

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Steger, Christopher H.	2. Court or Organization U.S. District Court, Eastern District of Tennessee	3. Date of Report 08/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Joel W. Solomon Federal Building, United States Courthouse 900 Georgia Avenue, Room 102 Chattanooga, TN 37402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2015	The Coca-Cola Company Defined Benefit Pension Plan with former employer, no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/06/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	The Coca-Cola Company - deferred compensation	\$33,082.86
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Jamie C Rehm - salary
2. 2017	Surgery Center of Chattanooga - salary
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/06/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Steger, Christopher H.

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08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SunTrust Bank cash accounts	A	Interest	K	T					
2. Campbell Family Investments, LLC	E	Int./Div.	M	U					
3. Genworth Life & Annuity Insurance Company Inherited Annuity (fixed)	D	Distribution	L	T					
4. Northwestern Whole Life Policies	D	Dividend	M	T					
5. Real Estate, Nashville, TN (2015 \$267,000)		None	N	R					
6. TRUST #1 (H)									
7. --Charles Schwab deposit accounts	A	Interest	K	T					
8. --Cole Credit Property Trust REIT	C	Dividend	K	T	Sold (part)	01/31/17	J	A	
9. --Phillips Edison Grocery Center REIT II		None			Sold	01/01/17	J	A	
10. --Vanguard Short Term Investment Grade Mutual Fund	D	Dividend	N	T	Buy	01/06/17	N		
11.					Buy (add'l)	05/19/17	M		
12.					Buy (add'l)	09/13/17	K		
13. --Vanguard 500 Index Mutual Fund	C	Dividend	N	T	Buy	01/17/17	K		
14.					Buy (add'l)	02/16/17	K		
15.					Buy (add'l)	03/16/17	K		
16.					Buy (add'l)	04/17/17	K		
17.					Buy (add'l)	05/16/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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Steger, Christopher H.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	06/16/17	K		
19.					Buy (add'l)	07/17/17	K		
20.					Buy (add'l)	08/16/17	K		
21.					Buy (add'l)	09/18/17	K		
22.					Buy (add'l)	10/16/17	K		
23. BROKERAGE #1 (H)									
24. --Charles Schwab deposit accounts		None			Closed	01/06/17	J		
25. --Schwab Govt Money Market Fund		None			Sold	01/06/17	J		
26. --New York City Municipal Water Municipal Bond		None			Sold	01/04/17	J	A	
27. BROKERAGE #2 (H)									
28. --Charles Schwab deposit accounts	A	Interest	K	T					
29. --Arbitrage Mutual Fund	C	Dividend	M	T					
30. --Calamos Market Neutral Income Mutual Fund	D	Dividend	M	T					
31. --Gateway Mutual Fund	B	Dividend	M	T					
32. --Merger Mutual Fund Institutional Class	B	Dividend	M	T					
33. --Vanguard Ltd-Term Tax-Exempt Bond Fund	C	Dividend	N	T					
34. --Vanguard Short Term Tax-Exempt Bond Fund	B	Dividend	N	T	Buy	05/22/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35.					Buy (add'l)	09/28/17	M		
36.	--Vanguard Mid-Cap Index Mutual Fund	B	Dividend	M	T	Buy (add'l)	01/18/17	J	
37.					Buy (add'l)	02/17/17	J		
38.					Buy (add'l)	03/17/17	J		
39.					Buy (add'l)	04/18/17	J		
40.					Buy (add'l)	05/17/17	J		
41.					Buy (add'l)	06/19/17	J		
42.					Buy (add'l)	07/18/17	J		
43.					Buy (add'l)	08/17/17	J		
44.	--Vanguard Small-Cap Index Mutual Fund	B	Dividend	M	T	Buy (add'l)	01/18/17	J	
45.					Buy (add'l)	02/17/17	J		
46.					Buy (add'l)	03/17/17	J		
47.					Buy (add'l)	04/18/17	J		
48.					Buy (add'l)	05/17/17	J		
49.					Buy (add'l)	06/19/17	J		
50.					Buy (add'l)	07/18/17	J		
51.					Buy (add'l)	08/17/17	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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Steger, Christopher H.

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08/06/2018

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52. --Vanguard Total Intl Stock Index Mutual Fund	D	Dividend	N	T	Buy (add'l)	01/18/17	J		
53.					Buy (add'l)	02/17/17	J		
54.					Buy (add'l)	03/17/17	J		
55.					Buy (add'l)	04/18/17	J		
56.					Buy (add'l)	05/17/17	J		
57. --Vanguard Total Stock Market Index Mutual Fund	D	Dividend	O	T	Buy (add'l)	01/18/17	J		
58.					Buy (add'l)	02/17/17	J		
59.					Buy (add'l)	03/17/17	J		
60.					Buy (add'l)	04/18/17	J		
61.					Buy (add'l)	05/17/17	J		
62.					Buy (add'l)	06/19/17	J		
63.					Buy (add'l)	07/18/17	J		
64.					Buy (add'l)	08/17/17	J		
65. BROKERAGE #3 (H)									
66. --Charles Schwab deposit accounts		None			Closed	01/19/17	J		
67. --New York City Municipal Water Municipal Bond		None			Sold	01/04/17	J	A	
68. BROKERAGE #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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Steger, Christopher H.

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69. --Charles Schwab deposit accounts	A	Interest	J	T					
70. --Vanguard FTSE Developed Markets ETF	C	Dividend	M	T					
71. --Vanguard Mid-Cap ETF	B	Dividend	M	T					
72. --Vanguard S&P 500 ETF	D	Dividend	N	T					
73. --Vanguard Small-Cap ETF	B	Dividend	M	T					
74.									
75.									
76.									
77.									
78.									
79.									
80.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/06/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Steger, Christopher H.

Date of Report

08/06/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher H. Steger

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544