

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brennan, Michael B.	2. Court or Organization United States Court of Appeals for the Seventh Circuit	3. Date of Report 11/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 517 East Wisconsin Avenue Room 618 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member - Jan. 1-May 10, 2018	Gass Weber Mullins LLC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1997	Wisconsin Department of Employee Trust Funds (retirement account), no control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Income from law practice at Gass Weber Mullins LLC (Jan. 1- May 10, 2018)	\$87,371.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judicial Center and NYU Law School-Institute of Judicial Administration	7/16-20/2018	New York, NY	Education - new appellate judges seminar	Transportation (airline and ground), lodging, and meals.
2. The Federalist Society for Law & Public Policy Studies	11/14-16/2018	Washington D.C.	Speaker and Conference	Transportation (airline and ground), lodging and meals.
3. Notre Dame Law School	11/16-17/2018	South Bend, IN	Moot court judge	Transportation (ground), lodging and meals.
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Union League Club of Chicago, IL	Federal judicial guest privileges - See Part VIII.	
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northern Trust-Money Market Fund	B	Dividend	M	T					
2. Northern Trust-Money Market Fund	A	Dividend	J	T					
3. IRA-Vanguard Index 500 Admiral Fund	A	Dividend	M	T					
4. EdVest 529 plan (aggressive age based option 17)	A	Dividend	M	T					
5. EdVest 529 plan (aggressive age based option 15)	A	Dividend	M	T					
6. EdVest 529 plan (aggressive age based option 13-14)	A	Dividend	M	T					
7. EdVest 529 plan (aggressive age based option 9-10)	A	Dividend	L	T					
8. Northwestern Mutual (Adjustable Complife)	B	Int./Div.	K	T					
9. Northwestern Mutual (Adjustable Complife)	A	Int./Div.	J	T					
10. Northwestern Mutual (Adjustable Complife)	A	Int./Div.	J	T					
11. Northwestern Mutual (65 Life)	A	Int./Div.	J	T					
12. Northwestern Mutual (65 Life)	A	Int./Div.	J	T					
13. Northwestern Mutual (65 Life)	A	Int./Div.	J	T					
14. Northwestern Mutual (65 Life)	A	Int./Div.	J	T					
15. IRA-Northwestern Mutual Account Portfolio-Aggressive Growth	A	Int./Div.	M	T					
16. John Hancock 500 Index Fund	B	Int./Div.			Sold	06/30/18	L		
17. John Hancock Mid Cap Index Fund	B	Int./Div.			Sold	06/30/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part II: The agreement regarding the Wisconsin Department of Employee Trust Funds commenced in 1997, when I began employment as an assistant district attorney for Milwaukee County. The agreement concerns a state pension and a state deferred compensation account.

Re: Part V: The Union League Club of Chicago is a social and civic association with dining and athletic facilities located near the Dirksen federal courthouse in Chicago. The Club offers guest privileges to federal judges. I became a member of the Club with federal judicial guest privileges effective July 1, 2018. For those privileges I paid monthly dues of \$130.50 per month for the months of July-December, 2018, for a total of \$783. The yearly dues for full club membership for the same time period was \$329 per month for the months of July-December, 2018, for a total of \$1974. Members of the federal judiciary who have federal judicial guest privileges with the Union League Club of Chicago may not hold office, may not serve on committees, and are not entitled to vote. Judges with these guest privileges pay for food and athletic facilities on the same basis as club members.

Re: Part V, line 15: I have no control over the selection of the individual assets in the IRA. I only have the ability to select an investment objective.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael B. Brennan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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