

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) COLE, JEFFREY N.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. COURTHOUSE 219 SOUTH DEARBORN STREET STE 1088 CHICAGO, IL 60604-2025		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GULF COAST WASTE DISP AU 8/1/2024 6.1%	B	Interest			Sold	07/08/13	K		
2. ALTRIA GROUP - KRAFT FOODS	B	Dividend			Sold	02/11/13	K		
3. INTEL CORP	B	Dividend	K	T					
4. PHILIP MORRIS INT'L	A	Dividend	J	T					
5. MICROSOFT CORP	A	Dividend	K	T					
6. WAL-MART STORES	A	Dividend	J	T					
7. SCHWAB ACCOUNTS	B	Dividend	J	T	Sold (part)	04/17/13	K	D	
8. BANK OF AMERICA	A	Interest	K	T					
9. MAY DEPT STORES 8.3% DEB 7/15/2026 - IRA	C	Interest	K	T					
10. EXECUTIVE RISK CAPITAL TR 2/1/2027 - 8.675% - IRA	C	Interest	K	T					
11. ACE LTD SER C 7.8% - IRA	C	Interest	K	T					
12. RESOLUTION FDG CORP 10/15/2016 - IRA	E	Interest	K	T					
13. DUKE CAPITAL SR NOTES 2/15/2013 6.25% -IRA	B	Interest	K	T					
14. AVON PRODUCTS 4.8% 3/1/13	A	Interest			Sold	03/01/13	K		
15. LEUCADIA NAT'L 11/15/2013 7.75% - IRA	B	Interest	K	T					
16. NCNB 7/15/2015 10.2% - IRA	B	Interest	K	T					
17. NAT'L RURAL UTILITY COOP 10/1/2015 7.2% - IRA	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. ITT HARTFORD GRP DEB 11/1/2015 7.3% - IRA	B	Interest	K	T					
19. ALLEGIENCE CORP DEB 10/815/2016 7.8% - IRA	B	Interest	K	T					
20. RYDER SYSTEMS DEB 5/15/2017 9+.875% - IRA	C	Interest	K	T					
21. CITICORP CAPITAL 2/15/2027 7.933% - IRA	C	Interest	K	T					
22. ST PAUL CAPITAL TR PFD 7.6% - IRA	C	Interest	K	T					
23. TXU CORP - IRA	B	Dividend	K	T					
24. BAXTER, INT'L - IRA	B	Dividend	J	T					
25. EXXONMOBIL - IRA	B	Dividend	K	T					
26. HOME DEPOT - IRA	B	Dividend	J	T					
27. JOHNSON & JOHNSON - IRA	B	Dividend	J	T					
28. MICROSOFT - IRA	B	Dividend	J	T					
29. WAL-MART STORES - IRA	B	Dividend	J	T					
30. WALGREENS - IRA	B	Dividend	J	T					
31. CAMDEN, ALA INDL DEV BRD RFD 6.125% 12/1/2024	B	Interest			Sold	12/02/13	K		
32. AVAYA	A	Dividend	K	T					
33. POWERWAVE TECHNOLOGIES		None	J	T					
34. LEHMAN BROS HOLDINGS 7.95%	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. CONSELLATION ENERGY GRP JR SUB DEB 8.625%	B	Interest			Sold	06/17/13	K		
36. TX MUNI GAS ACQ SPLY SER A 5.25%	B	Interest	K	T					
37. PEPSICO	B	Dividend	J	T					
38. CHUBB CORP SR NTS 4/1/2013 5.2%	A	Interest			Redeemed	04/01/13	K		
39. WISC ST SER A 5/1/2021 4.5%	C	Interest	K	T					
40. BROWARD CTY FL SCH BD CTFS SER A 7/1/2013 3.5%	A	Interest			Sold	07/01/13	K		
41. JOHN DEERE CAP GLOBAL 1/15/2013 5.1%	A	Interest			Sold	01/15/13	K		
42. NAT'L OIL WELL VARCO SR NT 8/15/2015 6.125%	B	Interest	K	T					
43. ANHEUSER BUSCH GTD NT 11/17/2015 9.75%	C	Interest	L	T					
44. ASPEN INS HOLDINGS SR NT 8/15/2014 6.0%	B	Interest			Sold	12/16/13	K		
45. BB&T CORP SUB NT 6/30/2017 4.9%	B	Interest	K	T					
46. TRANSOCEAN INC SR NT 3/15/2013 5.25%	A	Interest			Sold	03/15/13	K	A	
47. GATX CORP FXD RT SR NT 5/15/2015 4.75%	B	Interest	K	T					
48. QUEST CORP NT 10/1/2014 7.5%	B	Interest	K	T					
49. SEALED AIR CORP NEW SR NT 6/15/2017 7.875%	B	Interest			Sold	03/21/13	K		
50. TEMPLETON GLOBAL BD FD ADVISOR	B	Dividend	K	T					
51. ANDARKO PETE CORP SR NT 3/15/2014 - 7.625% - IRA	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. AMEREM CORP SR NT 5/15/2014 8.875% - IRA	B	Interest	K	T					
53. BUNGE LTD FIN CORP SR NT 7/15/2015 - 5.1% - IRA	B	Interest	K	T					
54. HOSPIRA INC NT 5/15/2015 6.4% - IRA	B	Interest	K	T					
55. WILLIS NO AMER INC SR NT 7/15/2015 5.625% - IRA	B	Interest	K	T					
56. ENDURANCE SPECIALTY HLDGS LTD SR NT 10/15/2015 6.15% -IRA	B	Int./Div.	K	T					
57. UNITRIN INC FXD RT SR NT 11/30/2015 6.0% - IRA	B	Interest	K	T					
58. HORACE MANN EDUCATORS CORP NEW SR NT 4/15/2016 6.85% - IRA	B	Interest	K	T					
59. ALLIED WORLD ASSUR 8/1/2016 7.5% - IRA	B	Interest	K	T					
60. FIDELITY NAT'L FINCL NEW SR NT 5/15/2017 6.6% - IRA	A	Interest	K	T					
61. DREYFUS TREAS PRIME INV SHS MNY MKT	A	Interest	M	T					
62. DREYFUS TREAS PRIME INV SHS	A	Dividend	N	T					
63. TEMPLETON GLOBAL BD FD ADVISOR	B	Dividend	L	T					
64. TEMPLETON GLOBAL BD FD ADVISOR - IRA	C	Dividend	M	T					
65. CENTRAL GOLDTRUST TR UNIT - IRA		None	K	T					
66. CNA FINCL CORP NT 12/15/2014 5.85% - IRA	A	Interest	K	T					
67. ANNALY CAP MGMT INC - IRA	A	Dividend	K	T					
68. WALT DISNEY CO NTS 12/15/2013 4.5%	B	Interest	K	T					

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69. JOHNSON & JOHNSON COM	C	Dividend	L	T					
70. VANGUARD SHT TERM BD INDEX SIGNAL	B	Dividend	M	T					
71. DAIMLER INT'L FINCL BV 4/22/2014 4.25%	A	Interest	K	T					
72. KOENIGREICH NORWEIGAN BD FD 5/15/2013 6.5%	B	Interest			Sold	05/15/13	K		
73. FIDELITY FLOATING RATE HI INC	C	Dividend	M	T	Buy (add'l)	05/15/13	K		
74. URANIUM PRTNRS CORP COM		None			Sold	12/13/13	K		
75. TEMPLETON GLOBAL FD ADVISOR	C	Dividend	K	T					
76. APPLESEED FD	B	Dividend	L	T	Buy (add'l)	01/03/13	K		
77. BMW FINCL NT 4/15/2014 4.25%	A	Interest	K	T					
78. UBS AG AUSTRALIA BRCH NTS 8/26/2013 6.25%	B	Interest	K	T					
79. HYUNDAI CAPITAL SVCS 11/27/2013 2.0%	A	Interest			Sold	11/27/13	K		
80. THOMSON CORP DEB 12/1/2014 5.2%	B	Interest	K	T					
81. MARRIOTT INT'L NEW NT SER J 2/15/2013 5.625% - IRA	B	Interest	K	T					
82. COMMERCIAL METALS CO SR NTS 8/15/2013 5.625% - IRA	B	Interest	K	T					
83. AMERICAN EXPRESS CR CORP MED TRM NTS 8/20/2013 7.3% - IRA	B	Interest	K	T					
84. TELECOM ITALIA CAP SR NTS SER B - IRA	B	Interest	K	T					
85. FIDELITY NAT'L FINCL INC NEW SR NTS 5/15/2017 6.6% - IRA	A	Interest	K	T					

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86. ORIX CORP NTS 1/12/2016 6.6% - IRA	B	Interest	K	T					
87. BEST BUY INC FXD RT NTS 3/15/2016 3.75% - IRA	A	Interest	K	T					
88. TRANSATLANTIC HLDGS SR NT 12/14/2015 5.75% - IRA	B	Interest	K	T					
89. AVNET INC NTS 9/15/2016 6.625% - IRA	B	Interest	K	T					
90. AVON PRODUCTS INC COM	A	Dividend			Sold	04/15/13	K		
91. CENTRAL GOLDTRUST TR UNIT - IRA		None	L	T					
92. NOVARTIS AG ADR - IRA	B	Dividend	L	T					
93. SEALED AIR CORP NEW COM - IRA	B	Dividend	K	T					
94. STAPLES, INC - IRA	A	Dividend	J	T					
95. WESTERN UNION CO COM - IRA	A	Dividend	K	T					
96. CENTRAL FD CDA LTD CL A	A	Dividend	K	T					
97. WINTERGREEN FD - IRA	A	Dividend	K	T					
98. SPROTT PHYSICAL GOLD TR		None	M	T					
99. BK OF NEW YORK MELLON CORP	A	Dividend	K	T					
100. HRPT PPTY TR SR NT 10/30/2013 5.75%	A	Dividend			Sold	03/12/13	K		
101. MICROSOFT CORP	B	Dividend	K	T					
102. SK TELECOM LTD SPON ADR	B	Dividend	K	T					

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103. SAFEWAY INC FXD RT NT 3.4% 12/1/2016	A	Interest	K	T					
104. GOOGLE INC CL A		None	L	T					
105. TESCO PLC SPON ADR	B	Dividend	K	T	Buy (add'l)	06/05/13	K		
106. WESTERN UNION CO NT 10/1/2016 5.93%	B	Interest	K	T					
107. NABORS INDS LTD	A	Dividend	K	T	Buy (add'l)	05/15/13	J		
108. WESTERN UNION CO	A	Dividend	K	T					
109. ISHARES JP MORGAN USD EMERGING MKT BD FD		None			Buy	01/02/13	K		
110. ISHARES JP MORGAN USD EMERGING MKT BD FD		None			Sold	02/19/13	K		
111. MENDHAM TWP NJ BRD ED MORRIS CTY 2.5% 12/1/13	A	Interest			Buy	05/29/13	L		
112. MENDHAM TWP NJ BRD ED MORRIS CTY 2.5% 12/1/13		None			Sold	12/03/13	K		
113. EMBRAER OVERSEAS LTD 6.375% 1/24/17		None	K	T	Buy	10/30/13	K		
114. PPL ENERGY SUPPLY 6.5% 5/1/18	A	Interest	K	T	Buy	10/08/13	K		
115. TECH DATA CORP BSR NT 9/21/12	A	Interest	K	T	Buy	08/06/13	K		
116. TIME WARNER CABLE 5.85% 5/1/17	A	Interest	K	T	Buy	07/30/13	K		
117. AVON PRODUCTS INC	A	Interest	K	T	Buy	11/14/13	K		
118. EXELON GENERATION CO		None	K	T	Buy	12/12/13	K		
119. EXELON CORP COM	A	Dividend	K	T	Buy	06/12/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

COLE, JEFFREY N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. POTLATCH CORP NEW	A	Interest	K	T	Buy	09/25/13	K		
121. STAPLES, INC	A	Dividend	K	T	Buy	03/06/13	K		
122. TEVA PHARMACEUTICAL	A	Dividend	K	T	Buy	08/16/13	K		
123. DEUTSCHE TELEKOM AG TERM NTS 3.625% 5/22/17	A	Interest	K	T	Buy	02/13/13	K		
124. HERBALLIFE LTD USD	A	Dividend			Buy	04/02/13	K		
125. HERBALLIFE LTD USD		None			Sold	09/18/13	L	E	
126. AIR LEASE CORP SR	A	Interest	K	T	Buy	09/17/13	K		
127. DELL INC FXD RT	A	Interest	K	T	Buy	04/25/13	K		
128. JABIL CIRCUIT INC SR		None	K	T	Buy	09/04/13	K		
129. JEFFRIES GROUP INC	A	Interest	K	T	Buy	05/10/13	K		
130. LEXMARK INT'L INC		None	K	T	Buy	12/17/13	K		
131. McDERMOTT INT'L INC		None	K	T	Buy	10/16/13	K		
132. MOSAIC CO NEW	A	Dividend	K	T	Buy	10/01/13	K		
133. NEWMONT MNG CORP 5.125% 10/1/19		None	K	T	Buy	12/09/13	K		
134. TITAN INT'L INC ILL	A	Dividend	K	T	Buy	07/16/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
COLE, JEFFREY N.	05/01/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

COLE, JEFFREY N.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JEFFREY N. COLE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544