

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Freudenthal, Nancy D.	2. Court or Organization District Court - Wyoming	3. Date of Report 04/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Office of Judge Freudenthal 2120 Capitol Ave. Cheyenne, WY 82001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Representative	UW Art Museum National Advisory Board
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2003	State of Wyoming Retirement Plan with former employer, no control, agreement signed in 2003.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

University of Wyoming - salary

2. 2012

Crowell & Moring - salary

3. 2012

Arch Coal, Inc. - director income

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. Federal Judges Association

May 8-10, 2012

Washington, DC

Board meeting

transportation, lodging, food

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Cheyenne Family YMCA	Family membership	\$583.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MassMutual	Loan against life insurance policy	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Meridian Trust FCU cash account #1	A	Interest	K	T					
2. Ameriprise cash equivalents	A	Int./Div.	K	T					
3. INDZX	A	Dividend	J	T					
4. INUTX	A	Dividend	J	T					
5. RiverSource Life Annuity	A	Int./Div.	M	T					
6. RiverSource Life Annuity IRA	A	Int./Div.	M	T					
7. RiverSource Life Annuity IRA	A	Int./Div.	N	T					
8. Deferred Comp BGI LifePath Ind. 2035 Fund M	A	Int./Div.			Merged (with line 7)	09/14/12	J		
9. Mass Mutual Life Insurance	C	Dividend	K	T					
10. ING Life Insurance	B	Interest	K	T					
11. Stifel Nicolaus Cash Equivalents (Trust #1)	A	Interest	J	T					
12. BMY Stock (Trust #1)	A	Dividend	J	T					
13. GMR Stock (Trust #1)	A	Int./Div.			Sold	05/24/12	J		
14. GE Stock (Trust #1)	A	Dividend	J	T					
15. HD Stock (Trust #1)	A	Dividend	J	T					
16. INTC Stock (Trust #1)	A	Dividend	J	T					
17. MSFT Stock (Trust #1)	A	Dividend			Sold	11/27/12	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. PEP Stock (Trust #1)	A	Dividend	J	T					
19. SLE Stock (Trust #1)	A	Dividend			Sold	4/11/12	J	C	
20. TWX Stock (Trust #1)	A	Dividend	J	T					
21. YUM Stock (Trust #1)	A	Dividend			Sold	04/11/12	J	D	
22. MMM Stock (Trust #1)	A	Dividend	J	T					
23. BGR Mutual Fund (Trust #1)	B	Dividend	K	T					
24. EOI Mutual Fund (Trust #1)	B	Dividend	K	T					
25. EOS Mutual Fund (Trust #1)	B	Dividend	J	T					
26. EXG Mutual Fund (Trust #1)	A	Dividend	J	T					
27. ETJ Mutual Fund (Trust #1)	C	Dividend	K	T					
28. KYE Mutual Fund (Trust #1)	B	Dividend	K	T					
29. Amer Funds Capital World Growth & Inc Fund CL A-Trust #1	A	Dividend	J	T					
30. FT Templeton Growth A (Trust #1)	A	Dividend	K	T					
31. Hartford Capital Appreciation Fund CL A (Trust #1)	A	Int./Div.	J	T					
32. Lord Abbett Developing Growth Fund CL A (Trust #1)	A	Int./Div.	K	T					
33. Thornburg Value Fund A (Trust #1)	A	Int./Div.	J	T					
34. DOM investment (Trust #1)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. UNTS Pathfinders treasury & Growth Stock Series 31 (Trust #1)	A	Dividend	K	T					
36. FT Templeton Global Bond A (Trust #1)	A	Dividend	J	T	Sold (part)	11/27/12	J	A	
37. CRP securities (Trust #1)	B	Dividend	J	T					
38. RBSPRM Securities (Trust #1)	A	Dividend	J	T					
39. RBSPRQ Securities (Trust #1)	A	Dividend	J	T					
40. WFCPRJ Securities (Trust #1)	B	Dividend	K	T					
41. Residential property, Cody, Park County, Wyoming (Trust #1)		None	M	S					
42. Rental property, Cody, Park County, Wyoming (Trust #1)	C	Rent	K	S					
43. North Carolina HSG Fin Agy Bonds (Trust #1)	A	Int./Div.	J	T					
44. WCDA Housing Bonds (Trust #1)	A	Int./Div.	K	T					
45. CAIBX mutual fund (Trust #1)	A	Dividend	K	T					
46. FITAX mutual fund (Trust #1)	A	Int./Div.	J	T					
47. FKINX mutual fund (Trust #1)	B	Dividend	K	T					
48. AMECX mutual fund (Trust #1)	A	Int./Div.	J	T					
49. Edward Jones Money Market (Trust #1)	A	Interest	J	T					
50. Meridian Trust FCU cash account #2	A	Interest	K	T					
51. Active Diversified Alternatives MOD, IRA	D	Int./Div.	N	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. HFLAX (Trust #1)	B	Int./Div.	K	T					
53. Casper WY Cmnty Col. Rev Bonds (Trust #1)	A	Interest	J	T					
54. Oregon Trail Bank cash account	A	Interest	J	T					
55. Fidelity Investments	A	Interest	J	T					
56. Arch Coal, Inc. Retirement Account	A	Int./Div.	L	T					
57. Franklin Universal Trust (Trust #1)	A	Int./Div.	J	T					
58. Jefferies Group Inc New Senior Note (Trust #1)	A	Int./Div.	K	T	Buy	04/11/12	K		
59. Carbon Cty School Dist. GO Bonds (Trust #1)	A	Int./Div.	J	T	Buy	6/12/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, #1 - I have received an opinion from the Judicial Ethics Committee allowing receipt and use of this membership gift.

Part VII, #41 - The value of the residential property located in Cody, Park County, Wyoming has been estimated using the Park County Assessor's 2013 estimate of fair market value of \$193,775.

Part VII, #42 - The value of the rental property located in Cody, Park County, Wyoming has been estimated using the Park County Assessor's 2013 estimate of fair market value of \$43,814.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nancy D. Freudenthal**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544