

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dow, Dennis R.	2. Court or Organization US Bankruptcy Ct-W Dist MO	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Charles E Whittaker United States Courthouse 400 E 9th St, Room 6562 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	American Bankruptcy Institute
2. At-large member of the Board of Governors	National Conference of Bankruptcy Judges
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Patrick B White DBA Trinity House - Salary reported on Form W-2
2. 2013	Trinity Management LLC - Salary reported on Form W-2
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bankruptcy Institute	February 6, 2013-February 7, 2013	Miami, FL	Executive Committee Meeting	Transportation, Meals & Lodging
2. American College of Bankruptcy	March 14, 2013-March 18, 2013	Washington, DC	Induction Ceremony	Transportation & Lodging
3. The Missouri Bar	April 4, 2013-April 5, 2013	Columbia, MO	Annual Bankruptcy Institute	Transportation, Meals & Lodging
4. National Conference of Bankruptcy Judges	April 8, 2013-April 9, 2013	Phoenix, AZ	Mid-Year Meeting	Transportation, Meals & Lodging
5. American Bankruptcy Institute	April 17, 2013-April 21, 2013	National Harbor, MD	31st Annual Spring Meeting	Transportation, Meals & Lodging

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6.	American Bankruptcy Institute	September 25, 2013- September 29, 2013	Alexandria, VA	ABI Executive Committee Meeting	Transportation, Meals & Lodging
7.	National Conference of Bankruptcy Judges	October 29, 2013- November 2, 2013	Atlanta, GA	87th Annual National Conference of Bankruptcy Judges	Transportation, Meals & Lodging
8.	American Bankruptcy Institute	December 4, 2013- December 7, 2013	Rancho Palos Verdes, CA	25th Annual Winter Leadership Conference	Transportation, Meals & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Natixis US Multi-Cap Equity A	A	Dividend	K	T					
2. United Missouri Bank (UMB) Accounts	A	Interest	J	T					
3. Europacific Growth Fund	A	Dividend	K	T					
4. The Growth Fund of America A	A	Dividend	J	T					
5. The New Economy Fund A	A	Dividend	K	T					
6. Small Cap World Fund A	A	Dividend	J	T					
7. Davis New York Venture Class A	A	Dividend	J	T					
8. Templeton Developing Markets Trust Class A	A	Dividend	J	T					
9. Columbia Seligman Communications & Info Fund A	A	Dividend	K	T					
10. Rollover IRA #1:	E	Int./Div.	P1	T					
11. -Fidelity ADV Energy Fund CL T									
12. -American Small Cap World Fund CL A									
13. -Citibank Bank Deposit Cash Account									
14. -American Mutual Fund Class A									
15. -Franklin Small Mid Cap Growth Fund A									
16. -Mutual Global Discovery Fund Class A									
17. -Templeton Developing Markets Class A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -American Bond Funds of America CL A					Redeemed	03/21/13	L	C	
19. -Buffalo Small Cap Fund									
20. -Capital World Growth & Income Fund A									
21. -Franklin Income Fund A									
22. -Fundamental Investors Fund CL A									
23. -Oppenheimer Gold & SP Mineral CL A									
24. -Income Fund of America CL A									
25. -Growth Fund of America CL A					Buy	03/21/13	L		
26. Edward Jones IRA	A	Interest	J	T					
27. The New England Variable Life Insurance Policies:									
28. F1 Value Leaders Fund	A	Dividend	J	T					
29. Lmis Say SmCap Growth	A	Dividend	J	T					
30. Davis Venture Value Fund	A	Dividend	J	T					
31. American Funds Growth Fund	A	Dividend	J	T					
32. American Funds Gro-Inc	A	Dividend	J	T					
33. MFS Research Intl	A	Dividend	J	T					
34. MetArt Mid Cap Value	A	Dividend	J	T					

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35. Lmis Say SmCap Core	A	Dividend	J	T					
36. MFS Value Portfolio	A	Dividend	J	T	Buy	01/28/13	J		
37. The New England Whole Life Insurance Policies	B	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts: Wells Fargo Adv Gov Sec Fd CL Inv does not appear in this part of the 2013 report because it was fully redeemed in 2012 and properly reported in the 2012 Financial Disclosure Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dennis R. Dow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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