

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorman, Mary P.	2. Court or Organization Central District of Illinois	3. Date of Report 09/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 600 East Monroe Street #234 Springfield, Illinois 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	[REDACTED] Trust #1. [REDACTED]
2.	Trustee	[REDACTED] Trust #2. [REDACTED]
3.	Board member and treasurer	[REDACTED] Non-profit corporation.
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1 - Checking account at JPMorgan Chase		None	J	T					
2. Trust #1 - Whole life policy with Gen. Amer. Life	C	Int./Div.	K	T					
3. Trust #2 - Checking account at JPMorgan Chase		None	J	T					
4. Trust #2 - Whole life. policy with Gen Amer. Life		None	N	T					
5. Whole life ins. Policy with Northwestern Mutual Life Ins.		None	K	T					
6. Advisors Inner Circle Cambiar Oppty Fd. (CAMWX) (X)	A	Dividend	J	T					
7. Advisors Inner Circle Fd. (AEMGX)	A	Dividend			Sold	06/13/13	J	A	
8. AIM Global Real Estate Fd. C1 Y (ARGYX)	A	Dividend			Sold	06/13/13	J	A	
9. Alger Small Midcap Growth A (ALMAX)	A	Dividend			Sold	06/13/13	J	B	
10. American Funds Inc New CL F-1 (NWFFX)	A	Dividend	J	T					
11. Artio Glob Invt Fd Intl Eq (JETIX)	A	Dividend			Sold	06/13/13	J	A	
12. Artisan Partners Fds Small Cap Fd. (ARTSX)	A	Dividend	J	T					
13. Blackrock Energy Res Ptf Inst (SGLSX)	A	Dividend			Sold	06/13/13	J	A	
14. Columbia Recov & Infrastr Inst (CRIZX)	A	Dividend			Sold	06/13/13	J	B	
15. Del. Grp Adviser FDS Inc US Growth Fd. (DEUIX)	A	Dividend	K	T					
16. Delaware Group Equity FDS II Value Fd. (DDVIX)	A	Dividend	J	T					
17. Dreyfus Appreciation Fund (DGAGX)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DWS Dreman Intl.Value Fd. A (DNVAX)	A	Dividend			Sold	06/13/13	J	A	
19. DWS Dreman Small Cap Value Fd. (KDSSX)	A	Dividend			Sold	09/03/13	J	D	
20. DWS Reef Glb Infrastrc S (TOLSX)	A	Dividend			Sold	06/13/13	J	A	
21. Eagle Small Cap Growth Fd. (HRSCX)	A	Dividend	J	T					
22. First Eagle Funds Sogen Overseas Fd. (SGOVX)	A	Dividend	J	T					
23. Goldman Sachs Tr. Finl. Square Mmkt. Fund (FSMXX)	A	Dividend			Sold	06/13/13	J	B	
24. Harbor Cap. Apprec Fd. (HACAX)	A	Dividend	J	T					
25. Harbor International Fund (HAINX)	A	Dividend	K	T					
26. Henderson Global Technology A (HFGAX)	A	Dividend			Sold	06/13/13	J	C	
27. Hotchkis & Wiley Mid Cap Value Fund (HWMIX)	A	Dividend	J	T					
28. Invesco Global Real Estate Fd. (ARGYX) (X)	A	Dividend	J	T					
29. iShares S&P Growth Index (IVW)	A	Dividend			Sold	06/13/13	J	A	
30. iShares S&P 500 Value Index (IVE)	A	Dividend			Sold	06/13/13	J	A	
31. Ivy Asset Strategy A (WASAX)	A	Dividend			Sold	06/13/13	J	A	
32. John Hancock Classic Value Fund (JCVIX)	A	Dividend	J	T					
33. JP Morgan/Chase - Checking account		None	L	T					
34. JP Morgan/Chase - Money market account	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Keely Funds Small Cap Value Fd. (KSCIX)	A	Dividend	J	T					
36. Lazard Fds Emerging Mkts. (LZEMX)	A	Dividend	J	T	Buy	02/21/13	J		
37. Lord Abbett High Yield Muni Bond Fd (HYMAX)	A	Dividend			Sold	06/13/13	K	B	
38. MFS Equity Opportunities A (SRFAX)	A	Dividend			Sold	06/13/13	J	A	
39. MFS Intl New Discovery A (MIDAX)	A	Dividend			Sold	06/13/13	J	A	
40. Mgrs. Fd. Mid Cap Growth (TMDPX)	A	Dividend	J	T					
41. Morgan Stanley Bank Money Market Fund	A	Dividend			Sold	06/13/13	J	A	
42. Morgan Stanley Instl. Fund Mid Cap (MPEGX)	A	Dividend	J	T					
43. Nuveen Municipal Market Opportunity Fund, Inc.	B	Dividend			Sold	06/13/13	K		
44. Oppenheimer Dev. Mkts. Fd. (ODVYX) (X)	A	Dividend	J	T					
45. Oppenheimer Intl. Growth Fd. (OIGYX)	A	Dividend	J	T					
46. Park Ave Emerging Markets Fund (GBEMX), f/k/a RS Invst. Fund	A	Dividend			Sold	06/13/13	J	A	
47. Pimco Commod. Real Return Strat Fd (PCRIX)	A	Dividend	J	T					
48. Pioneer Fd. CK-Y (PYODX)	A	Dividend	J	T					
49. Pioneer Oak Ridge Sm. Cap. Growth Fd. (ORIYX) (X)	A	Dividend	J	T					
50. Principal Real Estate Sec A (PRRAX)	A	Dividend			Sold	06/13/13	J	A	
51. Royce Low-Priced Stock Svc (RYLPX)	B	Dividend			Sold	06/13/13	J	A	

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52. RS Inv't Tr Emerging Mkts Fd. (RSENX)	A	Dividend			Sold	02/20/13	K	B	
53. Russell Inv't. Co. Global Equity Fd. (RGESX)	A	Dividend	K	T	Buy	06/26/13	K		
54. Russell Inv't. Co. Global Infrastructure Fd. (RGISX)	A	Dividend	J	T	Buy	06/26/13	J		
55. Russell Inv't. Co. Commodity Strat. Fd. (RCCSX)	A	Dividend	K	T	Buy	06/26/13	K		
56. Russell Inv't. Co. Global Opp. Fd. (RGCSX)	A	Dividend	J	T	Buy	06/26/13	J		
57. Russell Inv't. Co. Emerging Mkts. Fd. (REMSX)	A	Dividend	J	T	Buy	06/26/13	J		
58. Russell Inv't. Co. Global Real Estate Fd. (RRESX)	A	Dividend	J	T	Buy	06/26/13	J		
59. Russell Inv't. Co. Intl. Developd Mkts Fd. (RINTX)	B	Dividend	L	T	Buy	06/26/13	L		
60. Russell Inv't. Co. Multi- Strategy Fd. (RMSSX)	A	Dividend	J	T	Buy	06/26/13	J		
61. Russell Inv't. Co. Strategic Bond Fd. (RFCTX)	A	Dividend	K	T	Buy	06/26/13	K		
62. Russell Inv't. Co. US Small Cap Equity Fd. (RLESX)	A	Dividend	K	T	Buy	06/26/13	K		
63. Russell Inv't. Co. US Strat. Eq. Fd. (RSESX)	A	Dividend	L	T	Buy	06/26/13	L		
64. Security Equity Mid Cap Value Fund (SEVAX)	B	Dividend	J	T					
65. Sentinel Mutual Funds Small Co. (SIGWX)	A	Dividend			Sold	06/13/13	J	A	
66. TCW Fds Inc. Small Cap Growth Fd. (TGSCX)	A	Dividend			Sold	06/13/13	J	A	
67. Touchstone Large Cap Growth Fund (TIQIX)	A	Dividend	K	T					
68. Victory Portfolios Small Co Oppty Fd. (VSOLX)	A	Dividend	J	T					

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69.					Buy (add'l)	09/04/13	J		
70. Wells Fargo Emerging Growth Fd. (WEMIX)	A	Dividend	K	T					
71. Wells Fargo Emerg Mkts Eq. Fd. A (EMGAX)	A	Dividend			Sold	06/13/13	J	A	
72. William Blair Funds Intl. Growth Fd. (BIGIX)	A	Dividend	J	T					
73. DWS Enhanced Commodity Strat A (SKSRX) (Y)									
74. Lord Abbett Short Duration Inc A (LDLFX) (Y)									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

At Part VII, line 73, the asset was sold on 02/17/12, and the sale was inadvertently omitted from my 2012 Financial Disclosure Report. The asset was sold for less than \$15,000 (Reporting Code J) and the sale was at a loss. The sale generated no reportable income during the time in 2012 during which it was held.

At Part VII, line 74, part of the asset was sold on 01/12/12, and the sale was inadvertently omitted from my 2012 Financial Disclosure Report. The asset was sold for less than \$15,000 (Reporting Code J) and the sale was at a loss. The sale generated no reportable income during the time in 2012 during which it was held.

At Part VII, line 74, the remainder of the asset was sold on 12/31/12, and the sale was inadvertently omitted from my 2012 Financial Disclosure Report. The asset was sold for less than \$15,000 (Reporting Code J) and the sale was at a loss. The sale generated no reportable income during the time in 2012 during which it was held.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary P. Gorman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544