

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLLANDER, ELLEN L.	2. Court or Organization UNITED STATES DISTRICT COURT, DISTRICT OF MARYLAND	3. Date of Report 05/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address UNITED STATES COURTHOUSE CHAMBERS OF ELLEN LIPTON HOLLANDER 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD OF TRUSTEES (through June 30, 2013)	GOUCHER COLLEGE
2. BOARD MEMBER	LIBRARY COMPANY OF THE BALTIMORE BAR
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989-2013	RETIREMENT SYSTEM FOR JUDGES OF THE STATE OF MARYLAND
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	MARYLAND STATE RETIREMENT AGENCY	\$101,220.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	SELF-EMPLOYED - ADVERTISING/MARKETING
2. 2013	ROYALTY - CHICAGO SHAKESPEARE THEATER
3. 2013	PENSION - THE HEARST CORP BROADCAST RETIREMENT PLAN
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WHOLE LIFE INSURANCE POLICY:									
2. NORTHWESTERN MUTUAL POLICY - CASH VALUE	A	Int/Div.	N	T					
3. CASH ACCOUNTS:									
4. M&T BANK ACCOUNT		None	J	T					
5. BANK OF AMERICA ACCOUNT		None	J	T					
6. WELLS FARGO BANK, N.A. - MORTGAGE ESCROW ACCOUNT	A	Int/Div.			Closed	03/05/13	J		
7. US BANK - MORTGAGE ESCROW ACCOUNT		None	J	T	Open	03/05/13	J		
8. JP MORGAN CHASE BANK, NA	A	Int/Div.	K	T					
9. BROKERAGE & PERSONAL HOLDINGS:									
10. WELLS FARGO ADVANTAGE TREASURY PLUS MM FUND	A	Int/Div.	J	T					
11. MERRILL LYNCH BANK DEPOSIT	A	Interest	K	T					
12. ADTRAN, INC.	A	Dividend			Sold	01/17/13	J		
13. AMERICAN TOWER CORP	A	Dividend	K	T					
14. ANSYS, INC. - COMMON		None	K	T	Sold (part)	02/26/13	J	B	
15. APACHE CORP - COMMON	A	Dividend			Sold	05/09/13	J	A	
16. AT&T COMMON STOCK	A	Dividend			Donated				
17. CELEGENE CORP - COMMON		None	K	T	Sold (part)	02/05/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CISCO SYSTEMS, INC. - COMMON	A	Dividend	J	T					
19. CLEAN HARBORS, INC.		None	J	T	Buy (add'l)	05/03/13	J		
20. COMCAST - COMMON STOCK	A	Dividend	J	T					
21. CONCHO RESOURCES, INC.		None	J	T	Buy (add'l)	05/13/13	J		
22. CONCUR TECHNOLOGIES, INC.		None	J	T	Buy	04/12/13	J		
23. CONSOLIDATED EDISON - COMMON	A	Dividend	J	T					
24. DEALERTRAK HOLDINGS, INC - COMMON		None	J	T	Sold (part)	08/28/13	J	B	
25. DISCOVER FINANCIAL SERVICES, INC.		None	J	T	Buy	12/10/13	J		
26. ECOLAB, INC - COMMON	A	Dividend	K	T					
27. EXAMWORKS GROUP, INC.		None	J	T	Buy	09/25/13	J		
28. EXPEDITORS INTL OF WASH INC - COMMON	A	Dividend	K	T					
29. EXPONENT, INC.		None	J	T	Buy	02/01/13	J		
30. FASTENAL CO - COMMON	A	Dividend	K	T	Sold (part)	01/03/13	J	C	
31. FRONTIER COMMUNICATIONS, INC - COMMON	A	Dividend			Donated				
32. GARDNER DENVER, INC - COMMON	A	Dividend			Sold	03/08/13	J	A	
33. HEALTHCARE SERVICES GROUP, INC.	A	Dividend	J	T	Buy	03/04/13	J		
34.					Buy (add'l)	05/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IDEXX LAB, INC - COMMON		None			Sold (part)	01/07/13	J	C	
36.					Sold (part)	03/13/13	J	C	
37.					Sold (part)	01/02/13	J	A	
38.					Sold (part)	01/03/13	J	A	
39.					Sold (part)	04/23/13	J	B	
40.					Sold	04/26/13	J	C	
41. II-VI, INC. - COMMON		None			Sold	02/21/13	J	A	
42. ILLUMINA, INC.		None	J	T					
43. INTEL CORP - COMMON	A	Dividend	K	T	Sold (part)	12/10/13	J	A	
44.					Sold (part)	07/02/13	J	D	
45. IBM - COMMON	C	Dividend	M	T					
46. INTUITIVE SURGICAL, INC.		None	J	T	Buy	12/27/13	J		
47. JACOBS ENGINEERING GROUP - COMMON		None	J	T	Sold (part)	08/22/13	J	B	
48.					Sold (part)	02/05/13	J	A	
49.					Sold (part)	08/21/13	J	B	
50. J&J - COMMON	A	Dividend	J	T					
51. LKQ CORP - COMMON		None	K	T	Sold (part)	10/16/13	J	B	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. MARKEL CORP - COMMON		None	K	T	Buy (add'l)	01/31/13	J		
53. METLIFE POLICYHOLDER - TRUST INTEREST	A	Dividend	J	T					
54. MOODYS CORP	A	Dividend	J	T	Buy	10/17/13	J		
55. NATIONAL INFORMATION CONSORTIUM, INC.	A	Dividend	J	T					
56. PANERA BREAD, INC.		None	J	T	Buy	10/17/13	J		
57. PAYCHEX, INC. - COMMON	A	Dividend	J	T					
58. POTASH CORP OF SASKATCHEWAN - COMMON	A	Dividend			Sold (part)	10/16/13	J	A	
59.					Sold (part)	11/26/13	J	A	
60.					Sold	12/06/13	J	A	
61. QUALCOMM, INC - COMMON	A	Dividend	K	T	Sold (part)	01/03/13	J	B	
62.					Sold (part)	02/05/13	J	C	
63.					Sold (part)	10/16/13	J	C	
64. RED HAT, INC.		None	J	T	Buy	03/28/13	J		
65.					Buy (add'l)	06/26/13	J		
66.					Buy (add'l)	09/24/13	J		
67. RESMED, INC. - COMMON	A	Dividend			Sold (part)	08/29/13	J	B	
68.					Sold (part)	08/12/13	J	B	

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69.					Sold (part)	08/29/13	J	A	
70.					Sold (part)	09/17/13	J	A	
71.					Sold (part)	09/20/13	J	C	
72.					Sold	09/26/13	J	B	
73. ROPER INDUSTRIES - COMMON	A	Dividend	K	T					
74. SCHLUMBERGER, LTD - COMMON	A	Dividend	K	T	Sold (part)	02/05/13	J	B	
75. SEI INVESTMENTS CO - COMMON	A	Dividend	K	T					
76. SIGNET JEWELERS, LTD	A	Dividend	J	T	Buy	10/07/13	J		
77. SOUTHWESTERN ENERGY CO - COMMON		None	J	T					
78. STERICYCLE - COMMON		None	J	T					
79. TR PRICE GROUP - COMMON	A	Dividend	K	T	Sold (part)	01/31/13	J	D	
80.					Sold (part)	04/10/13	J	B	
81. TEVA PHARMACEUTICALS - ORD SHARES	A	Dividend			Sold (part)	01/02/13	J		
82.					Sold	03/06/13	J		
83. THREE D SYSTEMS NEW - COMMON		None	K	T	Sold (part)	09/30/13	J	C	
84.					Sold (part)	01/02/13	J	C	
85.					Sold (part)	09/30/13	J	C	

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86. TRIMBLE NAVIGATION - COMMON		None	K	T	Sold (part)	01/03/13	J	B	
87.					Buy (add'l)	05/28/13	J		
88.					Buy (add'l)	08/12/13	J		
89. TYLER TECHNOLOGIES, INC.		None	J	T	Buy	01/14/13	J		
90. VERISK ANALYTICS		None	J	T	Buy	09/04/13	J		
91.					Buy (add'l)	11/06/13	J		
92. VERIZON COMMUNICATIONS - COMMON	A	Dividend	K	T					
93. VISA, INC - COMMON	A	Dividend	J	T					
94. WAGeworks, INC		None	J	T	Buy	03/13/13	J		
95. WASTE CONNECTIONS, INC.	A	Dividend	J	T	Buy	01/14/13	J		
96.					Buy (add'l)	01/30/13	J		
97.					Buy (add'l)	10/16/13	J		
98. INNERWORKINGS, INC.		None			Buy	01/29/13	J		
99.					Sold	12/10/13	J		
100. AMERICAN FUNDS - AMERICAN BALANCED F-I	A	Dividend	J	T					
101. AMERICAN FUNDS - GROWTH FUND OF AMERICA F-I	A	Dividend	J	T					
102. ARTISAN SM CAP VALUE INVESTOR FUND	A	Dividend			Sold	09/10/13	J	A	

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103. ARTISAN MID-CAP VALUE FUND INVESTOR	A	Dividend	J	T	Buy	09/11/13	J		
104. BLACKROCK GLOBAL ALLOCATION INSTL FUND	A	Dividend	J	T					
105. DAVIS NY VENTURE FUND - CLASS A	A	Dividend	J	T					
106. FIRST EAGLE GLOBAL FUND, CLASS A	A	Dividend	J	T					
107. HARTFORD MUTUAL FUNDS - HARTFORD MIDCAP I	A	Dividend	J	T					
108. IVY FUNDS - IVY ASSET STRATEGY FUND CLASS I	A	Dividend	J	T					
109. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
110. PERKINS MID CAP VALUE FUND I	A	Dividend			Sold	09/10/13	J	A	
111. PIONEER CULLEN VALUE	A	Dividend			Sold	09/10/13	J	A	
112. T. ROWE PRICE MD TAX FREE BOND FUND	A	Int./Div.	J	T					
113. MANAGERS YACHTMAN FUND SERVICE CLASS	A	Dividend	J	T	Buy	09/11/13	J		
114. MANAGERS AMG SKYLINE SPECIAL EQUITIES FUND	A	Dividend	J	T	Buy	09/10/13	J		
115. ISRAELI BONDS	A	Interest	J	T					
116. IRA ACCOUNT #1:									
117. AMERICAN BALANCE FUND	A	Dividend			Sold	09/10/13	J	A	
118. ARTISAN FUNDS SM CAP VALUE FUND	A	Dividend			Sold	09/10/13	J	A	
119. BLACKROCK GLOBAL ALLOC FD	A	Dividend	K	T	Buy (add'l)	09/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. AMERICAN FUNDS CAPITAL WORLD G&I FD	A	Dividend			Sold	09/10/13	J	A	
121. FIRST EAGLE GLOBAL FUND I	A	Dividend	K	T	Buy (add'l)	09/11/13	J		
122. AMERICAN FUNDS GROWTH FUND OF AMERICA	A	Dividend			Sold	09/10/13	J	A	
123. AMERICAN FUNDS GROWTH FUND OF AMERICA CL F1	B	Dividend	K	T	Buy (add'l)	09/12/13	J		
124.					Buy (add'l)	12/19/13	J		
125. HARTFORD MUTUAL FUNDS - HARTFORD MID-CAP FUND	A	Dividend			Sold	09/10/13	J	A	
126. ING GLOBAL REAL ESTATE FUND	A	Dividend	J	T	Buy (add'l)	09/12/13	J		
127. IVY FUNDS INC - ASSET STRATEGY FUND	A	Dividend	K	T	Buy (add'l)	09/12/13	J		
128. JANUS INVESTMENT FUND - PERKINS MID CAP VALUE FD	A	Dividend			Sold	09/10/13	J	A	
129. DAVIS NY VENTURE FUND INC CL Y	B	Dividend	K	T	Buy (add'l)	09/11/13	J		
130. PIMCO FDS PAC INVT MGMT SVCS TOTAL RETURN FD A	A	Dividend	K	T	Buy (add'l)	09/12/13	J		
131. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T	Buy (add'l)	09/12/13	J		
132. PIONEER SER TR III FUNDAMENTAL VALUE FUND CL Y	A	Dividend			Sold	09/10/13	J	A	
133. INVESCO GLOBAL CORE EQUITY FD A	A	Dividend			Sold	09/10/13	J	A	
134. AIM SECTOR FDS INVESCO VAN KAMPEN COMSTOCK FD CL A	A	Dividend			Sold	09/10/13	J	A	
135. ARTISAN MID-CAP VALUE FUND INVESTOR	A	Dividend	J	T	Buy	09/11/13	J		
136. MANAGERS YACHTMAN FUND SERVICE CLASS	A	Dividend	K	T	Buy	09/11/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. MANAGERS SKYLINE SPECIAL EQUITIES FUND	A	Dividend	J	T	Buy	09/11/13	J		
138. IRA ACCOUNT #2:									
139. ARTISAN FUNDS, INC - ARTISAN SMALL CAP VALUE INVESTOR FD	A	Dividend			Sold	09/10/13	J	A	
140. BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend	J	T	Buy (add'l)	09/11/13	J		
141. FIRST EAGLE FDS, INC - GLOBAL FUND CL I	A	Dividend	J	T	Buy (add'l)	09/11/13	J		
142. AMERICAN FUNDS - GROWTH FUND OF AMERICA FI	A	Dividend	J	T					
143. HARTFORD MUTUAL FDS INC - MIDCAP FUND	A	Dividend			Sold	09/10/13	J	A	
144. ING GLOBAL REAL ESTATE FUND	A	Dividend	J	T	Buy (add'l)	09/11/13	J		
145. IVY FUNDS INC - ASSET STRATEGY FUND	A	Dividend	J	T					
146. JANUS INVT FUND - PERKINS MID CAP VALUE FUND	A	Dividend			Sold	09/10/13	J	A	
147. DAVIS NY VENTURE FUND INC - CL Y	A	Dividend	J	T					
148. PIMCO FDS PAC INVT MGMT SERV - TOTAL RETURN FUND	A	Dividend	J	T	Buy (add'l)	09/11/13	J		
149. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
150. PIONEER SER TR III - FUNDAMENTAL VALUE FD CL Y (formerly	A	Dividend			Sold	09/10/13	J	A	
151. MANAGERS YACKTMAN FUND SERVICE CLASS	A	Dividend	J	T	Buy	09/11/13	J		
152. ARTISAN MIDCAP VALUE FUND INVESTOR	A	Dividend	J	T	Buy	09/11/13	J		
153. PRINCIPAL MIDCAP FUND INSTITUTIONAL	A	Dividend	J	T	Buy	09/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. MANAGERS SKYLINE SPECIAL EQUITIES FUND	A	Dividend	J	T	Buy	09/11/13	J		
155. 401(k) PLAN:									
156. AMERICAN FUNDS EURO PACIFIC GROWTH FD R6		None	K	T					
157. AMERICAN FUNDS GROWTH FUND OF AMERICA R6		None	L	T					
158. FIDELITY PURITAN FUND	A	Dividend	K	T					
159. GOLDMAN SACHS LARGE CAP VALUE FUND INST	A	Dividend	L	T					
160. PIMCO TOTAL RETURN INST		None	K	T					
161. T. ROWE PRICE SMALL CAP STOCK FUND	A	Dividend	L	T					
162. VANGUARD INSTL INDEX FD		None	L	T					
163. VANGUARD MID CAP INDEX INSTL FD		None	L	T					
164.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII - INVESTMENTS AND TRUSTS:

LINE 8 - JP MORGAN CHASE

DURING PREPARATION OF THE 2013 ANNUAL REPORT IT WAS DISCOVERED THAT THERE WAS A CLERICAL ERROR IN THE PRIOR YEAR'S REPORT.

THE JUDGE IS A CO-SIGNER ON A CHECKING AND SAVINGS ACCOUNT FOR AN UNMARRIED [REDACTED], SOLELY FOR THE CONVENIENCE AND PEACE OF MIND OF THE [REDACTED] IN CASE OF MEDICAL OR OTHER EMERGENCY. ALL BANK STATEMENTS ARE SENT ONLY TO THE [REDACTED] AND NOT TO THE JUDGE. BECAUSE THE JUDGE DOES NOT CONTRIBUTE TO THE ACCOUNT, AND IT IS NOT UNDER THE JUDGE'S DIRECT CONTROL, AND THE JUDGE DOES NOT RECEIVE ANY BANK STATEMENTS, SHE INADVERTENTLY NEGLECTED TO INCLUDE IT IN THE PRIOR YEAR.

HAD THIS ACCOUNT BEEN PREVIOUSLY REPORTED THE INCOME CODE WOULD HAVE BEEN CODE A, FOR INTEREST/DIVIDEND INCOME; WITH A VALUE CODE OF K, BASED ON CASH/MARKET VALUE.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ELLEN L. HOLLANDER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544