

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Neff, Janet T.	2. Court or Organization US District Court Western District of Michigan	3. Date of Report 04/26/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 110 Michigan Street NW Suite 402 Grand Rapids, Michigan 49503		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989	Michigan Judges Retirement System - Pension upon Retirement from Michigan Court of Appeals
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	State of Michigan Pension	\$90,865.00
2. 2018	JP Morgan Clearing Corp Retirement	\$31,903.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	State of Michigan Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank - Deposit Account	A	Int./Div.	L	T					
2.									
3. Abbott Laboratories - ABT	A	Dividend			Sold	04/11/18	J	A	
4. Accenture PLC Ireland - ACN	A	Dividend			Sold	12/10/18	J	A	
5. Adobe Systems Inc. ADBE	A	Dividend			Sold	12/10/18	J	B	
6. Agilent Technologies Inc - A	A	Dividend			Sold	11/02/18	J	A	
7. Allegion Public Limited - ALLE	A	Dividend			Sold	12/12/18	J	A	
8. Allergan PLC - AGN	A	Dividend			Sold	12/04/18	J		
9. Alphabet Inc. GOOGL	A	Dividend			Sold (part)	1/1/18	J		
10.					Sold	12/12/18	J	B	
11. Alphabet Inc. - GOOG	A	Dividend			Sold (part)	1/18/18	J		
12.					Sold	12/12/18	J	B	
13. Amazon.com Inc - AMZN	A	Dividend			Sold (part)	1/18/18	J		
14.					Sold	12/12/18	J	C	
15. American Express Company - AXP	A	Dividend			Buy	07/23/18	J		
16.					Sold	12/05/18	J		
17. American International - AIG	A	Dividend			Sold	10/24/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 N = \$250,001 - \$500,000	L = \$50,001 - \$100,000 O = \$500,001 - \$1,000,000	M = \$100,001 - \$250,000 P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000 P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	S = Assessment V = Other	T = Cash Market W = Estimated	

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18. Amerisourcebergen Corp - ABC	A	Dividend			Buy	04/11/18	J		
19.					Sold	07/23/18	J		
20. Amg Tr IV - APCTX	A	Dividend			Sold	03/09/18	J		
21. Amg Tr IV - APCZX	A	Dividend			Buy (add'l)	12/04/18	J		
22.					Sold (part)	09/21/18	J		
23.					Sold	12/11/18	K		
24. Anadarko Petroleum Corp - APC	A	Dividend			Sold	2/26/18	J		
25. Analog Devices Inc - ADI	A	Dividend			Sold	12/12/18	J	A	
26. Apple Inc - APPL	A	Dividend			Sold (part)	01/18/18	J		
27.					Sold	12/12/18	J	B	
28. APTIV PLC - APTV	A	Dividend			Sold	12/12/18	J		
29. Automatic Data Processing - ADP	A	Dividend			Buy	10/24/18	J		
30.					Sold	12/12/18	J		
31. Bank of America Corp - BAC	A	Dividend			Sold	12/12/18	J	B	
32. Bank New York Mellon Corp - BK	A	Dividend			Sold	10/11/18	J	A	
33. Berkshire Hathaway Inc. - BRKB	A	Dividend			Sold	12/12/18	J	A	
34. Biogen Inc - BIIB - formerly Bioerativ Inc. - BIVV	A	Dividend			Sold	12/10/18	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Blackrock INC - BLK	A	Dividend			Sold	01/17/18	J	A	
36. Blackrock FDS - BRHYX	A	Dividend			Sold (part)	02/02/18	J		
37.					Sold	02/16/18	J		
38. Blackrock Index FDS Inc - BTMKX	A	Dividend	J	T	Sold (part)	06/13/18	J		
39.					Sold (part)	7/12/18	J		
40.					Sold (part)	08/20/18	J		
41.					Sold (part)	12/04/18	J	A	
42. Boston Scientific Corp - BSX	A	Dividend			Sold	12/12/18	J	A	
43. Bristol Myers SQUIBB Co - BMY	A	Dividend			Sold	12/12/18	J		
44. Broadcom Ltd - AVGO - Formerly Avago Technologies	A	Dividend			Sold (part)	01/16/18	J	A	
45.					Sold	12/12/18	J	A	
46. Brown Advisory FDS - BAFHX	A	Dividend	J	T	Sold (part)	06/13/18	J	B	
47. Capital One Financial Corp - COF	A	Dividend			Buy	01/16/18	J		
48.					Sold	07/24/18	J		
49. Causeway Cap Mgmt Tr - CIVIX	A	Dividend	J	T	Sold (part)	09/21/18	J	A	
50.					Sold (part)	12/11/18	J		
51. Chubb - CB	A	Dividend			Sold	12/12/18	J	A	

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52. Celgene Corp - CELG	A	Dividend			Sold	12/10/18	J		
53. Charles Schwab Corp - SCHW	A	Dividend			Sold	12/12/18	J	A	
54. Charter Communications - CHTR	A	Dividend			Sold	12/12/18	J	A	
55. CIGNA Corp - CI	A	Dividend			Sold	02/26/18	J		
56. Citigroup Inc. - C	A	Dividend			Sold (part)	01/18/18	J		
57.					Sold	12/12/18	J	A	
58. CMS Energy Corp - CMS	A	Dividend			Sold	01/18/18	K	A	
59. Coca Cola - KO	A	Dividend			Buy	04/19/18	J		
60.					Sold	12/22/18	J		
61. Comcast Corp - CMCSA	A	Dividend			Sold	12/12/18	J	A	
62. Concho Resources Inc - CXO	A	Dividend			Sold	05/24/18	J	A	
63. Delphi Technologies - DLPH	A	Dividend			Sold	01/31/18	J		
64. Delta Airlines, Inc. - DAL	A	Dividend			Sold	12/12/18	J		
65. Diamondback Energies - FANG	A	Dividend			Sold	12/12/18	J		
66. Dish Network Corp - DISH	A	Dividend			Sold	07/23/18	J		
67. Dodge & Cox Funds - DODFX	A	Dividend	J	T	Sold (part)	09/21/18	J		
68.					Sold (part)	12/11/18	J		

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69. Dodge & Cox Income Fund - DODIX	A	Dividend	K	T	Sold (part)	10/26/18	J		
70. Dollar Tree Inc. - DLTR	A	Dividend			Sold	12/12/18	J		
71. Dow Dupont Inc - DWDP	A	Dividend			Sold	12/12/18	J	A	
72. Eastman Chemical Co - EMN	A	Dividend			Sold	12/12/18	J	A	
73. Electronic Arts, Inc. - EA	A	Dividend			Buy	09/25/18	J		
74.					Sold	12/12/18	J		
75. ELI Lilly & Co - LLY	A	Dividend			Sold	12/18/18	J	A	
76. EOG Res Inc - EOG	A	Dividend			Sold	12/12/18	J	A	
77. Estee Lauder Co. - EL	A	Dividend			Buy	08/28/18	J		
78.					Sold	10/29/18	J		
79. EQT Corporation - EQT	A	Dividend			Sold	08/02/18	J		
80. Facebook Inc. - FB	A	Dividend			Sold	12/12/18	J	B	
81. Federated Institutional - FIHLX	A	Dividend	J	T	Sold (part)	02/16/18	J		
82. Fidelity 500 Index Fund - FXAIX	A	Dividend			Buy	02/12/18	J		
83.					Buy (add'l)	02/16/18	J		
84.					Sold	10/26/18	J		
85. Fidelity National - FIS	A	Dividend			Sold	12/12/18	J	A	

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86. Fidelity Salem STR TR - FXAIX	B	Dividend	M	T	Buy	12/4/18	J		
87.					Buy (add'l)	12/11/18	K		
88. Garrett Motion Inc. - GTX	A	Dividend			Spinoff (from line 95)	10/01/18	J		
89.					Sold	10/04/18	J		
90. General Dynamics Corp - GD	A	Dividend			Sold	12/12/18	J	A	
91. Gilead Sciences Inc - GILD	A	Dividend			Sold	12/12/18	J		
92. Goldman Sachs Group Inc - GS	A	Dividend			Sold	12/12/18	J	A	
93. Hartford Financial - HIG	A	Dividend			Sold	10/22/18	J		
94. Home Depot Inc - HD	A	Dividend			Sold	12/12/18	J	B	
95. Honeywell Intl Inc - HON	A	Dividend			Sold (part)	01/18/18	J		
96.					Sold	12/12/18	J	B	
97. Ingersoll Rand PLC - IR	A	Dividend			Sold	05/18/18	J		
98. Intercontinental Exchange - ICE	A	Dividend			Sold	12/12/18	J	A	
99. International Business - IBM	A	Dividend			Sold	05/18/18	J		
100. Ishares Inc - EWJ	A	Dividend			Buy (add'l)	01/18/18	J		
101.					Sold	12/05/18	K		
102. Ishares Tips Bond ETF - TIP	A	Dividend	J	T	Buy	02/01/18	J		

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103.					Sold (part)	03/05/18	J		
104. John Hancock FDS II - JIPRX	A	Dividend	J	T	Buy	06/08/18	J		
105.					Sold (part)	12/18/18	J		
106. John Hancock Income Fund-JSNWX formerly John Hancock Income Fund JSTIX	A	Dividend	J	T	Sold (part)	12/18/18	J		
107. JP Morgan Exchange/Beat Builders Bank Central Asia- BBKA	A	Dividend	J	T	Buy	8/21/18	J		
108.					Buy (add'l)	09/24/18	J		
109.					Sold (part)	12/10/18	J		
110. JP Morgan Exchange/Beta Builders Develop - BBAX	A	Dividend	J	T	Buy	8/21/18	J		
111. JP Morgan Exchange/Beta Builders Europe - BBEU	A	Dividend	J	T	Buy	08/21/18	K		
112. JP Morgan Exchange/Beta Builders Japan - BBJP	A	Dividend	K	T	Buy	07/13/18	J		
113.					Buy (add'l)	12/05/18	K		
114.					Buy (add'l)	12/12/18	J		
115. JP Morgan Core Bond Fund - JCBUX	B	Dividend	L	T	Buy (add'l)	01/17/18	J		
116.					Buy (add'l)	03/16/18	J		
117.					Sold (part)	10/26/18	J		
118.					Buy (add'l)	12/11/18	K		
119. JP Morgan US Government - QVESQ	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Neff, Janet T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Keycorp New - KEY	A	Dividend			Sold	12/12/18	J	A	
121. Kraft Heinz Company - KHC	A	Dividend			Sold	01/17/18	J		
122. Lord Abbett Inv't Tr - LOLDX	A	Dividend			Sold	03/16/18	J		
123. Lowes Companies Inc - LOW	A	Dividend			Sold	12/12/18	J	A	
124. Marathon Pete Corp - MPC	A	Dividend			Buy	03/13/18	J		
125.					Sold	12/12/18	J		
126. Martin Marietta - MLM	A	Dividend			Sold	04/13/18	J	A	
127. Masco Corp - MAS	A	Dividend			Sold	10/25/18	J	A	
128. Mastercard Incorporated - MA	A	Dividend			Sold (part)	01/18/18	J		
129.					Sold	12/12/18	J	B	
130. Medtronic PLC Com - MDT	A	Dividend			Buy	04/11/18	J		
131.					Sold	12/12/18	J		
132. Merck & Co Inc - MRK	A	Dividend			Sold	12/12/18	J	A	
133. Metlife Inc - MET	A	Dividend			Sold	02/26/18	J		
134. MFS Ser TR X - MEDHX	A	Dividend	J	T	Buy	12/11/18	J		
135. MFS Ser TR I - MRSKX	A	Dividend	J	T	Buy	12/11/18	J		
136. Microchip Technology Inc - MCHP	A	Dividend			Sold	09/19/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Neff, Janet T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Microsoft Corp - MSFT	A	Dividend			Sold (part)	01/18/18	J		
138.					Sold	12/12/18	J	D	
139. Molson Coors Brewing Co - TAP	A	Dividend			Sold	04/23/18	J		
140. Mondelez International - MDLZ	A	Dividend			Sold	12/12/18	J	A	
141. Morgan Stanley - MS	A	Dividend			Sold (part)	01/18/18	J		
142.					Sold	12/12/18	J	A	
143. Netflix Com, Inc. - NFLX	A	Dividend			Buy	09/17/18	J		
144.					Sold	12/12/18	J		
145. Nextera Energy Inc - NEE	A	Dividend			Sold	12/12/18	J	A	
146. Nike - NKE	A	Dividend			Sold	08/29/18	J		
147. Nisource Inc - NI	A	Dividend			Sold	12/12/18	J	A	
148. Norfolk Southern Corp - NSC	A	Dividend			Sold	12/12/18	J	A	
149. Northrop Grumman Corp - NOC	A	Dividend			Sold	12/12/18	J	A	
150. NVIDIA Corp - NVDA	A	Dividend			Sold	12/12/18	J	A	
151. Occidental Pete Corp - OXY	A	Dividend			Sold (part)	12/05/18	J		
152.					Sold	12/12/18	J		
153. O Reilly Automotive Inc - ORLY	A	Dividend			Sold	12/12/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Oracle Corp - ORCL	A	Dividend			Buy	02/26/18	J		
155.					Sold	10/12/18	J		
156. Parker Hannifin - PH	A	Dividend			Buy	01/16/18	J		
157.					Sold	12/12/18	J		
158. Parsley Energy Inc. - PE	A	Dividend			Buy	05/24/18	J		
159.					Sold	12/10/18	J		
160. PayPal Holdings - PYPL	A	Dividend			Buy	01/31/18	J		
161.					Sold	12/12/18	J		
162. Pepsico Inc - PEP	A	Dividend			Sold	12/12/18	J	A	
163. Pfizer Inc - PFE	A	Dividend			Sold	12/12/18	J	A	
164. Philip Morris - PM	A	Dividend			Sold	12/12/18	J		
165. Pioneer Natural Resources - PXD	A	Dividend			Sold	12/12/18	J	A	
166. PIMCO FDS Pac Invnt Mgmt - PIGIX	A	Dividend	J	T	Sold (part)	12/11/18	J		
167. PIMCO Short Term Fund - PTSHX	A	Dividend			Buy	02/16/18	J		
168.					Buy (add'l)	06/13/18	J		
169.					Sold	12/11/18	J		
170. PIMCO Total Return Fund - PTTRX	A	Dividend	K	T	Sold (part)	10/25/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Neff, Janet T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. PVH Corp - PVH	A	Dividend			Buy	02/07/18	J		
172.					Sold	12/12/18	J		
173. Resideo Technologies - REZI	A	Dividend			Spinoff (from line 95)	10/29/18	J		
174.					Sold	11/01/18	J		
175. Salesforce.Com Inc. - CRM	A	Dividend			Buy	04/19/18	J		
176.					Sold	12/12/18	J		
177. Six Circles Tr - CIUEX	A	Dividend	K	T	Buy	12/11/18	K		
178. Six Circles Tr - CUSDx	A	Dividend	J	T	Buy	12/11/18	J		
179. Six Circles Tr - CUSUX	A	Dividend	K	T	Buy	12/11/18	K		
180. SPDR S&P 500 ETF Trust - SPY	A	Dividend			Sold (part)	09/24/18	J		
181.					Sold (part)	10/29/18	J		
182.					Sold	12/05/18	L	E	
183. Stanley Black & Decker - SWK	A	Dividend			Sold	12/05/18	J	A	
184. SVB Financial Group - SIVB	A	Dividend			Sold	12/12/18	J	A	
185. Texas Instruments - TXN	A	Dividend			Sold	12/12/18	J	A	
186. TJX Companies Inc New - TJX	A	Dividend			Sold	01/31/18	J	A	
187. T Rowe Price Int'l Fds - PRXIX	A	Dividend			Sold (part)	02/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Neff, Janet T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	12/10/18	J		
189. T Rowe Price New Income - PRXEX	B	Dividend	L	T	Buy (add'l)	01/17/18	J		
190.					Sold (part)	10/26/18	J		
191.					Buy (add'l)	12/11/18	K		
192. Twenty First Century Fox - FOXA	A	Dividend			Sold	01/18/18	J	A	
193. Union Pacific Corp - UNP	A	Dividend			Sold	12/12/18	J	A	
194. United Technologies Corp - UTX	A	Dividend			Sold	12/12/18	J		
195. United Health Group Inc - UNH	A	Dividend			Sold (part)	01/18/18	J		
196.					Sold	12/12/18	J	C	
197. Vanguard Bond Index FD - VBTIX	A	Dividend	J	T	Buy	10/05/18	J		
198.					Sold (part)	12/04/18	J		
199.					Buy (add'l)	12/11/18	J		
200. Vanguard Index Tr - VFIAX	B	Dividend	L	T	Buy (add'l)	01/17/18	K		
201.					Sold (part)	08/20/18	J		
202.					Buy (add'l)	09/21/18	J		
203.					Sold (part)	10/26/18	J		
204. Vanguard Fixed Income - VFIDX	A	Dividend	J	T	Buy (add'l)	12/04/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	12/11/18	J		
206. Vanguard Charlotte Fd - VTABX	B	Dividend	L	T	Sold (part)	10/26/18	K		
207. Vanguard Short Term Bond - VBIRX	A	Dividend			Sold (part)	01/17/18	J		
208.					Sold (part)	02/02/18	J		
209.					Sold	02/12/18	J		
210. Vanguard Short Term Bond - BSV	A	Dividend	J	T	Buy	06/14/18	J		
211.					Sold (part)	10/19/18	J		
212.					Buy (add'l)	12/17/18	J		
213. Vanguard Total Int'l Bond - BNDX	B	Dividend	K	T	Buy	01/18/18	J		
214.					Sold (part)	10/09/18	J		
215.					Buy (add'l)	12/12/18	K		
216. Vertex Pharmaceuticals - VRTX	A	Dividend			Sold	12/12/18	J	A	
217. Visa Inc - V	A	Dividend			Sold	12/12/18	J	A	
218. Walgreen Boots Alliance - WBA	A	Dividend			Sold	01/18/18	J		
219. Walt Disney Co - DIS	A	Dividend			Sold (part)	01/16/18	J		
220.					Sold	12/12/18	J	A	
221. Waste Connections Inc. - WCN	A	Dividend			Sold	12/12/18	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Wells Fargo & Co - WFC	A	Dividend			Sold (part)	01/18/18	J		
223.					Sold	12/12/18	J	A	
224. Wex Inc - WEX	A	Dividend			Sold	12/10/18	J	A	
225. Workday Inc - WDAY	A	Dividend			Sold	10/25/18	J	A	
226. Worldpay Inc. - WP - formerly Vantiv - VNTV	A	Dividend			Sold	12/12/18	J	A	
227. Yum Brands Inc - YUM	A	Dividend			Buy	11/30/18	J		
228.					Sold	12/12/18	J		
229. Zimmer Biomet Holdings	A	Dividend			Sold	12/12/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: Line 34: Biogen, Inc. - BIIB, formerly Bioverativ BIVV (line #24, 2017 Report)

Part VII: Line 106: John Hancock Income Fund - JSNWX, formerly John Hancock Income Fund JSTIX (line #84, 2017 Report)

Part VII: Line 226: Worldpay - WP, formerly Vantiv VNTV (line #154, 2017 Report)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Janet T. Neff**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544