

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Coleman, Sharon J.	2. Court or Organization Northern District of Illinois	3. Date of Report 05/02/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 S. Dearborn Street-Chambers 1460 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct professor	Northwestern University School of Law, Chicago, Illinois
2. Member	The Women's Board of University of Chicago
3.	
4.	
5.	
6.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1996	State of Illinois Employee Pension Fund: Pension upon retirement age 59.5
2. 1984	Cook County Employee Retirement Fund: Pension upon retirement age 59.5
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Northwestern University School of Law - teaching	\$2,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	EC-United, LLC ; Consulting Business
2. 2018	Blue Cross Blue Shield of Michigan
3. 2018	Coleman Bros Barber Shop, LLC
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America (Part VII - Line 6)	Rental Property - Mortgage #1, Chicago, IL (Pt. VII, line 3)	M
2.	Cardmember Card	Credit Card	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Planites Credit Union	A	Int./Div.	J	T					
2. Citibank	A	Interest	L	T					
3. Chase	A	Interest	J	T					
4. Rental Property #1 - Chicago, IL (Purchased 2007 \$340,000)	E	Rent	O	W					
5. Rental Property #2 - Chicago, IL (Purchased 2017 \$250,000)	C	Rent			Sold	10/01/18	M	A	
6. State of Illinois Retirement Fund		None	M	W					
7. Merrill Lynch Money Market Account	A	Interest	J	T					
8. Merrill Lynch Wealth Management Joint Account	A	Dividend	J	T					
9. - First Eagle Global Class I	A	Dividend	J	T	Sold (part)	12/6/18	J	A	
10. - FPA Crescent Fund Instl C1	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
11. - Leuthold Core Investment FD Instl	A	Dividend	J	T	Sold (part)	07/02/18	J	A	
12. - Loomis Sayles Global Allocation FD CL	A	Dividend	J	T	Buy (add'l)	07/02/18	J		
13. - Oppenheimer Intl Small Mid Company Fund Class	A	Dividend	J	T	Sold (part)	03/06/18	J	A	
14. - Permanent Portfolio Fund	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
15. Merrill Lynch Money Market Account	A	Interest	J	T					
16. Merrill Lynch Wealth Management IRA	D	Dividend	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - First Eagle Global Class I	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
19.					Sold (part)	06/07/18	J	A	
20. - FPA Crescent Fund Instl C1	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
21.					Buy (add'l)	06/07/18	J		
22. - Leuthold Core Investment Fd	E	Dividend	K	T	Sold (part)	03/06/18	J	A	
23.					Sold (part)	07/02/18	K	E	
24. - Oppenheimer Intl Small MID Company Fund Class	A	Dividend	J	T	Sold (part)	03/06/18	J	A	
25. - Permanent Portfolio Fund	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
26.					Sold (part)	06/07/18	J	A	
27. - Thornburg Global Opp Fund C1 I	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
28.					Sold (part)	06/07/18	J	A	
29. Merrill Lynch Money Market Account	A	Interest	J	T					
30. Merrill Lynch Welath Management IRA #2	D	Dividend	J	T					
31. - First Eagle Global Class I	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
32.					Sold (part)	06/07/18	J	A	
33. - FPA Crescent Fund Instl C1	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
34.					Buy (add'l)	06/07/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Leuthold Core Investment Fd	E	Dividend	J	T	Sold (part)	03/06/18	J	A	
36.					Sold (part)	07/02/18	K	E	
37. - Oppenheimer Intl Small Company Fd	A	Dividend	J	T	Sold (part)	03/06/18	J	A	
38. - Permanent Portfolio Fund	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
39.					Sold (part)	06/07/18	J	A	
40. - Thornburg Global Opp Fund C1 I	A	Dividend	J	T	Buy (add'l)	03/06/18	J		
41.					Sold (part)	06/07/18	J	A	
42. - Loomis Sayles Global Allocation FD CL	E	Dividend	K	T	Buy (add'l)	07/02/18	K		
43. Merrill Lynch Money Market Account	A	Interest	J	T					
44. Merrill Lynch Wealth Management IRA #3	F	Dividend	L	T					
45. - Angel Oak Multi Strategy Income Fund	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
46.					Buy (add'l)	06/07/18	J		
47. - Deutsche Global Hi Inc Cl S	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
48.					Buy (add'l)	06/07/18	J		
49. - First Eagle Global Class I	C	Dividend	J	T	Buy (add'l)	03/06/18	J		
50.					Buy (add'l)	06/07/18	J		
51. - FPA Crescent Fund Instl Cl	B	Dividend	J	T	Buy (add'l)	03/06/18	J		

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52.					Buy (add'l)	06/07/18	J		
53. - iShares RS 1000 Growth	E	Dividend	K	T	Sold (part)	03/06/18	J	D	
54.					Sold (part)	06/07/18	J	D	
55.					Sold (part)	09/27/18	K	E	
56. - iShares RS 1000 Value	D	Dividend	J	T	Buy (add'l)	03/06/18	J		
57.					Buy (add'l)	06/07/18	J		
58.					Sold (part)	09/27/18	J	D	
59. - iShares RS 2000 Growth	D	Dividend	J	T	Sold (part)	03/06/18	J	B	
60.					Sold (part)	06/07/18	J	D	
61.					Sold (part)	09/27/18	J	C	
62. - iShares RS 2000 Value	D	Dividend	J	T	Buy (add'l)	03/06/18	J		
63.					Sold (part)	06/07/18	J	D	
64. - John Hancock Income Fund	E	Dividend	J	T	Buy (add'l)	03/06/18	J		
65.					Sold (part)	04/25/18	K	E	
66. - Leuthold Core Investment Fd	F	Dividend	J	T	Sold (part)	03/06/18	J	A	
67.					Sold (part)	07/02/18	L	F	
68. - Loomis Sayles Global Allocation Fd	F	Dividend	J	T	Buy (add'l)	07/08/18	L		

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69.					Buy (add'l)	09/27/18	J		
70. - Loomis Sayles Strategic Inc Fd	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
71.					Buy (add'l)	06/07/18	J		
72. - Lord Abbett Short Dur Income Fd	D	Dividend	J	T	Buy (add'l)	03/06/18	J		
73.					Sold (part)	06/07/18	J	C	
74. - Lord Abbett Bond Debenture Fd	E	Dividend	L	T	Buy (add'l)	04/25/18	K		
75.					Buy (add'l)	06/07/18	J		
76. -Mainstay Short Duration Hi Yld Fund	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
77.					Buy (add'l)	06/07/18	J		
78. - Oakmrk Intl Fund	E	Dividend	J	T	Buy (add'l)	03/06/18	J		
79.					Buy (add'l)	06/07/18	J		
80.					Buy (add'l)	09/27/18	J		
81. - Oppenheimer Dev Mkts Fund	E	Dividend	J	T	Sold (part)	03/06/18	J	C	
82.					Buy (add'l)	06/07/18	J		
83.					Buy (add'l)	09/27/18	J		
84. - Oppenheimer Senior Floating Rate Fd	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
85.					Buy (add'l)	06/07/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - Oppenheimer Intl Small Company Fd	C	Dividend	J	T	Sold (part)	03/06/18	J	A	
87.					Sold (part)	06/07/18	J	C	
88. - Pear Tree Polaris Foreign Val Sm Cap Fd	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
89.					Buy (add'l)	06/07/18	J		
90. - Permanent Portfolio Fund	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
91.					Sold (part)	06/07/18	J	A	
92. - PIMCO Total Return Fund	B	Dividend	J	T	Buy (add'l)	03/06/18	J		
93.					Buy (add'l)	06/07/18	J		
94. - Prudential Short Term Corp Bond Fund	D	Dividend	J	T	Buy (add'l)	03/06/18	J		
95.					Buy (add'l)	06/07/18	J		
96. - Templeton Global Bond Fund	D	Dividend	J	T	Buy (add'l)	03/06/18	J		
97.					Buy (add'l)	06/07/18	J		
98. - Thornburg Global Opp Fund C1 I	D	Dividend	J	T	Buy (add'l)	03/06/18	J		
99.					Sold (part)	06/07/18	J	A	
100.					Buy (add'l)	09/27/18	J		
101. - Wisdomtree Em Small Cap	D	Dividend	J	T	Sold (part)	03/06/18	J	C	
102.					Buy (add'l)	06/07/18	J		

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103.					Buy (add'l)	09/27/18	J		
104. Bright Start College Savings Program	A	Interest	K	W					
105. Bank of America	A	Interest	J	W					
106.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Coleman, Sharon J.

Date of Report

05/02/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Coleman, Sharon J.

Date of Report

05/02/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sharon J. Coleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544