

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHESNEY, MAXINE M.	2. Court or Organization U.S. DISTRICT CT./NO. DIST. CA	3. Date of Report 03/30/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 02/26/93	With SF City & Cty Employees' Retirement System for pension payable monthly until death, based on former employment with SF Dist.Atty.
2. 10/29/05	With State of California Judges' Retirement System for retirement benefits payable monthly until death, based on former employment as SF Superior Court Judge
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	San Francisco City & County Employees' Retirement System - Pension	\$15,238.00
2. 2015	California Judges' Retirement System - Pension	\$112,153.00
3. 6/22/15	Rutter Group - Faculty Compensation	\$500.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	California Judges' Retirement System - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Rutter Group	6/22/15	San Francisco, CA	Program (teaching)	Parking
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common Stock - Advanced Polymer		None	J	T					
2. Mutual Fund - Columbia CA Tax - Exempt	C	Dividend	M	T					
3. Bond - Calipatria CA Redevel Agy	A	Interest	K	T					
4. Bond - Sequoia CA Hospital District	A	Interest	J	T					
5. Bank Accts. - Wells Fargo	C	Interest	P1	T					
6. Common Stock - Cisco Systems	B	Dividend	L	T					
7. IRA - Raymond James	A	Dividend	L	T					
8. -Common Stock - Berkshire Hathaway Hldg Co. CL B									
9. -Common Stock - Alphabet Inc.									See note: Part VIII
10. -Common Stock - American Express									
11. -Common Stock - Nordstrom, Inc.									
12. -Common Stock - Qualcom, Inc.					Sold	01/02/15	J	A	
13. -Common Stock - Crimson Wine Group Ltd.					Sold	05/13/15	J	A	
14. -Common Stock - Merck & Co.									
15. -Common Stock - Johnson & Johnson									
16. -Mutual Fund - Dodge & Cox Income									
17. -Mutual Fund - FPA New Income									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Common Stock - Apple Inc.									
19. -Common Stock - Leucadia Nat'l									
20. -Bond Fund - T. Rowe Price									
21. -Common Stock - Verizon									
22. -Common Stock - General Electric					Buy	10/19/15	J		
23. -Common Stock - Abbvie Inc.					Buy	01/23/15	J		
24.					Sold	10/22/15	J	B	
25. Bond - Cal. Edl. Facs. Rev.	A	Interest	J	T					
26. Bond - Pomona Cal. Redev. Agcy Tax Alloc.	A	Interest	J	T					
27. Bond - Cudahy CA Redev Agcy	A	Interest			Redeemed	10/01/15	J	A	
28. Bond - Kern Vy Healthcare Dist CA	A	Interest	J	T					
29. Bond - Bell Comnty Redev Agcy CA Tax	A	Interest	J	T					
30. Common Stock - Microsoft	C	Dividend	M	T					
31. Bond - CA Edl Facs	A	Interest	J	T					
32. Common Stock - American Express	A	Dividend	K	T					
33. Common Stock - Berkshire Hathaway CL A		None	M	T					
34. Bond - Valley Sanitary Dist CA	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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35. Common Stock - Berkshire Hathaway CL B		None	M	T					
36. Common Stock - Farmers & Merchants Bank Long Beach	A	Dividend	K	T					
37. Common Stock - Oracle Corp.	A	Dividend	K	T					
38. Bond - Chula Vista CA Redev Agy	A	Interest	J	T					
39. Bond - Hercules CA Imp't Bd	A	Interest	J	T					
40. Bond - Mojave Desert & Mtn CA Waste Mgmt	A	Interest	K	T					
41. Bond-Woodland CA Redev Agy	A	Interest	J	T					
42. Bond-Holtville CA Redev Agy	A	Interest	K	T					
43. Bond-Riverbank CA Redev Agy	A	Interest	J	T					
44. Mutual Fund - Source Cap, Inc.	C	Dividend			Sold	12/08/15	L		
45. Corp. Bond - Leucadia Nat'l Corp.	C	Interest			Redeemed	09/15/15	K		
46. Bond - Long Beach CA Fin. Auth.	A	Interest	K	T					
47. Bond - Lancaster CA Fin. Auth.	A	Interest	J	T					
48. Bond - Indep. Cities CA Lease Fin. Auth.	A	Interest	J	T					
49. Bond - ABAG Fin.Auth. Jackson Lab	A	Interest			Redeemed	07/01/15	K	C	
50. Bond - CA Stwde Cmnty Rev	A	Interest	K	T					
51. Bond - Banning CA Fin'g Auth	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Bond - Bakersfield CA Impt	A	Interest			Redeemed	09/10/15	J	A	
53. Bond - Capistrano CA Uni Sch Dist	A	Interest			Redeemed	09/01/15	J	A	
54. Bond - Daly City CA Hsg Dev	B	Interest	K	T					
55. Bond - CA Stwde Comntys Dev Auth	A	Interest	K	T					
56. Common Stock - Alphabet Inc.		None	M	T	Sold (part)	04/29/15	J	A	See note: Part VIII
57. Bond-CA St. G.O. Unltd.	A	Interest	J	T					
58. Bond-Lake Elsinore CA Pub Fin'g	A	Interest	J	T					
59. Bond-CA St. Ref. G.O. Unltd.	A	Interest	K	T					
60. Bond-Sacto Cty Ca Arpt Sys	A	Interest	J	T					
61. Common Stock-Johnson & Johnson	B	Dividend	L	T					
62. Common Stock - Clorox	A	Dividend	K	T					
63. Common Stock - Merck & Co.	B	Dividend	L	T	Buy (add'l)	01/23/15	K		
64. Bank Acct. - Provident Credit Union	A	Interest	K	T					
65. Common Stock - Chevron Corp.	B	Dividend	K	T					
66. Common Stock - Conoco Phillips	B	Dividend	K	T					
67. Corp. Bond - Dow Chemical	C	Interest	L	T					
68. Mutual Fund - FPA New Incom	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Corp. Bond - Time Warner	B	Interest	L	T					
70. Mutual Fund - Dodge & Cox Income	D	Dividend	M	T					
71. Bond - Chula Vista CA Elem Sch Dist	A	Interest	J	T					
72. Bond - Chino CA Redev Agcy	B	Interest	K	T					
73. Bond - Univ CA Regents Med Ctr	A	Interest	J	T					
74. Corp. Bond - G.E. Cap. Corp.	C	Interest	L	T					
75. Common Stock - Leucadia Nat'l	A	Dividend	K	T					
76. Common Stock - Apple Inc.	B	Dividend	M	T					
77. Bond Fund - T. Rowe Price	A	Dividend	K	T					
78. Corp. Bond - Hewlett-Packard	B	Interest	K	T					
79. Corp. Bond - Pitney Bowes	B	Interest	L	T					
80. Corp. Bond - CSX Corp.	B	Interest	L	T					
81. Corp. Bond - Equity Res. Props (ERP)	C	Interest	L	T					
82. Corp. Bond - Anheuser Busch	B	Interest	L	T					
83. Corp. Bond - Internat'l Paper	B	Interest	L	T					
84. Corp. Bond - Wells Fargo	C	Interest	L	T					
85. Bank Deposit Acct. - Raymond James	A	Interest	O	T					

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86. Common Stock - Phillips 66	A	Dividend	K	T					
87. Money Mkt Acct - Hilltop Securities	A	Interest	M	T					See note: Part VIII
88. Common Stock - Nordstrom, Inc.	C	Dividend	K	T					
89. Common Stock - Qualcomm, Inc.		None			Sold	01/07/15	L	D	
90. Corp. Bond - Bank of America	B	Interest	K	T					
91. Corp. Bond - Bank of America	A	Interest	K	T					
92. Bond - Rowland CA Uni Sch. Dist.	A	Interest	J	T					
93. Bond - Dept. of Vets Affairs Home Purch. Rev. A	A	Interest	J	T					
94. Bond - San Geronio Mem. Healthcare	A	Interest	K	T					
95. Common Stock - Crimson Wine Group Ltd.		None			Sold	05/18/15	J	A	
96. Bond - Chula Vista CA Partn	A	Interest	J	T					
97. Common Stock - Gilead Sciences	B	Dividend	M	T					
98. Common Stock - Verizon	B	Dividend	K	T					
99. Common Stock - Walt Disney Co.	A	Dividend	L	T					
100. Common Stock - Abvie, Inc.	B	Dividend	K	T	Buy (add'l)	01/20/15	J		
101.					Sold (part)	10/22/15	K	C	
102. Bond - CA Stwide Comnty Dev Auth		None	J	T	Buy	11/19/15	J		

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103. Bond - San Jacinto CA Cmnty G.O.		None	J	T	Buy	09/11/15	J		
104. Bond - Rio CA Elem Sch Dist G.O.	A	Interest	J	T	Buy	06/14/15	J		
105. Bond - Bakersfield CA City Sch Dist	A	Interest	J	T	Buy	02/25/15	J		
106. Bond - Merced CA Sch Dist G.O.		None	J	T	Buy	12/17/15	J		
107. Common Stock - General Electric		None	K	T	Buy	10/22/15	K		
108. Common Stock - Kinder Morgan Inc	A	Dividend	J	T	Buy	03/10/15	K		
109. REIT - Iron Mtn Inc	B	Dividend	K	T	Buy	01/23/15	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Lines 9 and 56 - Name change: formerly Google Inc.

Re: Line 87 - Name change: formerly Southwest Securities

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MAXINE M. CHESNEY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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