

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) McManus, Michael S.	2. Court or Organization Eastern District of California	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 501 I Street, Suite 3-200 Sacramento CA 95814		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Sacramento Valley Bankruptcy Forum
2.	Member	National Conference of Bankruptcy Judges
3.	Member	State Bar of California* (see part VIII)
4.	Fellow	American College of Bankruptcy
5.	Member	Randonneurs USA
6.	Member	San Francisco Randonneurs
7.	Co-Trustee	The McManus Living Family Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Twin Rivers School District -- teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Central California Bankruptcy Association	September 17, 2015	Fresno, CA	Speak at annual seminar	One meal
2. Central California Bankruptcy Association	December 8, 2015	Fresno, CA	Speak at education program	One meal
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Purchase money mortgage on single family residence in Sun City West, Arizona. Owned by trust.	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank Account, IRA, Bank of America	A	Interest	J	T					
2. Mutual Fund, Growth Fund of Amer. Part VIII	B	Dividend	K	T					
3. Bank Account, Wells Fargo Bank	A	Interest	K	T					
4. Bank Account, Wells Fargo Bank	A	Interest	J	T					
5. Bank Account, UBS Bank. Part VIII	A	Interest	M	T					
6. Bank Account, IRA, Wells Fargo Bank	A	Interest	J	T					
7. Bank Account, Wells Fargo Bank	A	Interest	K	T					
8. Certificate of Deposit, Wells Fargo Bank. Part VIII	A	Interest	J	T					
9. Mutual Fund, American Funds Capital World Gro&Inc. Part VIII	A	Dividend	K	T					
10. Mutual Fund, American Funds, US Govt. Part VIII	A	Dividend	J	T	Sold (part)	07/27/15	J	A	Market
11.					Sold (part)	10/26/15	J	A	Market
12. Mutual Fund, Baron Growth Fund. Part VIII	A	Dividend	J	T					
13. Mutual Fund, FT Templeton Global Bond Fund. Part VIII	A	Dividend	K	T					
14. Mutual Fund, Gabelli Equity Income Fund. Part VIII	A	Dividend	J	T					
15. Mutual Fund, Loomis Sayles Inv. Gr. Bond Fund. Part VIII	A	Dividend	K	T					
16. Mutual Fund, Pimco Real Return Fund. Part VIII	A	Dividend	J	T					
17. Mutual Fund, Royce Premier Fund. Part VIII	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Money Market, UBS PACE MM. Part VIII	A	Interest			Redeemed (part)	01/26/15	J	A	Issuer
19.					Redeemed (part)	04/21/15	J	A	Issuer
20.					Redeemed	07/27/15	J	A	Issuer
21. Mutual Fund, TIAA-CREF, T-C Lifecycle 2015 Fund. Part VIII	A	Dividend	J	T					
22. Mutual Fund, Prudential Short Term Corporate Bond. Part VIII	A	Dividend	K	T					
23. Mutual Fund, Fidelity Advisor Floating Rate. Part VIII	A	Dividend	K	T					
24. Mutual Fund, Calamos Gro & Inc. Part VIII	A	Dividend	J	T					
25. Family Trust (H)									
26. Residence (trust asset)		None	M	W					
27. Bank Account, Wells Fargo Bank (trust asset)		None	J	T					
28. Vacation timeshares (trust asset)		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I.

The State Bar of California does not regard prior bar members who are sitting judges to be current members of the bar. Nonetheless, because I will automatically be a member once I leave the bench, I have continued to list this connection.

Part IV.

Nos. 1, 2 were not programs that had the primary purpose of educating judges. They were educational programs for attorneys, accountants, and other professionals working in the bankruptcy/insolvency context. I participated as a speaker.

Part VII

Part VII: No. 1. All interest earned was retained in this bank account. The gross value reported in Column C(1) includes retained interest.

Part VII: No. 2. All dividends for 2015 totaled within Income Gain Code B and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 5. All interest earned was retained in this bank account. The gross value reported in Column C(1) includes retained interest.

Part VII: No. 6. All interest earned was retained in this bank account. The gross value reported in Column C(1) includes retained interest.

Part VII: No. 8. This certificate of deposit was initially purchased on 6/19/07 and has been rolled over with the interest earned thereafter.

Part VII: No. 9. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: Nos. 10, 11. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The total amount reinvested was within Value Code J. The gross value reported in Column C(1) includes the reinvested dividends. The proceeds from the two partial sales reported in Columns D(1)-(4) were deposited into Item No. 5.

Part VII: No. 12. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 13. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 14. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 15. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 16. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 17. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: Nos. 18, 19, 20. This money market fund was redeemed in 2015 in three transactions that are reported in Columns D(1)-(4). The redemption proceeds were deposited into Item No. 5. Prior to the redemptions, all interest earned, which was within Income Gain Code A, was reinvested interest was in the fund.

Part VII: No. 21. This fund is a retirement account provided by University of Pacific, McGeorge School of Law, where I have taught in prior years but not in 2015. All dividends for 2015, which totaled an amount within Income Gain Code A, were reinvested in the fund. The gross value reported in Column C(1) includes all reinvestment purchases.

Part VII: No. 22. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 23. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VII: No. 24. All dividends for 2015 totaled within Income Gain Code A and were reinvested in this mutual fund. The gross value reported in Column C(1) includes the reinvested dividends. The total amount reinvested was within Value Code J.

Part VI and Part VII: Nos. 25, 26, 27, 28. This family trust was created by my parents. In 2015, as a result of the death of my last surviving parent, my parents' family residence, four vacation timeshare weeks, and a bank account poured over into the trust. These assets are reported at Nos. 26, 27, and 28. The purchase

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money mortgage encumbering the residence is reported at Part VI. The bank account is noninterest bearing and the residence and the timeshares were not rented. No income is received from the trust. The net value of the trust is estimated to be within Value Code J with my beneficial interest in the trust being 50% of that value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael S. McManus**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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