

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barksdale, Rhesa H.	2. Court or Organization U. S. Court of Appeals Fifth Circuit	3. Date of Report 05/05/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 501 E. Court St. Suite 3.800 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 4-6 Feb	Univ. of Miss. Honors College - Teaching	\$3,400.00
2. 18-20 Mar	Univ. of Miss. Honors College - Teaching	\$3,400.00
3. 1-3 April	Univ. of Miss. Honors College - Teaching	\$3,400.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Univ. of Miss. Honors College	3-6 Feb	Oxford, MS	Teaching	Transportation, meals, hotel
2. Univ. of Miss. Honors College	17-20 Mar	Oxford, MS	Teaching	Transportation, meals, hotel
3. Univ. of Miss. Honors College	31 Mar-3 Apr	Oxford, MS	Teaching	Transportation, meals, hotel
4. Washington and Lee Univ. School of Law	24-26 and 30 Oct	Lexington, VA	Moot Court	Transportation, meals, hotel
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS	Credit Line	M
2.	First Commercial Bank	Loan	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2.									
3.									
4. Pecan Lane Apts. Ltd (see section VIII)	A	Distribution	J	R					
5.									
6.									
7. UBS Fin. Srv. Resource Mgmt Acct		None	J	T					
8. RHB IRA: UBS Fin. Srv. Rtmnt Mny FD (see section VIII)		None	J	T					
9. RHB IRA: Amer. Fds Growth Fd of Amer. Mut. Fund C1 A	B	Dividend	K	T					
10.									
11.									
12.									
13. Amer. Fds. Growth Fd. of Amer. Mut. Fund C1 A	A	Dividend	L	T					
14. RHB IRA: Time Warner, Inc. New, Cmn. Stk.	A	Dividend	K	T					
15. Pickwick Group, L.P. (see section VIII)	F	Distribution							
16.									
17. IRA: UBS Fin. Srv. Rtmnt Mny Fund (see section VIII)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.									
19.									
20.									
21.	Amer. Fds Inc. Fund of America Cl A	A	Dividend	J	T				
22.									
23.									
24.									
25.									
26.	IRA: Amer. Fds Growth Fund of Amer. Mut. Fd. Cl A	B	Dividend	K	T				
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34.	IRA: Time Warner, Inc. New, Cmn Stk.	A	Dividend	J	T				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.									
36.									
37.									
38. UBS Fin. Srv Invstmt Acct		None	J	T					
39.									
40.									
41.									
42.									
43.									
44.									
45. Amer. Fds Balanced Fund Cl A	B	Dividend	M	T					
46. Amer. Fds Capital Inc. Builder Fund Cl A	C	Dividend	M	T					
47. Amer. Fds Inc. Fund of America Cl A	D	Dividend	M	T					
48. First Commercial Bank Cmn Stk	B	Dividend	L	T					
49.									
50.									
51. Amer. Fds Capital World Gwth & Inc. Fd Cl A	C	Dividend	M	T					

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52. MetLife Variable Flexible Life Ins.		None	M	T					
53. -MetLife Aggressive Strategy (see section VIII)									
54. -MetLife Stock Index									
55. -MFS Total Return									
56. -Fidelity VIP Eq Inc.									
57. -BR Legacy LC Growth									
58. -L/S Small Cap									
59. MassMutual Flexible Variable Life Ins.		None	L	T					
60. -MML Equity									
61. -Oppenheimer Global Securities									
62. -Fidelity VIP Contrafund									
63. -T. Rowe Price Equity Income									
64. -American Funds Growth Income									
65.									
66. IRA: Invesco Val Opp Fd. C1 A	A	Dividend	J	T					
67.									
68.									

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69.									
70. RHB IRA: UBS Global Allocation Fd Cl A	A	Dividend	J	T					
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79. RHB IRA: Amer. Fds. Balanced Fund Cl A	A	Dividend	K	T					
80. RHB IRA: Invesco Moderate Allocation Fd Cl A	A	Dividend	K	T					
81. RHB IRA: Time Warner Cable Inc. Cmn Stk	A	Dividend	J	T					
82. RHB IRA: AOL Inc. Cmn Stk		None	J	T					
83. IRA: Time Warner Cable Inc. Cmn Stk	A	Dividend	J	T					
84. IRA: AOL Inc. Cmn Stk		None	J	T					
85. Invesco Mid Cap Core Equity Fd Cl A	B	Dividend	K	T					

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86.									
87. ☐ IRA: Amer. Fds. AMCAP Fd. Cl A	B	Dividend	K	T					
88. ☐ IRA: Invesco Gwth. Alloc. Fd. Cl A	A	Dividend	J	T					
89.									
90.									
91. RHB IRA: Amer. Fds. AMCAP Fd. Cl A	D	Dividend	L	T					
92. RHB IRA: Invesco Mod. Alloc. Fd. Cl C	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Section VII:

Line 4: Pecan Lane Apartments, Ltd. - Inverness, Mississippi; 1984; \$13,980

Line 8: "RHB IRA" - Rhesa H. Barksdale IRA with UBS Financial Services; Jackson, Mississippi

Line 15: For Pickwick Group, L.P., the referenced distribution was RHB's share of the final distribution of all funds in the partnership, which was dissolved effective 31 December 2013.

Line 17: [REDACTED] IRA" - [REDACTED] IRA with UBS Financial Services; Jackson, Mississippi

Line 53: On 29 April 2013, assets in the Zenith Equity Portfolio of the Metropolitan Series Fund were transferred into the MetLife Aggressive Strategy Portfolio of the Met Investors Series Trust, as a result of the merger of those Portfolios prior to the opening of business on that day.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rhessa H. Barksdale

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544