

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walter, John F.	2. Court or Organization U.S. District Court, CD-CA	3. Date of Report 04/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court 312 N. Spring St. Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fredricks Fund I	B	Distribution	J	U					
2. Fredricks Fund II	D	Distribution	K	U					
3. Fredricks Fund IV	E	Distribution	K	U					
4. Villa Camarillo, Ltd.	B	Distribution	J	T					
5. Villa Camarillo 168 Ltd.	C	Distribution	J	T					
6. Shares of AT & T, Inc. New	A	Dividend	K	T					
7. Alcatel Lucent	A	Dividend	J	T					
8. Shares of Vodafone Group	A	Dividend	J	T					
9. Century Link, Inc.	A	Dividend	J	T					
10. LSI Logic Corp.	A	Dividend	J	T					
11. Comcast Corp.	A	Dividend	J	T					
12. Charles Schwab Money Market	C	Interest	N	T					
13. Vanguard Money Market	E	Interest	O	T					
14. Vanguard GNMA Fund	D	Interest	M	T					
15. Vanguard Cal Insured Fund	D	Interest	N	T					
16. Vanguard 500 Index Fund	A	Dividend	J	T					
17. Vanguard Intl. Growth Fund	B	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Total Stock Market Fund	A	Dividend	J	T					
19. Vanguard High Yield Corp. Fund	E	Dividend	M	T					
20. Vanguard Dividend Growth	D	Dividend	K	T					
21. Janus Olympus Fund	A	Dividend	J	T					
22. Schwab 1000 Fund	A	Dividend	K	T					
23. Double Line Total Return	B	Dividend	K	T					
24. IRA - Charles Schwab - Nasdaq 100 Trust	A	Dividend	J	T					
25. IRA Charles Schwab - Schwab 1000 Fund	A	Dividend	J	T					
26. IRA - Charles Schwab - Schwab Money Market Fund	A	Interest	J	T					
27. IRA - Charles Schwab - NASDAQ 100	A	Interest	J	T					
28. IRA - Charles Schwab - Schwab Money Market Fund	A	Interest	J	T					
29. IRA - Charles Schwab - SPDR Trust Unit SRI	A	Dividend	J	T					
30. Vanguard Rollover IRA - Prime Money Market Fund	E	Interest	P1	T					
31. Vanguard Rollover IRA - High Yield Corp. Fund	D	Dividend	M	T					
32. Vanguard Rollover IRA - GNMA	D	Dividend	M	T					
33. Vanguard Rollover IRA - Dividend Growth	C	Dividend	K	T					
34. Northern Trust Bank	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Wells Fargo Bank	A	Interest	J	T					
36. U.S. Bank	A	Interest	J	T					
37. Baron Small Cap	B	Dividend	J	T					
38. I Bond	A	Interest	K	T					
39. I Bond	A	Interest	K	T					
40. Vanguard Total Stock Market Admiral Shares	A	Dividend	K	T					
41. Black Rock Municipal Fund Cash Reserve Shares	A	Interest	J	T					
42. Dow Jones Wilshire 5000 Index Fund	A	Dividend	K	T					
43. Vanguard FTSE - All World	A	Dividend	K	T					
44. AKRE Focus	C	Dividend	K	T					
45. T. Rowe Price - New Horizons	A	Dividend	K	T					
46. Vanguard Div Growth	A	Dividend	K	T					
47. Vanguard Int Growth	B	Dividend	K	T					
48. IRA Charles Schwab SPDR Trust Unit SR1	A	Dividend	K	T					
49. IRA Charles Schwab SPDR Trust Unit SR1	A	Dividend	K	T					
50. IRA Chares Schwab SPDR Trust Unit SR1	A	Dividend	K	T					
51. Condo - Rental Property, Downey, CA (X)	D	Rent	N	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John F. Walter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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