur Fund					Buy	05/08/13	J		
230. Trust #1 Income Beneficiary	D	Distribution	M	T					
231. Trust #3 Income Beneficiary	D	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Garvan Murtha**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544