

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Vance, Sarah S.                                                                                                                      | <b>2. Court or Organization</b><br><br>United States District Court                                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>7/6/2016                         |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>United States District Judge                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>500 Poydras Street<br>Room C-255<br>New Orleans, Louisiana 70130                                                                                              |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>           |
|----|-----------------|----------------------------------------------|
| 1. | President       | New Orleans Chapter Federal Bar Association  |
| 2. | Board Member    | Tulane Law Institute National Advisory Board |
| 3. | Board Member    | Tulane Law School -- Dean's Advisory Board   |
| 4. | Executor        | Estate # 1 (closed July 14, 2015)            |
| 5. | Council Member  | American Law Institute                       |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                      |
|-------------|---------------------------------------------|
| 1. 2015     | Jones Walker LLP - income from law practice |
| 2.          |                                             |
| 3.          |                                             |
| 4.          |                                             |

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>             | <u>DATES</u>        | <u>LOCATION</u>            | <u>PURPOSE</u>                      | <u>ITEMS PAID OR PROVIDED</u> |
|---------------------------|---------------------|----------------------------|-------------------------------------|-------------------------------|
| 1. American Law Institute | January 13-16, 2015 | Philadelphia, Pennsylvania | Advisers and Council Meeting        | Travel, lodging, meals        |
| 2. Duke School of Law     | April 9-10, 2015    | Dallas, Texas              | Multidistrict Litigation Institute  | Travel, lodging, meals        |
| 3. Duke School of Law     | October 7-8, 2015   | Durham, North Carolina     | Mass Tort MDL Meeting               | Travel, lodging, meals        |
| 4. American Law Institute | October 13-16, 2015 | New York, New York         | Audit Committee and Council Meeting | Travel, lodging, meals        |
| 5.                        |                     |                            |                                     |                               |

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

Vance, Sarah S.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. IRRA #2, Rollover Merrill Lynch (H):                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. --Merrill Lynch USA Rasp / Cash                                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. --iShares MSCI EAFE Index (EFA)                                                                                          | C                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 4. --iShares iBoxx \$ Invst Grade Corp BD Fund (LQD)                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 5.                                                                                                                          |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 6. --iShares Barclays TIPS BO Protected SECS FD (TIP)                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 8. --iShares Barclays 3-7 YEAR TRSY BD FD (IEI)                                                                             | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 9.                                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               |                                |                                                                   |
| 10. --iShares Barclays MBS BON Fund (MBB)                                                                                   | A                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 11.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               |                                |                                                                   |
| 12. --SPDR Barclays High Yield Bond ETF (JNK)                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               | A                              |                                                                   |
| 14. --Vanguard Small Cap Value ETF (VBR)                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/11/15                | J                               |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/19/15                | J                               |                                |                                                                   |
| 17. --Vanguard Small CAP Growth ETF (VBK)                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/11/15                | J                               |                                |                                                                   |

|                         |                                 |                             |                               |                                |                        |
|-------------------------|---------------------------------|-----------------------------|-------------------------------|--------------------------------|------------------------|
| 1. Income Gain Codes:   | A ~\$1,000 or less              | B ~\$1,001 - \$2,500        | C ~\$2,501 - \$5,000          | D ~\$5,001 - \$15,000          | E ~\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F ~\$50,001 - \$100,000         | G ~\$100,001 - \$1,000,000  | H1 ~\$1,000,001 - \$5,000,000 | H2 ~More than \$5,000,000      |                        |
| 2. Value Codes          | J ~\$15,000 or less             | K ~\$15,001 - \$50,000      | L ~\$50,001 - \$100,000       | M ~\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N ~\$250,001 - \$500,000        | O ~\$500,001 - \$1,000,000  | P1 ~\$1,000,001 - \$5,000,000 | P2 ~\$5,000,001 - \$25,000,000 |                        |
|                         | P3 ~\$25,000,001 - \$50,000,000 |                             | P4 ~More than \$50,000,000    |                                |                        |
| 3. Value Method Codes   | Q = Appraisal                   | R = Cost (Real Estate Only) | S = Assessment                | T = Cash Market                |                        |
| (See Column C2)         | U = Book Value                  | V = Other                   | W = Estimated                 |                                |                        |

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Name of Person Reporting

Vance, Sarah S.

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7/6/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 19.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/19/15                | J                               |                                |                                                                   |
| 20. --Vanguard Value ETF (VTV)                                                                                              | D                                       | Dividend                                      | N                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | K                               |                                |                                                                   |
| 21. ----Vanguard Growth ETF (VUG)                                                                                           | C                                       | Dividend                                      | N                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 22.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/17/15                | K                               |                                |                                                                   |
| 23.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/19/15                | J                               |                                |                                                                   |
| 24. --Vanguard Intermediate Term Bond ETF (BIV)                                                                             | B                                       | Dividend                                      | L                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 25.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 26. --Vanguard Short Term Bond (BSV)                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 27. --Market Vectors EMRG MKTS Local<br>Currenly Debt ETF (EMLC)                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 28.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               |                                |                                                                   |
| 29. --Powershares Global Exchange Traded FD<br>TR (PCY)                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 30. --Powershares Preferred Portfolio (PGX)                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 31.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 32. --Emerging Global Shares (ECON)                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/18/15                | J                               |                                |                                                                   |
| 33.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/25/15                | J                               |                                |                                                                   |
| 34.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/15                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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Name of Person Reporting

Vance, Sarah S.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                           |   |          |   |   |                |          |   |  |  |
|-----------------------------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 35. --iShares INC CORE MSCI (IEMG)                        | A | Dividend | J | T | Buy<br>(add'l) | 02/18/15 | J |  |  |
| 36.                                                       |   |          |   |   | Sold<br>(part) | 08/25/15 | J |  |  |
| 37.                                                       |   |          |   |   | Buy<br>(add'l) | 10/15/15 | J |  |  |
| 38.                                                       |   |          |   |   |                |          |   |  |  |
| 39. IRA #3, Merrill Lynch New Orleans (H):                |   |          |   |   |                |          |   |  |  |
| 40. --Merrill Lynch Retirement Reserves / Cash            | A | Dividend | J | T |                |          |   |  |  |
| 41. --ML Bank USA Rasp                                    | A | Dividend | K | T |                |          |   |  |  |
| 42. --iShares MSCI EAFE Index fund (EFA)                  | B | Dividend | K | T | Buy<br>(add'l) | 02/19/15 | J |  |  |
| 43.                                                       |   |          |   |   | Buy<br>(add'l) | 03/17/15 | J |  |  |
| 44.                                                       |   |          |   |   | Sold<br>(part) | 08/25/15 | J |  |  |
| 45. --iShares iBoxx \$ INVT Grade Corp BD<br>Fund (LQD)   | A | Dividend | J | T | Buy<br>(add'l) | 02/18/15 | J |  |  |
| 46.                                                       |   |          |   |   | Buy<br>(add'l) | 10/15/15 | J |  |  |
| 47. --iShares Barclays TIPS BO Protected SECS<br>FD (TIP) | A | Dividend | J | T | Buy<br>(add'l) | 02/19/15 | J |  |  |
| 48.                                                       |   |          |   |   | Buy<br>(add'l) | 10/15/15 | J |  |  |
| 49. --iShares Barclays 3-7 YEA TRSY BD FD<br>(IEI)        | A | Dividend | J | T | Buy<br>(add'l) | 02/19/15 | J |  |  |
| 50.                                                       |   |          |   |   | Sold<br>(part) | 10/15/15 | J |  |  |
| 51. --iShares Barclays MBS BON Fund (MBB)                 | A | Dividend | K | T | Buy<br>(add'l) | 02/19/15 | J |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               |                                |                                                                   |
| 53. --SPDR Barclays High Yield Bond ETF<br>(JNK)                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               |                                |                                                                   |
| 55. --Vanguard Small Cap Value ETF (VBR)                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 56.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/19/15                | J                               |                                |                                                                   |
| 57. --Vanguard Small Cap Growth ETF (VBK)                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 58.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/19/15                | J                               |                                |                                                                   |
| 59. --Vanguard Value ETF (VTI)                                                                                              | C                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 60. --Vanguard Growth ETF (VUG)                                                                                             | B                                       | Dividend                                      | M                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/17/15                | J                               | A                              |                                                                   |
| 62.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/19/15                | J                               |                                |                                                                   |
| 63. --Vanguard Intermediate Term Bond ETF<br>(BIV)                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 64.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/17/15                | J                               |                                |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 66. --Vanguard Short Term Bond (BSV)                                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 67. --Market Vectors EMRG MKTS Local<br>Currency DEBT ETF (EMLC)                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 68.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/17/15                | J                               |                                |                                                                   |

|                                                  |                                                                                  |                                                                                  |                                                                                    |                                                                                       |                                                                                                |
|--------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                  | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000                             | C = \$2,501 - \$5,000<br>H = \$5,001 - \$15,000                                    | D = \$15,001 - \$50,000<br>E = \$50,001 - \$100,000                                   | F = \$100,001 - \$500,000<br>G = \$500,001 - \$1,000,000                                       |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P = \$500,001 - \$1,000,000 | K = \$15,001 - \$50,000<br>O = \$50,001 - \$100,000<br>Q = \$100,001 - \$250,000 | L = \$50,001 - \$100,000<br>P = \$100,001 - \$250,000<br>R = \$250,001 - \$500,000 | M = \$100,001 - \$250,000<br>P = \$250,001 - \$500,000<br>S = \$500,001 - \$1,000,000 | N = \$500,001 - \$1,000,000<br>Q = \$1,000,001 - \$5,000,000<br>R = \$5,000,001 - \$25,000,000 |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                  | R = Cost (Real Estate Only)<br>V = Other                                         | S = Assessment<br>W = Estimated                                                    | T = Cash Market                                                                       |                                                                                                |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

Date of Report

7/6/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               |                                |                                                                   |
| 70. --Powershares Global Exchange Traded FD<br>TR (PCY)                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 71.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/17/15                | J                               |                                |                                                                   |
| 72. --Powershares Preferred Portfolio (PGX)                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 73. --Emerging Global Shares (ECON)                                                                                         | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 74.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/25/15                | J                               |                                |                                                                   |
| 75.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/15                | J                               | A                              |                                                                   |
| 76. --iShares INC CORE MSCI (IEMG)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 77.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 78.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 79. Profit Sharing Retirement Plan - Fidelity<br>Invest. Account (H)                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 80. --Ret Gov't (Money Market Fund)                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/03/15                | M                               |                                |                                                                   |
| 81.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/09/15                | M                               | A                              |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/01/15                | K                               |                                |                                                                   |
| 83.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/01/15                | J                               |                                |                                                                   |
| 84. --Fidelity Growth Company K (FGCKX)                                                                                     | D                                       | Dividend                                      | N                                               | T                                         | Buy<br>(add'l)                                  | 12/31/15                | J                               |                                |                                                                   |
| 85. --Harbor Intl Inst (HAINX)                                                                                              | D                                       | Dividend                                      | M                                               | T                                         | Sold<br>(part)                                  | 02/09/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

Date of Report

7/6/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                        |   |           |   |   |             |          |   |   |                           |
|------------------------------------------------------------------------|---|-----------|---|---|-------------|----------|---|---|---------------------------|
| 86. --Spartan US Bond Index Fund (FXSTX)                               | D | Dividend  | N | T | Sold (part) | 02/09/15 | L | A |                           |
| 87. --Goldman Sachs Small Cap Value Class A (GSSMX)                    | A | Dividend  | N | T | Sold (part) | 02/03/15 | K | A |                           |
| 88. --Lazard Emerging Mkts Institutional (LZEMX)                       | A | Dividend  | L | T | Sold (part) | 02/06/15 | J | A |                           |
| 89. --Fidelity Cash Reserves (FDRXX)                                   | A | Int./Div. | J | T | Sold (part) | 02/09/15 | J | A |                           |
| 90. --Vanguard INFL PROT SEC                                           | C | Dividend  |   |   | Sold        | 02/14/13 | M | A | See Note in Section VIII. |
| 91. --Gabelli Equity Income CL A                                       | E | Dividend  | M | T | Sold (part) | 02/04/15 | K | D |                           |
| 92. --Pimco Income Fund CL DT                                          | D | Dividend  | N | T | Sold (part) | 02/04/15 | K | A |                           |
| 93. --Vanguard Inflation PROT ADM (VAIPX)                              | B | Dividend  | M | T | Sold (part) | 02/09/15 | K | A |                           |
| 94.                                                                    |   |           |   |   |             |          |   |   |                           |
| 95. Cash Management Account, Merrill Lynch (H):                        |   |           |   |   |             |          |   |   |                           |
| 96. --Cash / CMA Money Fund                                            | A | Dividend  | J |   |             |          |   |   |                           |
| 97. --Blackrock Global Allocation FD INC C                             | A | Dividend  | J | T |             |          |   |   |                           |
| 98.                                                                    |   |           |   |   |             |          |   |   |                           |
| 99. Trust, Merrill Lynch (H):                                          |   |           |   |   |             |          |   |   |                           |
| 100. --Cash / CMA Money Fund                                           | A | Interest  | J | T |             |          |   |   |                           |
| 101. --Columbia Large Cap Growth Fund III Class A (NFEAX); Name change | C | Dividend  | J | T |             |          |   |   | See Note in Section VIII. |
| 102. --Lord Abbett Developing Growth Fund CL A                         | A | Dividend  | J | T |             |          |   |   |                           |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

Date of Report

7/6/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. --Putnam International Equity Fund CL A                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 104. --Davis NY Venture FD A                                                                                                | C                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 105.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 106. Checking and Money Market Accounts, J.P. Morgan                                                                        | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 107.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 108. Judicial & Justice Federal Credit Union                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 109.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 110. Estate #1                                                                                                              | A                                       | Int./Div.                                     |                                                 |                                           | Closed                                          | 07/15/15                | J                               |                                |                                                                   |
| 111. --Morgan Stanley Money Market Account                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 112.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 113. Morgan Stanley Bank, N.A. (H):                                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 114. --Consulting Grp Core Fixed Inc (THUX)                                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 115. --Consulting Grp Emrg Mkt EQ INV (TEMUX)                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. --Consulting Grp HI YLD Invstmnt (THYUX)                                                                               | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 117. --Consulting Grp Intl EQ Invest (TIEUX)                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 118. --Consulting Grp Intl FX Inc Inv (TIFUX)                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 119. --Consulting Grp LG Cap Val Eq (TLVUX)                                                                                 | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                          | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. --Consulting Grp LG CP GW Invest (TLGUX)                                                                               | A                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 01/12/15                | J                               | A                              |                                                                   |
| 121.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 04/10/15                | J                               | A                              |                                                                   |
| 122.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 07/10/15                | J                               | A                              |                                                                   |
| 123.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 10/08/15                | J                               | A                              |                                                                   |
| 124. --Consulting Grp SM CP GW Invest (TSGUX)                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. --Consulting Grp SM GP VL EQ Inv (TSVUX)                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 127. Merrill Lynch: (H):                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 128. --iShares INC Core MSCI Emerging MKTS ETF (IEMG)                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy (add'l)                                     | 02/19/15                | J                               |                                |                                                                   |
| 129.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold (part)                                     | 08/25/15                | J                               | A                              |                                                                   |
| 130.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 10/15/15                | J                               |                                |                                                                   |
| 131. --Vanguard Small Cap Growth ETF (VBK)                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 132. --Vanguard Value ETF (VTV)                                                                                             | B                                       | Dividend                                      | L                                               | T                                         | Buy (add'l)                                     | 02/19/15                | J                               |                                |                                                                   |
| 133. --Vanguard Growth ETF (VUG)                                                                                            | A                                       | Dividend                                      | L                                               | T                                         | Buy (add'l)                                     | 02/19/15                | J                               |                                |                                                                   |
| 134.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 03/17/15                | J                               |                                |                                                                   |
| 135.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy (add'l)                                     | 08/10/15                | J                               |                                |                                                                   |
| 136. --Vanguard Intermediate Term Bond ETF (BIV)                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy (add'l)                                     | 02/19/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. --Vanguard Short Term Bond (BSV)                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 138. --Market Vectors EMRG MKTS Local<br>Currency Debt ETF (EMLC)                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 139.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/17/15                | J                               |                                |                                                                   |
| 140.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/10/15                | J                               | A                              |                                                                   |
| 141. --Powershares Global Exchange Traded FD<br>TR (PCY)                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 142. --Powershares Preferred Portfolio (PGX)                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 143.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 144. --SPDR Barclays High Yield Bond ETF<br>(JNK)                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 145.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               | A                              |                                                                   |
| 146. --Vanguard Small Cap value ETF (VBR)                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 147. --Emerging Global Shares Dow Jones<br>(ECON)                                                                           | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 148.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/10/15                | J                               | A                              |                                                                   |
| 149.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/15                | J                               | A                              |                                                                   |
| 150. --iShares MSCI EAFE (EFA)                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 151.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/17/15                | J                               |                                |                                                                   |
| 152. --iShares iBoxx \$ IVT Grade CORP BD<br>(LQD)                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 153.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

Date of Report

7/6/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. --iShares TIPS Bond ETF (TIP)                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 155.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 156. --iShares 3-7 Year treasury Bond ETF (IEI)                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 157.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               | A                              |                                                                   |
| 158. --iShares MBS ETF (MBB)                                                                                                | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               | A                              |                                                                   |
| 160. --ML Bank Deposit Program                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts.

Line 90 -- The asset was sold in its entirety on February 14, 2013. The dividends reported for calendar year 2014 were reported in error as shown on line 94 of the 2015 report. (Vanguard INFL PROT SEC)

Line 101 -- Columbia Marsico Focused Eq A (NFEAX) changed name to Large Cap Growth Fund III Class A (NFEAX). There were no transactions during this reporting period.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Vance, Sarah S.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sarah S. Vance**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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