

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lenihan, Lisa P.	2. Court or Organization Western District of PA	3. Date of Report 7/16/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US POST OFFICE AND COURTHOUSE 700 GRANT ST PITTSBURGH, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	BOARD MEMBER	University of Pittsburgh Medical Center ("UPMC")
2.	ADJUNCT PROFESSOR	UNIVERSITY OF PITTSBURGH SCHOOL OF LAW
3.	LIMITED PARTNER	FOUR NORTHSORE ASSOCIATES
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Lenihan, Lisa P.

Date of Report

7/16/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	UNIVERSITY OF PITTSBURGH SCHOOL OF LAW PAYMENT FOR TEACHING	\$1,750.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NY INTELLECTUAL PROPERTY LAW ASSOC.	3/25/11 TO 3/26/11	NY, NY	DINNER HONORING FEDERAL JUDICIARY	TRAVEL, MEALS AND LODGING
2.	ALLEGHENY COUNTY BAR ASSOCIATION	6/16/11 TO 6/18/11	CHAMPION, PA	BENCH/BAR CONFERENCE	TRAVEL, MEALS, LODGING
3.	ACADEMY OF TRIAL LAWYERS OF ALLEGHENY COUNTY	10/05/11	FARMINGTON, PA	SEMINAR AND DINNER FOR BENCH AND BAR	MEALS AND LODGING
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Lenihan, Lisa P.

Date of Report

7/16/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Lenihan, Lisa P.

Date of Report

7/16/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Limited Partner, 4 Northshore Associates		None	O	S					
2. IRA #1									
3. Allianz Funds: NFJ Dividend Value Cl A		None			Sold	06/06/11	L	D	
4. Allianz Funds: NFJ Small Cap Fund Cl A	A	Dividend	J	T					
5. Allianz Funds: AGIC Emerging Mkts OPP CL A	A	Dividend			Sold	3/16/11	K	A	
6. Allianz RCM China Equity CL A		None			Buy	4/8/11	K		
7. Allianz RCM China Equity CL A		None			Sold	6/2/11	K	A	
8. American Funds: American Mutual Fund Cl FI	A	Dividend	K	T					
9. Copeland Risk Mgd Div Growth CL A		None	K	T	Buy	7/20/11	K		
10. Fidelity Advisor Consumer Staples Cl I	B	Dividend	K	T	Buy	11/16/11	L		
11. Fidelity Funds: Leveraged Co Fund		None			Sold	11/16/11	K	D	
12. Oppenheimer Small & Mid Cap Growth CL A		None			Buy	08/11/11	K		
13. Oppenheimer Small & Mid Cap Growth CL A		None			Sold	11/03/11	K	C	
14. MFS Intl Value Fund CL A		None			Sold (part)	6/21/11	J	D	
15. MFS Intl Value Fund CL A		None			Sold	7/14/11	K	E	
16. Oppenheimer Gold & Minerals Fund CL A		None			Sold	08/11/11	K	E	
17. PIMCO EQS Pathfinder Fund CL D		None			Buy	7/29/11	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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18. PIMCO EQS Pathfinder Fund CL D		None			Sold	09/09/11	K	A	
19. PIMCO EQS Pathfinder Fund CL A		None			Buy	08/01/11	L		
20. PIMCO EQS Pathfinder Fund CL A		None			Sold	09/07/11	L	A	
21. PIMCO Funds: High Yield CL A	A	Dividend			Sold	02/24/11	K	B	
22. PIMCO Stockplus TR Short CL A		None			Buy	3/16/11	K		
23. PIMCO Stockplus TR Short CL A		None			Sold	04/08/11	K		
24. PIMCO Stockplus Short Strategy CL A		None	L	T	Buy	6/2/11	K		
25. PIMCO Stockplus Short Strategy CL A		None			Buy (add'l)	6/2/11	L		
26. PIMCO Stockplus Short Strategy CL A		None			Sold	08/11/11	M	A	
27. PIMCO Stockplus Short Strategy CL A	A	Dividend	L	T	Buy	09/07/11	L		
28. PIMCO Stockplus Short Strategy CL D		None	K	T	Buy	6/13/11	K		
29. PIMCO Stockplus Short Strategy CL D		None			Sold	07/29/11	K	A	
30. PIMCO Stockplus Short Strategy CL D	A	Dividend	K	T	Buy	09/09/11	K		
31. PIMCO Inv GRD Corp Bond Fund I	A	Dividend			Sold	02/28/11	K	D	
32. Allianz AGIC Conv. Fund CL A		None			Buy	03/03/11	K		
33. Allianz AGIC Conv. Fund CL A		None			Buy	6/2/11	K		
34. Allianz AGIC Conv. Fund CL A		None			Sold	10/04/11	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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35. PIMCO Emerging Local Bond Fund Cl A	A	Dividend			Buy	02/24/11	K		
36. PIMCO Emerging Local Bond Fund Cl A		None			Sold	6/2/11	K	B	
37. TRowePrice Funds: Emerging Markets	A	Dividend	K	T					
38. TRowe Price Funds: Real Estate Fund	A	Dividend	K	T					
39. Stratton Funds: Small Cap Value Fund	A	Dividend	J	T					
40. Franklin Cov Securities CL A		None			Buy	12/05/11	K		
41. Franklin Cov Securities CL A		None			Sold	12/29/11	K	A	
42. Franklin US Govt CL A	A	Interest	L	T	Buy	10/05/11	L		
43. Franklin US Govt CL A	A	Interest			Buy (add'l)	11/18/11	K		
44. Franklin US Govt CL A	A	Interest			Buy (add'l)	12/29/11	K		
45. Franklin US Govt CL A	C	Dividend	L	T	Sold (part)	12/05/11	K		
46. Templeton Funds: Global Bond Fund	A	Dividend			Sold	11/18/11	K	D	
47. M&I Bank -Money Market Account	A	Interest	J	T					
48. ISHARES Barclays 20+ Year Treas Bond FD	A	Interest			Buy	11/03/11	K		
49. ISHARES Barclays 20+ Year Treas Bond FD	A	Interest			Sold	12/29/11	K	B	
50. US Energy Sector ISHARES	A	Dividend	K	T					
51. Brokerage Account #1									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

Lenihan, Lisa P.

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52. RBB Funds: SENBANC Fund		None			Sold	08/01/11	J		
53. Blackrock Funds: Municipal Income Trust Fund	B	Dividend			Sold	09/23/11	K		
54. Davis Funds:NY Venture FDCI A		None			Sold	09/23/11	J		
55. Hilliard Lyons Funds:Govt Money Market Fund		None			Closed	09/23/11	J		
56. Brokerage Account # 2									
57. Fidelity Funds: Prime Money Mkt Reserves Fund	A	Interest	J	T					
58. Johnson & Johnson Common Stock	A	Dividend	J	T					
59. Gulf Keystone Petro Common Stock		None	K	T					
60. AT&T Common Stock	A	Dividend			Sold	05/26/11	J	A	
61. Altria Group Common Stock	A	Dividend	J	T					
62. Allianz Funds: NFJ Div Value Fund	A	Dividend			Sold	07/08/11	J		
63. Columbia Div Opp Fund CL C	A	Dividend	J	T	Buy	07/13/11	J		
64. Fidelity New Adv Insights Fund CL A		None	J	T	Buy	07/13/11	J		
65. Thornburg Funds: Intl Value Fund	A	Dividend	J	T					
66. Thornburg Invest Income Builder Fund CL C	A	Dividend	J	T	Buy	07/13/11	J		
67. Calvert Funds: Large Cap Growth Fund		None			Sold	07/08/11	J		
68. Federated Funds: Kaufman Small Cap Fund		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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Lenihan, Lisa P.

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69. SouthernSun Funds: Small Cap		None	J	T					
70. Brokerage Account #3									
71. Morgan Stanley Funds: Tax Free Money Market Fund	A	Interest	J	T					
72. AT&T Common Stock	A	Interest			Buy (add'l)	03/04/11	J		
73. AT&T Common Stock	A	Interest			Sold	04/08/11	J	A	
74. Bristol Myers Squibb Stock	A	Interest			Buy (add'l)	03/04/11	J		
75. Bristol Myers Squibb Stock		None			Sold	04/08/11	J	A	
76. Cons Staples Sel Sect SPDR FD (XLP)	A	Dividend	J	T					
77. Eaton Vance Funds: Active Tax MGD Div EQ Fund	A	Dividend	J	T	Buy (add'l)	05/12/11	J		
78. Eaton Vance Funds: Active Tax MGD GLBL Fund	A	Dividend	J	T	Buy (add'l)	03/04/11	J		
79. Energy Sel Sect SPDR FD (XLE)		None	J	T	Sold (part)	01/20/11	J		
80. First Trust NASD TEC Index FD (QTEC)	A	Dividend	J	T					
81. John Hancock Funds: PFD EQ INCM Fund	A	Dividend	J	T					
82. Nuveen Pennsylvania Municipal Bond	A	Interest	J	T					
83. Powershares VRDO Tax-Free Stock ETF		None			Sold (part)	03/04/11	J	A	
84. Powershares VRDO Tax-Free Stock ETF		None			Sold	05/12/11	J	A	
85. Templeton Global Income Fund	B	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

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86. Utilities Select SPDR Fund	A	Dividend	J	T	Buy	01/20/11	J		
87. Utilities Select SPDR Fund	A	Dividend	J	T	Buy (add'l)	03/04/11	J		
88. Vanguard Div Appreciation Fund	A	Dividend	J	T	Buy	05/12/11	J		
89. Alcoa Corporate Bond 5.375%	A	Interest			Redeemed	06/28/11	J		
90. General Electric Cap Corp Note 4.75% Due 09/15/14	A	Interest	J	T					
91. First Eagle Funds: Global Fund CI A	A	Dividend	K	T	Sold (part)	03/04/11	J	A	
92. First Eagle Overseas Fund CL I	A	Dividend	J	T	Buy	01/20/11	J		
93. First Eagle Overseas Fund CL I	A	Dividend			Buy (add'l)	04/08/11	J		
94. First Eagle Overseas Fund CL I	A	Dividend			Buy (add'l)	05/12/11	J		
95. Ivy Funds: Asset Strategy Funds		None			Sold	01/20/11	J	A	
96. Calamos Market Neutral Fund	A	Dividend	J	T	Buy	01/20/11	J		
97. Calamos Market Neutral Fund	A	Dividend			Buy (add'l)	05/12/11	J		
98. Permanent Portfolio Fund	A	Dividend	J	T	Buy	01/20/11	J		
99. Permanent Portfolio Fund	A	Dividend			Buy (add'l)	03/04/11	J		
100. Thornburg LTD Muni Fund CL I	A	Interest	J	T	Buy	05/12/11	J		
101. Virtus Prem Alhpasector Fund	A	Dividend	J	T	Buy	04/08/11	J		
102. Virtus Prem Alhpasector Fund	A	Dividend			Buy (add'l)	05/12/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. Pepsico Inc Stock		None			Buy	01/20/11	J		
104. Pepsico Inc Stock		None			Sold	04/08/11	J		
105. Miscellaneous Accounts #1									
106. PNC Bank Checking Account	A	Interest	J	T					
107. PA TAP Accounts [REDACTED] "NO CONTROL"		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Lenihan, Lisa P.

Date of Report

7/16/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investment and Trusts

Line 1 (Limited Partner, 4 Northshore Associates) - Assessed value is \$825,000

Lines 71-76. Eaton Vance Tax Managed DIV EQ FD and Eaton Vance Tax Managed GLBL FD reported erroneously as one fund in the 2009 report on line 48. Actual purchase information is as follows:

Eaton Vance Tax Managed DIV EQ Fund purchased 02/05/08 at \$1,908

Eaton Vance Tax Managed GLBL Fund purchased 12/23/09 at \$3,274

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Lenihan, Lisa P.

Date of Report

7/16/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lisa P. Lenihan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544