

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder III, Robert W.	2. Court or Organization U.S. District Court for the Eastern District of Texas	3. Date of Report 5/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court 500 North State Line, Room 309 Texarkana, Texas 75501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2014	Trammell Piazza Law Firm, PLLC (referral of contingent-fee case; legal services previously rendered related to wrongful death matter)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. University of Southern California	March 17-22, 2017	Santa Monica, California	2017 Intellectual Property Institute (speaker)	Travel, meals and lodging
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2. The University of Texas at Austin	November 1-3, 2017	Austin, Texas	22nd Annual Advanced Patent Law Institute (speaker)	Travel, lodging and meals
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3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab Accounts (H)									
2. -Schwab Account	A	Interest	J	T	Sold (part)	9/27/17	M		
3. -Schwab Bank Sweep	B	Interest	K	T	Buy	9/27/17	K		
4. -Schwab US REIT ETF	A	Dividend	J	T	Buy	9/27/17	J		
5. -iShares Gold ETF	A	Dividend	J	T	Buy	09/27/17	J		
6. -iShares Bond ETF	A	Dividend	J	T	Buy	09/27/17	J		
7. -Schwab Emerging Markets Equity ETF	A	Dividend	J	T	Buy	09/27/17	J		
8. -Schwab Fund. EMG MKTS LG. COM ETF	A	Dividend	J	T	Buy	09/27/17	J		
9. -Schwab Fund. Intl. LG. COM. ETF	A	Dividend	J	T	Buy	09/27/17	J		
10. -Schwab Fund. Intl. SM. COM. ETF	A	Dividend	J	T	Buy	09/27/17	J		
11. -Schwab Fund. US LG. COM. ETF	A	Dividend	K	T	Buy	09/27/17	K		
12. -Schwab Fund. US SM. COM. ETF	A	Dividend	J	T	Buy	09/27/17	J		
13. -Schwab Intl. Equity ETF	A	Dividend	J	T	Buy	09/27/17	J		
14. -Schwab Intl. SM. CAP EQUITY ETF	A	Dividend	J	T	Buy	09/27/17	J		
15. -Schwab US LG. CAP. ETF	A	Dividend	K	T	Buy	09/27/17	K		
16. -Schwab US SM. CAP. ETF	A	Dividend	J	T	Buy	09/27/17	J		
17. -VANECK VECTORS ETF	A	Dividend	J	T	Buy	09/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -VANGUARD GLOBAL EX US ETF	A	Dividend	J	T	Buy	09/27/17	J		
19. -VANGUARD MUNI ETF	A	Dividend	J	T	Buy	09/27/17	J		
20. -VANGUARD TOTAL ETF	A	Dividend	J	T	Buy	09/27/17	J		
21. Bank of Ozarks Account	B	Interest	N	T					
22. Commercial National Accounts	A	Interest	M	T	Sold (part)	04/08/17	M		
23. Wells Fargo Accounts	A	Interest	M	T					
24. Guaranty Bond Accounts	C	Interest	N	T					
25. SEP #1 (H)									
26. American Funds The Bond Fund of America	A	Dividend	K	T					
27. American Funds Capital Income Building	A	Dividend	K	T					
28. American Funds Capital World Growth & Income Fund	B	Dividend	M	T					
29. American Funds Europacific Growth Fund	A	Dividend	K	T					
30. American Funds The Growth Fund of America	A	Dividend	M	T					
31. American Funds New Perspective Fund	A	Dividend	K	T					
32. American Funds Washington Mutual Investors Fund	B	Dividend	L	T					
33. Gift 529 Moderate Growth Portfolio		None	K	T					
34. 403(b) #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Lincoln Financial Multi-Fund Select Annuity Fixed Account	A	Dividend	J	T					
36. -Lincoln Financial LVIP Protected Profile 2020 Fund	A	Dividend	J	T					
37. Red River FCU Accounts	A	Dividend	J	T					
38. Real Estate Parcel 1 - Texarkana, TX (2011 \$180,000) (X)	D	Rent	M	R					
39. Farmers Bank Account	B	Interest	M	T	Buy	04/18/17	M		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert W. Schroeder III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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