

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Merz, Michael R.	2. Court or Organization District Court for the Southern District of Ohio	3. Date of Report 06/06/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (recalled full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Room 501 200 West Second Street Dayton, Ohio 45402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee, vice president, and assistant secretary	East End Community Services Corporation
2. Trustee and secretary-treasurer	Dayton Metro Library Foundation
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 08/27/2013	Cash portion of Robert B. Yegge Award - American Bar Association	\$500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	does invidual spiritual direction on a fee-for service basis
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. U.S. Conference of Catholic Bishops	6/15/2013-11/15/2013	San Diego, California, & Baltimore, MD	Meetings about child sexual abuse by clerics	Air fare, lodging and meals - some paid to me, balance to vendors
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fifth Third Bank	A	Interest	J	T					
2. John Hancock Life Insurance cash value policy	A	Int./Div.	J	U					
3. MetLife life insurance cash value policy	A	Int./Div.	K	U					
4. IRA #1 (Stifel, Nicolaus)									
5. -Stifel Bank & Trust	A	Interest	J	T					
6. -Citibank NA	A	Interest	K	T					
7. - AT&T common shares	B	Dividend	K	T	Sold (part)	07/08/13	J	A	
8.					Buy	08/29/13	J		
9.					Buy (add'l)	10/01/13	J		
10. - Abbott Laboratories common shares	A	Dividend	J	T	Sold (part)	04/08/13	J	A	
11. - Abbvie, Inc.	A	Dividend			Sold	04/08/13	J	B	
12. -Actavis PLC	A	Dividend			Buy	01/15/13	J		
13.					Sold (part)	07/08/13	J	A	
14.					Sold	12/27/13	J	D	
15. - Alcoa common shares	A	Dividend			Sold	07/08/13	J		
16.					Buy	06/24/13	J		
17. - Amgen common	A	Dividend	J	T	Sold (part)	07/08/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000 M = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Apple common	A	Dividend	K	T	Buy (add'l)	05/06/13	J		
19. -Carriage Services Inc	A	Dividend	J	T	Buy	05/29/13	J		
20. -Century Link common	A	Dividend			Sold	01/16/13	J	A	
21. - CH Robinson Worldwide Inc New	A	Dividend			Buy	08/27/13	J		
22.					Sold	12/10/13	J	A	
23. - Cisco Systems common	A	Dividend	J	T	Sold (part)	07/08/13	J	A	
24. - Direct TV Class A common	A	Dividend	J	T	Sold (part)	07/08/13	J	A	
25. -Donnelly RR & Sons Co. common	A	Dividend	K	T	Sold (part)	07/08/13	J	A	
26. -Du Pont E.I. De Nemours & Co. common	A	Dividend			Sold	12/10/13	J	C	
27.					Sold (part)	07/08/13	J	A	
28. - Exelon Corp. common	A	Dividend			Sold	12/11/13	K		
29.					Sold (part)	07/08/13	J		
30.					Buy	12/27/13	J		
31. - Expeditors Intl Wash Inc.	A	Dividend	J	T	Buy	05/01/13	J		
32. -Express Scripts Holding Co. common	A	Dividend	J	T	Sold (part)	07/08/13	J	A	
33. - Exxon Mobil common	A	Dividend	K	T	Sold (part)	07/08/13	J	A	
34.					Buy (add'l)	12/27/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Flextronics Intl Ltd	A	Dividend			Sold (part)	07/08/13	J	A	
36.					Sold	10/01/13	J	B	
37. - Goodyear Tire & Rubber common	A	Dividend	K	T					
38. -Hancock Holding Company	A	Dividend	J	T	Buy	04/03/13	J		
39. - Health Care REIT Inc common	B	Dividend	K	T	Buy (add'l)	12/11/13	J		
40.					Sold (part)	07/08/13	J	A	
41. -Insured Bank Program MMKT	A	Interest	K	T					
42. - Intl Business Mach	A	Dividend	J	T	Buy (add'l)	12/27/13	J		
43.					Buy	10/02/13	J		
44. - Johnson & Johnson common	A	Dividend			Sold	04/03/13	J	A	
45. - Kellogg common	A	Dividend	J	T	Sold (part)	07/08/13	J	A	
46. - Microsoft common	A	Dividend	K	T	Sold (part)	07/08/13	J	A	
47. - Newmont Mining Corp Holding Co. common	A	Dividend	K	T	Buy	12/27/13	J		
48. - PPL Corp. common	A	Dividend	J	T	Sold (part)	07/08/13	J	A	
49. - Peoples United Financial common	B	Dividend	K	T	Sold (part)	07/08/13	J	A	
50.					Buy (add'l)	10/01/13	J		
51. - Qualcomm, Inc.	A	Dividend	J	T	Buy	07/15/13	J		

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52. - Quest Diagnostics common	A	Dividend			Sold (part)	07/08/13	J	A	
53.					Sold	10/01/13	J	A	
54. - Royal Dutch Shell PLC sponsored ADR Repstg A common	A	Dividend	K	T	Buy	04/04/13	J		
55.					Buy (add'l)	04/11/13	J		
56.					Buy (add'l)	10/01/13	J		
57. - Schlumberger common	A	Dividend	J	T	Buy	05/31/13	J		
58. - Staples Inc	A	Dividend	J	T					
59. - Southern Company	A	Dividend	K	T	Buy	12/10/13	K		
60. -Stewart Enterprises Inc. Class A common	A	Dividend			Merged (with line 62)	12/24/13	J	D	
61.					Sold (part)	07/08/13	J	A	
62. -Stewart Enterprises CHG Inc Class A	A	Dividend	J	T					
63. - Washington REIT SB1	A	Dividend	K	T	Buy	12/27/13	J		
64.					Sold (part)	07/08/13	J	A	
65. -Watson Pharm Inc. common	A	Dividend	J	T					
66. -Wellpoint, Inc.	A	Dividend	J	T	Sold (part)	07/08/13	J	A	
67.					Sold (part)	10/01/13	J	B	
68. - Xerox common	A	Dividend	J	T	Sold (part)	07/08/13	J		

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69. - Ishares Trust S&P US PFD Stock Index Fund	A	Dividend	K	T	Buy (add'l)	12/11/13	J		
70.					Buy (add'l)	12/27/13	J		
71.					Sold (part)	07/08/13	J	A	
72. - Bancorpsouth Capital Trust I Trups Pfd 8.15%	A	Interest			Redeemed	08/12/13	J		
73. -J P Morgan Chase Cap XI preferred	A	Interest			Redeemed	05/08/13	J		
74. - Invesco Van Kampen Trut Investment Grade Municipals	A	Dividend	J	T	Sold (part)	10/16/13	J	A	
75. -Invesco Senior Income Trust	B	Dividend	K	T	Sold (part)	07/08/13	J	A	
76.					Sold (part)	10/16/13	K	A	
77. -Invesco Trust Inv. Grade Muns	A	Dividend	J	T	Buy	07/08/13	J		
78. - Nuveen Dividend Advantage Municipal Fund	A	Dividend	J	T	Buy	07/08/13	J		
79. - Nuveen Dividend Advantage Municipal Fund II	A	Dividend	J	T	Buy	07/08/13	J		
80. -Nuveen Credit Strategies Income Fund	A	Dividend	K	T	Buy	10/16/13	K		
81. - Nuveen Municipal Value Fund	A	Interest	K	T	Buy	11/12/13	J		
82.					Buy (add'l)	11/21/13	J		
83. -PVR Partners Unit Reptg Ptnshi Int LTD	A	Dividend	J	T	Buy (add'l)	10/01/13	J		
84.					Sold (part)	07/08/13	J	A	
85.					Sold (part)	12/27/13	K	B	

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86. - Raytheon Co new common	A	Dividend	J	T					
87. -Stifel Nicolaus Money Market Fund	A	Int./Div.	L	T					
88. AT&T, Inc.common	A	Dividend	K	T	Buy (add'l)	08/29/13	J		
89.					Buy (add'l)	10/01/13	J		
90. Abbott Laboratories common	A	Dividend	J	T	Sold (part)	04/08/13	J		
91. Abbvie, Inc.	A	Dividend			Sold	04/08/13	J	B	
92. Actavis Inc	A	Dividend			Buy	01/15/13	J		
93.					Sold	12/27/13	J	C	
94. Alcoa, Inc. common	A	Dividend			Sold	07/08/13	J		
95.					Buy	06/24/13	J		
96. Amgen, Inc. common	A	Dividend	J	T					
97. Apple, Inc. common	A	Dividend	J	T	Buy (add'l)	05/06/13	J		
98. CH Robinson Woldwid New	A	Dividend			Buy	08/27/13	J		
99.					Sold	12/10/13	J	A	
100. Carriage Services, Inc.	A	Dividend	J	T	Buy	05/29/13	J		
101. Cisco Systems, Inc. common	A	Dividend	J	T					
102. Direct TV common	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103. Donnelly RR & Sons (X)	A	Dividend	J	T					
104. Du Pont E.I. De Nemours & Company (X)	A	Dividend			Sold	12/10/13	J	B	
105. Exelon Corp. common	B	Dividend			Sold	12/11/13	J		
106. Expeditors Intl Wash Inc.	A	Dividend	J	T	Buy	05/01/13	J		
107. Express Scripts Holding Co. common	A	Dividend	J	T					
108. Exxon Mobil Corp. common	A	Dividend	K	T	Buy (add'l)	12/27/13	J		
109. Goodyear Tire & Rubber Co. common	B	Dividend	K	T					
110. Hancock Holding Company	A	Dividend	J	T	Buy	04/03/13	J		
111. Health Care REIT, Inc. (X)	A	Dividend	J	T	Buy (add'l)	12/11/13	J		
112. Insured Bank Program	A	Int./Div.	K	T					
113. International Business Machines Corp.	A	Dividend	J	T	Buy (add'l)	12/27/13	J		
114.					Buy	10/02/13	J		
115. Johnson & Johnson	A	Dividend			Sold	04/03/13	J	A	
116. Kellogg Company common	A	Dividend	J	T					
117. Microsoft Corp. common	A	Dividend	J	T					
118. Newmont Mining Corp. Holding Co.	A	Dividend	J	T	Buy	12/27/13	J		
119. Nuveen Credit Start Incmt	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PPL Corp. ccommon	A	Dividend	J	T					
121. People United Financial Inc. common	A	Dividend	K	T	Buy	10/01/13	J		
122. Qualcomm Inc.	A	Dividend	J	T	Buy	07/15/13	J		
123. Quest Diagnostics common	A	Dividend			Sold	10/01/13	J	A	
124. Royal Dutch Shell PLC Sponsored ADRn repstg A shares	A	Dividend	J	T	Buy	04/04/13	J		
125.					Buy (add'l)	04/11/13	J		
126.					Buy (add'l)	10/01/13	J		
127. Schlumberger Ltd.	A	Dividend	J	T	Buy	05/31/13	J		
128. Southern Company	A	Dividend	J	T	Buy	12/10/13	J		
129. Staples, Inc. common	A	Dividend	J	T					
130. Stewart Enterprises Inc. Class A	A	Dividend			Merged (with line 131)	12/24/13	J	C	
131. Stewart Enterprises CHG	A	Dividend	J	T					
132. Washington Real Estate Inestment Trust SBI	A	Dividend	J	T	Buy	12/27/13	J		
133. Wellpoint, Inc.	A	Dividend			Sold	10/01/13	J	B	
134. Xerox Corp. common	A	Dividend	J	T					
135. Flextronics Intl LTD	A	Dividend			Sold	10/01/13	J	B	
136. Ishares S&P U.S. Preferred Stock Index ETF	A	Dividend	K	T	Buy (add'l)	12/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/27/13	J		
138. Bancorpsouth Capital Trust I Trups Pfd	A	Dividend			Redeemed	08/12/13	J		
139. JP Morgan Chase Cap XI Cum Pfd	A	Dividend			Redeemed	05/08/13	J		
140. Invesco Senior Income TR	A	Dividend			Sold	10/16/13	J		
141. Invesco Trust Invst Grade Muns	A	Dividend	J	T					
142. Nuveen Dividend Advantage Municipal Fund	A	Dividend	J	T					
143. Nuveen Dividend Advantage Municipal Fund II	A	Dividend	J	T					
144. Nuveen Municipal Value Fund	A	Dividend	K	T	Buy	11/12/13	J		
145.					Buy (add'l)	11/21/13	J		
146. Nuveen Credit Strategies Income Fund	A	Dividend	K	T	Buy	10/16/13	K		
147. PVR Partners Unit Reptg Partnership Int Ltd POTnshp	A	Dividend	J	T	Sold (part)	12/27/13	K	B	
148.					Buy	10/01/13	J		
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									
158.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. In response to Judge McKinley's letter of September 16, 2014, ¶ 4 noting that the Stifel Nicolous Money Market Fund reported in the 2012 report was not listed in the current (2013) report, please see line 86 which reports this asset.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ Michael R. Merz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544